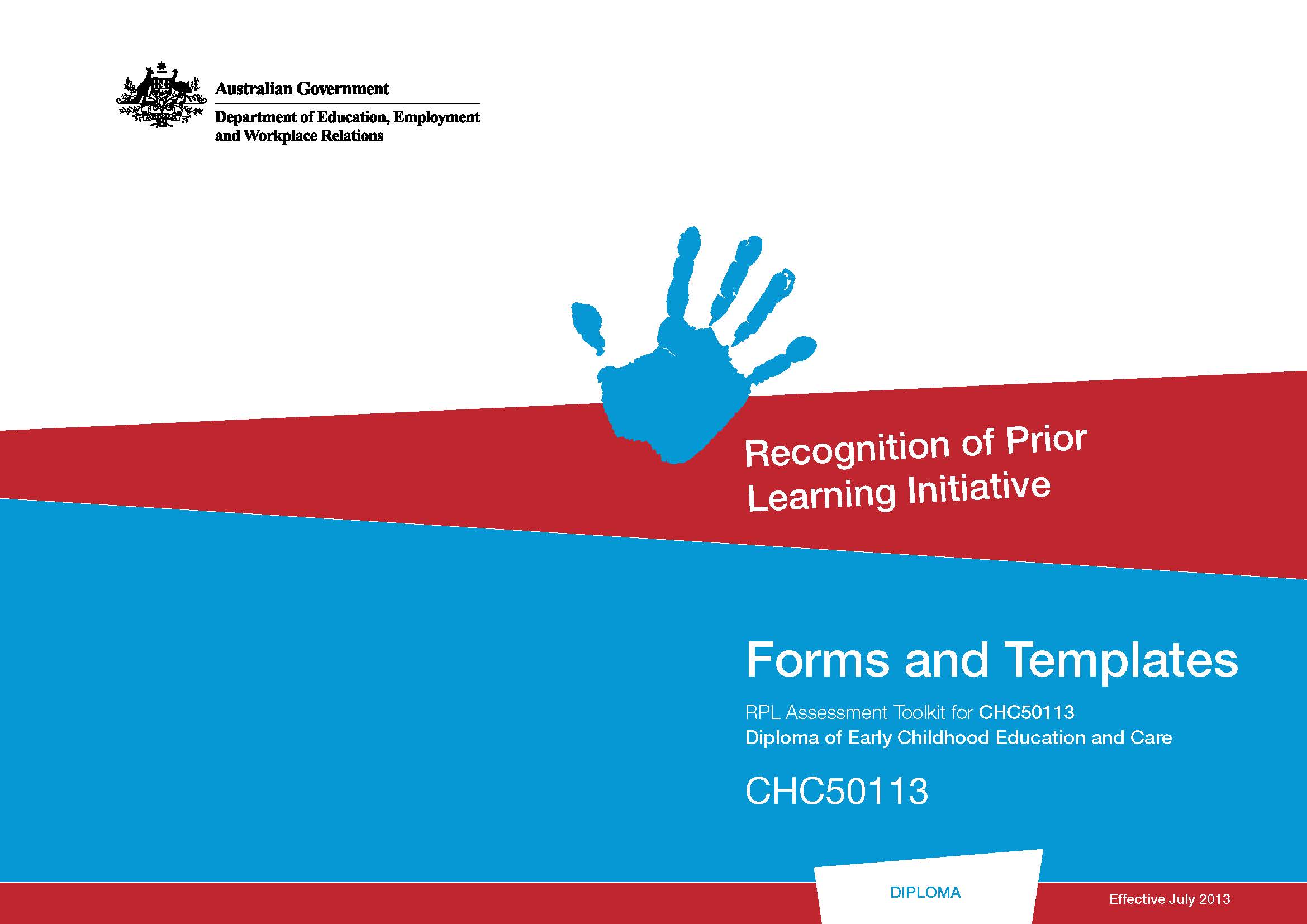
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The Australian Government Department of Education, Employment and Workplace Relations (DEEWR) developed the *RPL Assessment Toolkit for CHC50113 Diploma of Early Childhood Education and Care* as part of the national Recognition of Prior Learning (RPL) Initiative. It was based on good practice identified in the 2006–2009 Council of Australian Governments (COAG) RPL Program, including the RPL Assessment Tool Kit developed by the Western Australian Department of Training and Workforce Development under that program.

The *RPL Assessment Toolkit for CHC50113 Diploma of Early Childhood Education and Care* is provided in the following five companion documents:

* **Assessor Guide**
* **Candidate Guide**
* **Workplace Guide**
* **Forms and Templates**
* **Unit Mapping**

This document is the ***Forms and Templates*** resource. It provides assessors and Registered Training Organisations (RTOs) with forms and templates that may be used with candidates undertaking RPL assessments for the *CHC50113 Diploma of Early Childhood Education and Care*. The forms and templates are also available as individual Word files.

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RPL Assessment Toolkit CHC50113 Diploma of Early Childhood Education and Care ***Forms and Templates***

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RPL Assessment Toolkit for CHC50113 Diploma of Early Childhood Education and Care ***Forms and Templates***

# Introduction

## What is this resource?

This resource provides a range of forms and templates, including assessment tools, for use with the companion volumes of the *RPL Assessment Toolkit for CHC50113 Diploma of Early Childhood Education and Care* (called the *RPL Toolkit* in this resource).

This resource must be read with the companion volume for assessors, the *Assessor Guide*.

The key audience for this resource is the assessor providing Recognition of Prior Learning (RPL)—also called ‘recognition’—to candidates for the qualification *CHC50113 Diploma of Early Childhood Education and Care*.

## What forms and templates are in this resource?

The table on the following page lists the forms and templates in this resource with a summary of their audience, when and how they are provided, and their purpose. The table of contents also lists the specific forms and templates.

Each form or template includes instructions on its use, and the accompanying *Assessor Guide* provides the RPL assessment steps and lists the required forms and templates for each step.

## Can the forms and templates be customised?

Yes. All the forms and templates can be customised to meet the candidate’s needs and individual circumstances, and the Registered Training Organisation (RTO) policies or procedures.

Assessors or other RTO personnel may add to, amend or delete any of the information, after taking steps to ensure the validity of any changes. **However, co-badging with an RTO’s logo is not allowed.**

To assist assessors in using them, all the forms and templates in this resource are also available as individual Word files.

**Note:** While every effort has been made to ensure that unit requirements are covered, the developers do not give any warranty or accept any liability in relation to the assessment tools in this resource. RTOs are advised to validate assessment instruments and tools before use, after any customisation or contextualisation, and during their scheduled validation processes—to ensure they meet any requirements set by the standards under which the RTO is registered, current Training Package requirements, and any RTO or regulatory requirements.

## Summary of forms and templates in this resource

Please see the *Assessor Guide* for details of the RPL assessment   
steps referred to in the tables below.

| Form or template | Who uses it? | When is it provided and by whom? | What is its purpose? |
| --- | --- | --- | --- |
| Candidate Information Form | The **prospective RPL candidate** completes this (before commencing the RPL assessment process). The **RTO** considers information on the form in deciding RPL participation. | An RTO contact person such as an RPL Coordinator, Information Officer or assessor provides it to the prospective RPL candidate **on application to participate in RPL** (and/or uses RTO-required forms or processes), and gives the candidate advice about RPL. | This form can be used by the RTO to capture initial information about an applicant for RPL.  The assessor considers the information on this form **during** **Step 1** of the RPL assessment process—the initial interview and RPL planning session. |
| RPL Assessment Plan Template | The **assessor** prepares a draft *RPL Assessment Plan* and finalises it **with candidate** **during Step 1**. Then it is updated as the RPL assessment process continues. | The assessor considers information from the *Candidate Information Form* and discussion with the candidate **during Step 1** in developing the Plan (including adding any other electives if chosen).  The candidate and the assessor should both make copies and bring them to every RPL assessment activity for updating. | The *RPL Assessment Plan* is used to document the **intended steps** in the RPL assessment process for that candidate, including electives, any dates of visits, clusters to be considered, and expectations of the candidate and workplace.  **Note:** The *RPL Assessment Plan* will be progressively amended during the RPL assessment process. |
| Workplace Representative Form | All **workplace representatives** who verify candidate performance should complete this form. | The **assessor** should provide this to the **workplace representative** when workplace verification is sought—give it to the candidate with the *Candidate Self-evaluation Tools* so they can pass it on to the workplace representative (and if a *Third Party Report* is requested). | This form requires the workplace representative to verify that they understand what is required of them, and that information they provide will be true and correct. It is intended to reinforce the **accountability and significance** of workplace verifications. |
| Third Party Report Template | The **assessor** adds any requirements for a *Third Party Report* to the template, and the **workplace representative** completes it. | The **assessor** would provide this to an appropriately qualified **workplace representative** where further verification of specific candidate skills or knowledge is required. | This form is used to capture **further verification from a workplace** that a candidate can apply specified skills or knowledge. |
| RPL Assessment Outcomes Form | The **assessor** uses this form to record RPL assessment methods and final outcomes. **Assessors and candidates** sign the form at the end of the RPL process. | The assessor can progressively add the RPL assessment methods for each unit. The form should be finalised by the assessor **after the RPL assessment process for all units is concluded**, and signed by both the assessor and candidate. | This form provides the capacity to record the RPL assessment methods for a candidate and the **final outcomes** of the RPL assessment process for all units of competency that make up the qualification. |
| Candidate Self-evaluation Tools | The **candidate** completes these ***after* Step 1** of the RPL assessment process.  A **workplace representative** verifies the candidate’s self-evaluation.  **After** the *Candidate Self-evaluation Tools* are returned, the **assessor** considers the evidence andrecords outcomes. | The assessor provides these to the candidate **during Step 1** of the RPL assessment process (the initial interview and RPL planning step) and should advise the candidate how to complete it, including the need for workplace verification of skills.  **After the initial interview in Step 1**, the candidate independently completes the tools and gains workplace verification, then copies and returns the tools to the assessor on the agreed date.  The assessor considers the information provided, along with any documentary evidence **before Step 2**—the ‘competency conversations’. The completed candidate self-evaluation tools can also be referred to **during** **Step 2**. | These tools allow **candidates to document and evaluate their knowledge and skills** against workplace tasks (aligned to unit clusters) and to collate some initial evidence. They also require **workplace representatives to verify** the candidate’s skills and knowledge.  The candidate identifies workplace tasks they can do, and the workplace verifies this.  This provides some evidence for the assessor to consider and also assists the candidate to **prepare for** **Step 2** of the RPL assessment process (the competency conversation).  This tool provides the assessor with **evidence to consider before Step 2**. |
| Competency Conversation Recording Tools | The **assessor** completes these **during Step 2** of the RPL assessment process—the ‘competency conversation’ interviews.  **Note:** The recording tools are not provided to the candidate. | **Before Step 2** of the RPL assessment process, the assessor should prepare the tools for use in Step 2 by:   * deleting any sections that will not be assessed in that session (it is unrealistic to cover all the unit clusters in the RPL Toolkit in one session) * saving the files to a laptop, or printing hard copies, depending on method used to record candidate responses. | These assessment tools provide:   * a **bank of questions** and prompts for the assessor to use during the conversations * an **assessment tool** in which the assessor can record evidence of the competency conversation * **key points** the assessor should look for in candidate responses, with the capacity to record candidate responses. |
| Workplace Assessment Tasks: Instructions for the Candidate | The **candidate** reads these to gain information on the workplace assessment task or tasks they need to undertake. | The **assessor** should advise candidates which workplace assessment task or tasks are required, and agree a date and workplace location with the candidate.  The assessor should provide the appropriate instructions to candidates **before the workplace assessment task is undertaken**. | These provide the candidate with instructions on the workplace assessment task requirements and criteria for assessment, thus assisting them to **prepare for the assessment**.  They may also **assist the workplace** to understand what the task demonstration involves. |
| Workplace Assessment Tasks: Observation Tools | The **assessor** completes the observation tools during the workplace assessment. | The **assessor** should use the tools **during the demonstration**. | The assessment tools describe the assessment tasks and criteria for assessment, and are used to **record the assessor’s observations and outcomes** of workplace assessment tasks. |

# Template for the Candidate Information Form

The following template provides the basis for a *Candidate Information Form* to capture initial information about prospective RPL candidates (additional to any RTO-required forms or processes). In completing the form, the candidate provides some initial information and may also attach documents such as a Resume or reference.

The blank form would typically be provided to a person registering their interest in RPL, and could be provided by an RTO contact person such as an RPL Coordinator, Information Officer. When providing the form, that person should also give the candidate brief and accurate information about the likely RPL processes.

At the first enquiry, or after being accepted for RPL the candidate should also be provided with the Candidate Guide from this *RPL Toolkit*. That document advises them on the RPL assessment processes involved.

If the prospective RPL candidate completes this form before commencing the RPL assessment process, the RTO has some initial information to consider in deciding their RPL eligibility and participation.

Once the candidate is enrolled with the RTO, the assessor can also consider the information and any initial evidence provided by the candidate in the initial interview and planning session (Step 1 of the RPL assessment process recommended in this *RPL Toolkit*).

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Candidate Information Form | | | | | | | | | | | | | | | | | | | | | | |
| **Instructions and notes** | | | | | | | | | | | | | | | | | | | | | | |
| Use this form if you are applying to participate in Recognition of Prior Learning (RPL) for ***CHC50113 Diploma of Early Childhood Education and Care***. When completed, it will provide the Registered Training Organisation (RTO) with information to use in considering your application to participate in RPL. The RTO will provide you with information on the RPL assessment process, and may require you to complete other forms, or participate in other processes. | | | | | | | | | | | | | | | | | | | | | | |
| **Personal details** | | | | | | | | | | | | | | | | | | | | | | |
| Family name |  | | | | | | | Given names | | | | | |  | | | | | | | | |
| Home address |  | | | | | | | | | | | | | | | | Postcode | | | |  | |
| Postal address |  | | | | | | | | | | | | | | | | Postcode | | | |  | |
| Phone numbers | Home | |  | | | | | | | Work | | | |  | | | | | | | | |
| Mobile | |  | | | | | | | Fax | | | |  | | | | | | | | |
| Email address |  | | | | | | | | | | | | | | | | | | | | | |
| Are you a permanent resident of Australia? | | | | Yes | | | No | | Do you need the assistance of an interpreter? | | | | | | | | | Yes | | | | No |
| Do you have any need special needs, e.g. need for special aids or adjustments, to undertake practical assessment? | | | | | | | | | | | | | | | | | | Yes | | | | No |
| If ‘Yes’, please provide details of any special needs, or discuss these with the RTO contact person or RPL assessor before enrolment. | | | | | |  | | | | | | | | | | | | | | | | |
| **Current employment** (If you are not employed please go to the next section of this form) | | | | | | | | | | | | | | | | | | | | | | |
| What is your current job title? | | | | |  | | | | | | | | How long have you been in this job? | | | | | |  | | | |
| Who is your current employer? | | | | |  | | | | | | | | | | | | | | | | | |
| Please briefly list your duties in this job. | | | | |  | | | | | | | | | | | | | | | | | |
| **Previous employment and other work roles** | | | | | | | | | | | | | | | | | | | | | | |
| RPL recognises that you may have gained valuable skills and knowledge in paid (and unpaid) working roles. For example, you might have worked in an early childhood education and care service where you designed and implemented curriculum, implemented an approved learning framework or supervised others. In completing the following section, think about working roles relevant to your application. | | | | | | | | | | | | | | | | | | | | | | |
| List brief details of any relevant work you have done (paid or unpaid). | | | | | Job title | | | | | | Employer or organisation | | | | | | | | | Dates of work | | |
|  | | | | | |  | | | | | | | | |  | | |
|  | | | | | |  | | | | | | | | |  | | |
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|  | | | | | |  | | | | | | | | |  | | |
|  | | | | | |  | | | | | | | | |  | | |
| I have attached a CV or Resume *(Please attach this if you have one.)* | | | | | | | | | | | | | | | | Yes | | | | No | | |
| List some of the tasks you can do (or have done) in paid or unpaid work that might relate to early childhood education and care work. | | | | | | | | | | | | | | | | | | | | | | |
|  | | | | | | | | | | | | | | | | | | | | | | |
|  | | | | | | | | | | | | | | | | | | | | | | |
|  | | | | | | | | | | | | | | | | | | | | | | |
| **Related (non work) experience** | | | | | | | | | | | | | | | | | | | | | | |
| RPL also recognises that you may have gained skills and knowledge in experiences such as informal training, volunteer work or clubs. For example in informal roles, you might have used people and communications skills, handled funds, worked in committee processes, spoken to stakeholders or participated in informal training or self-development activities. If you have relevant (non-job) experiences, please list them below. | | | | | | | | | | | | | | | | | | | | | | |
|  | | | | | | | | | | | | | | | | | | | | | | |
|  | | | | | | | | | | | | | | | | | | | | | | |
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|  | | | | | | | | | | | | | | | | | | | | | | |
| **Qualifications, Statements of Attainment or workplace training** | | | | | | | | | | | | | | | | | | | | | | |
| If you have any qualifications, Statements of Attainment or other awards from a training organisation, please list them below. | | | | | | | | | | | | | | | | | | | | | | |
|  | | | | | | | | | | | | | | | | | | | | | | |
|  | | | | | | | | | | | | | | | | | | | | | | |
| **Is there any more information you wish to give in support of your application to participate in RPL?** (Attach other pages if needed.) | | | | | | | | | | | | | | | | | | | | | | |
|  | | | | | | | | | | | | | | | | | | | | | | |
| **Declaration** | | **I declare that the information contained in or provided with this application is true and correct.** | | | | | | | | | | | | | | | | | | | | |
| **Applicant’s signature** | |  | | | | | | | | | | **Date** | | |  | | | | | | | |

# Template for the RPL Assessment Plan

The following template provides the basis for an *RPL Assessment Plan* for the assessor to complete with the candidate during Step 1 of the RPL assessment process (the initial interview and planning session). The Plan should be thought of as a ‘living document’, to be updated throughout the RPL assessment process.

The *RPL Assessment Plan* is used to document the requirements and steps in the RPL assessment process for the candidate, such as electives chosen, dates of workplace visits, clusters to be considered, and expectations of the candidate and workplace.

To develop the Plan, the assessor should consider information in the *Candidate Information Form* as well as information gained in discussions with the candidate at the initial interview and planning session (Step 1). Then, in consultation with the candidate, the assessor should develop the first cut of the Plan for that candidate.

The candidate and the assessor should both make copies and bring them to every RPL assessment activity for updating as the assessment process progresses (for example, by adding information about the competency conversation sessions, or identified workplace assessment tasks as required).

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| RPL Assessment Plan: CHC50113 Diploma of Early Childhood Education and Care | | | | | |
| **Instructions and notes** | | | | | |
| This form is for initial completion by the assessor ***with the candidate*** in Step 1, at the initial RPL interview and planning session—it sets out the steps in the RPL process for an individual candidate based on their needs and circumstances.  First, complete Parts 1 to 6, then, as the RPL assessment process continues, progressively update dates and activities using Part 7. Both the assessor and candidate should keep a copy and bring their copy to each RPL assessment activity for updating if needed. | | | | | |
| **Candidate’s personal details** | | | | | |
| **Family name** |  | **Given names** |  | **Employer** |  |

**PART 1: UNITS OF COMPETENCY FOR RPL**

| **Units of competency** *(If other electives selected, delete incorrect electives in the table and add selected electives.)* | Is RPL sought for this unit? | |
| --- | --- | --- |
| Cluster 1—Nutrition and children’s health and safety | | |
| **CHCECE002 Ensure the health and safety of children (core unit)** | Yes | No |
| **CHCECE004 Promote and provide healthy food and drinks (core unit)** | Yes | No |
| Cluster 2—Work practices | | |
| **CHCCS400C Work within a relevant legal and ethical framework (core unit)** | Yes | No |
| **CHCPRT001 Identify and respond to children and young people at risk (core unit)** | Yes | No |
| **CHCECE009 Use an approved learning framework to guide practice (core unit)** | Yes | No |
| Cluster 3—Relationships with children | | |
| **CHCECE007 Develop positive and respectful relationships with children (core unit)** | Yes | No |
| Cluster 4—Physical and emotional wellbeing | | |
| **CHCECE003 Provide care for children (core unit)** | Yes | No |
| **CHCECE005 Provide care for babies and toddlers (core unit)** | Yes | No |
| Cluster 5—Cultural awareness and competence | | |
| **CHCECE001 Develop cultural competence (core unit)** | Yes | No |
| **HLTHIR404D Work effectively with Aboriginal and/or Torres Strait Islander people (core unit)** | Yes | No |
| Cluster 6—Health, safety and quality | | |
| **CHCECE016 Establish and maintain a safe and healthy environment for children (core unit)** | Yes | No |
| **CHCECE019 Facilitate compliance in an education and care service (core unit)** | Yes | No |
| **HLTWHS003 Maintain work health and safety (core unit)** | Yes | No |
| Cluster 7—Leadership and service management | | |
| **CHCECE025 Embed sustainable practices in service operations (core unit)** | Yes | No |
| **CHCORG428A Reflect on and improve own professional practice (elective unit)** | Yes | No |
| **CHCORG506E Coordinate the work environment (elective unit)** | Yes | No |
| **CHCORG624E Provide leadership in community services delivery (elective unit)** | Yes | No |
| **CHCPOL504B Develop and implement policy (elective unit)** | Yes | No |
| Cluster 8—Children’s development and wellbeing | | |
| **CHCECE017 Foster the holistic development and wellbeing of the child in early childhood (core unit)** | Yes | No |
| **CHCECE018 Nurture creativity in children (core unit)** | Yes | No |
| **CHCSAC005 Foster the holistic development and wellbeing of the child in school age care (elective unit)** | Yes | No |
| Cluster 9—Program design and implementation | | |
| **CHCECE022 Promote children’s agency (core unit)** | Yes | No |
| **CHCECE023 Analyse information to inform learning (core unit)** | Yes | No |
| **CHCECE024 Design and implement the curriculum to foster children's learning and development (core unit)** | Yes | No |
| **CHCECE026 Work in partnership with families to provide appropriate education and care for children (core)** | Yes | No |
| Cluster 10—Children’s behaviour and inclusion | | |
| **CHCECE020 Establish and implement plans for developing cooperative behaviour (core unit)** | Yes | No |
| **CHCECE021 Implement strategies for the inclusion of all children (core unit)** | Yes | No |
| Unit not provided for in the *RPL Toolkit* but required for the qualification | See note below and record how this is to be provided: | |
| **HLTAID004 Provide an emergency first aid response in an education and care setting (core unit)** |
| *[If other electives are selected, add them below, and delete any above as applicable—5 electives are required.]* | | |
|  | Yes | No |
|  | Yes | No |
|  | Yes | No |
|  | Yes | No |
|  | Yes | No |

|  |  |
| --- | --- |
| **Note:** The core unit ***HLTAID004 Provide an emergency first aid response in an education and care setting*** is not provided for in the *RPL Toolkit*, apart from the capacity to record that it has been attained in the *RPL Assessment Outcomes* *Form*. | Depending on regulatory and workplace requirements, some candidates may hold the unit or its equivalent. If so, candidates could provide evidence such as a Statement of Attainment. If they do not hold the unit, they should be assessed against its requirements. |

**PART 2: AGREED DATES FOR CANDIDATE TO COMPLETE THEIR SELF-EVALUATION**

|  |  |  |
| --- | --- | --- |
| Candidate self-evaluation and workplace verification | Agreed date for completion | Done? |
| **Candidate Self-evaluation Tools** (The candidate should complete the *Candidate Self-evaluation Tools*, seek workplace verification, and return the completed tools to the assessor before or on the agreed date.) |  |  |
| **Workplace Representative Form** (The workplace representative should complete a *Workplace Representative Form*, when verifying the candidate’s self-evaluation. The candidate should return it and the assessor should retain it.) This should be returned with the Self-evaluation tools. |  |  |

**PART 3: AGREED DATES AND COVERAGE OF COMPETENCY CONVERSATIONS**

| **Competency conversation interviews** (Assessors should add details of the clusters or units to be addressed in each ‘competency conversation’ interview session in the table below. This section will usually be completed *after the initial interview* and assessor consideration of the candidate’s self-evaluation.) | Agreed date | Venue | Done? |
| --- | --- | --- | --- |
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**PART 4: AGREED DATES AND DETAILS OF WORKPLACE ASSESSMENT TASKS**

| **Workplace assessment tasks** (Assessors should add the number and brief title of the required workplace assessment tasks below, noting that this section will usually be completed *after* the competency conversation interview/s.) | Agreed date | Venue | Done? |
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**PART 5: THIRD PARTY REPORTING REQUIREMENTS**

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| --- | --- | --- |
| **Third Party Report** (Assessor to add brief summary of any required report/s below, noting that this section will usually be completed *after other RPL evidence gathering and assessment processes* if further workplace verification is required.) | Agreed date | Done? |
|  |  |  |

**PART 6: CANDIDATE AND ASSESSOR SIGN OFF (ON PLAN AS FIRST DEVELOPED)**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Signatures | | | | | | | |
| Assessor’s name |  | **Assessor signature** | |  | Date |  | |
| Candidate’s signature |  | | | | Date |  | |
| (If required, update the above information in the following section of the table, or attach additional sheets, as changes to the above are made.) | | | **Any updates?** | | Yes | | No |

**PART 7: ANY ADDITIONAL UPDATES OR CHANGES DURING THE RPL PROCESS**

| RPL Assessment Plan: Updated Information | Date and assessor and candidate initials |
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| **Finalisation of RPL process:** Once all the above-listed processes are completed and recorded, the assessor should provide the candidate with feedback, record the outcomes on the *RPL Toolkit’s RPL Assessment Outcomes Form*, and follow the RTO’s procedures to finalise the RPL process. |  |

# Template for the Workplace Representative Form

All workplace representatives who verify candidate performance should complete the following form. The assessor should provide it to the workplace representative when verification of candidate skills and knowledge is sought—perhaps by giving it to the candidate with the *Candidate* *Self-evaluation Tools* so they can in turn give it to the workplace representative, or by giving it directly to the workplace if a *Third Party Report* is requested and negotiated.

The form requires the workplace representative to verify that they understand the process and that any verification and information they provide will be, to the best of their ability, true and correct. The form is intended to reinforce the accountability and significance of workplace verifications.

|  |  |  |  |
| --- | --- | --- | --- |
| Confidential Workplace Representative Form: CHC50113 Diploma of Early Childhood Education and Care | | | |
| Purpose of this form: The Registered Training Organisation (RTO) in which the candidate below is enrolled, requires all workplace representatives who verify an RPL candidate’s skills and knowledge to complete this form. Such workplace representatives will typically be working with the candidate in a position of responsibility, perhaps their employer or supervisor, hold higher qualifications than the candidate, and have observed their workplace performance. To ensure all candidates gain recognition for skills and knowledge they genuinely hold, workplace representatives must carefully consider their verification of the candidate and provide accurate statements. | | | |
| Candidate’s name |  | **Candidate’s workplace** |  |

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Name of workplace representative completing this form | |  | Workplace | | |  | | | |
| Is the workplace verification related to *(tick response):* | | *Candidate Self-evaluation?* | Yes | | *Third Party Report?* | | | | Yes |
| What is your working relationship to the candidate? *(e.g. team leader, manager, employer, supervisor)* | | |  | | | | | | |
| Please list your qualifications and give a summary of your experience in Children’s Services | | | | | | | | | |
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| How long have you worked with the RPL candidate? *(Please provide date range if possible.)* | | |  | | | | | | |
| Have you had an explanation, and do you understand what is required of you, in providing verification of the candidate’s skills? | | | | | | | | Yes | No |
| Do you understand your responsibilities in verifying a candidate’s workplace skills and knowledge as accurately as possible? | | | | | | | | Yes | No |
| Would you like to be provided with more information about the requested workplace verification before you complete it? | | | | | | | | Yes | No |
| Are you willing to be contacted if further verification of the candidate’s skills and knowledge is required? | | | | | | | | Yes | No |
| Declaration: In signing this form you agree that you are appropriately qualified to verify the candidate’s current Children’s Services-related workplace skills and knowledge, and that if you provide the requested workplace verification, it will be, to the best of your knowledge, accurate and correct. | | | | | | | | | |
| Workplace representative’s signature |  | | | **Date** | | |  | | |

# Template for Third Party Report

The following template provides a basis for the assessor to design a Third Party Report where additional workplace verification of any aspect of their workplace skills or knowledge is required. For example, it could be used after candidate-directed workplace activities to gain evidence of application of knowledge.

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Third Party Report: CHC50113 Diploma of Early Childhood Education and Care | | | | | | | |
| **Instructions** | | | | | | | |
| In completing this report you are asked to provide ‘third party’ verification as accurately as possible that the candidate can apply the workplace skills and knowledge specified below. Please try to provide examples of how the candidate applies the skills or knowledge. *[Assessor to add required skills to table.]* | | | | | | | |
| **Details of workplace representative completing this report** | | | | | | | |
| **Family name** |  | **Given name** |  | **Employer** |  | | |
| Please give information on whether the candidate consistently applies the skills and knowledge listed below to the expected workplace standard. | | | | | | | |
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| I have completed and provided a *Workplace Representative Form* | | | | | | Yes | No |
| **Workplace representative’s signature** | |  | | | **Date** |  | |

# Template for RPL Assessment Outcomes Form

The *RPL Assessment Outcomes Form* provides the capacity to record the RPL assessment methods for each unit and the final outcomes of the RPL assessment process for all units of competency that make up the qualification.

The assessor should progressively add information on the RPL assessment methods undertaken with the candidate.

After the RPL assessment process for all units is concluded, the form should be finalised by the assessor and signed by both the assessor and candidate.

Depending on the RTOs’ record-keeping processes, the completed form would usually be kept in the candidate’s file, providing a summary of the processes undertaken and the final RPL outcomes.

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| RPL Assessment Outcomes: CHC50113 Diploma of Early Childhood Education and Care | | | | | |
| **Instructions and notes** | | | | | |
| This form is for completion by the assessor—it provides a cover sheet in which details of the final assessment outcome for a candidate and the basis for that decision can be recorded. Assessors should progressively add information as RPL assessment activities are undertaken. Depending on the RTO’s processes, the assessor could add information for units not assessed by RPL (e.g. credit transfer, national recognition, or training and assessment). Once final assessment is completed, assessors should finalise the form by ticking ‘Competent’ or ‘Not Yet Competent’ for each unit, signing the declaration and having the candidate also sign the form. The signed copy would usually then be retained in the candidate’s file of RPL assessment evidence. | | | | | |
| **Personal details** | | | | | |
| **Family name** |  | **Given names** |  | **Employer** |  |

| Units of competency | Record of RPL assessment processes undertaken for each unit *[Assessor to initial and date the evidence-gathering processes undertaken]* | | | | | Final Outcome *[Assessor to tick Competent (C) or Not Yet Competent (NYC) and initial and date]* | |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Core (C), Elective (E)  *[Assessor must amend this list if other electives are chosen]* | Initial RPL Interview | Candidate Self-evaluation | Competency Conversation Interview | Workplace Assessment Task | Third Party Report | C | NYC |
| CHCECE002 Ensure the health and safety of children (C) |  |  |  |  |  |  |  |
| CHCECE004 Promote and provide healthy food and drinks (C) |  |  |  |  |  |  |  |
| CHCCS400C Work within a relevant legal and ethical framework (C) |  |  |  |  |  |  |  |
| CHCPRT001 Identify and respond to children and young people at risk (C) |  |  |  |  |  |  |  |
| CHCECE009 Use an approved learning framework to guide practice (C) |  |  |  |  |  |  |  |
| CHCECE007 Develop positive and respectful relationships with children (C) |  |  |  |  |  |  |  |
| CHCECE003 Provide care for children (C) |  |  |  |  |  |  |  |
| CHCECE005 Provide care for babies and toddlers (C) |  |  |  |  |  |  |  |
| CHCECE001 Develop cultural competence (C) |  |  |  |  |  |  |  |
| HLTHIR404D Work effectively with Aboriginal and/or Torres Strait Islander people (C) |  |  |  |  |  |  |  |
| CHCECE016 Establish and maintain a safe and healthy environment for children (C) |  |  |  |  |  |  |  |
| CHCECE019 Facilitate compliance in an education and care service (C) |  |  |  |  |  |  |  |
| HLTWHS003 Maintain work health and safety (C) |  |  |  |  |  |  |  |
| CHCECE025 Embed sustainable practices in service operations (C) |  |  |  |  |  |  |  |
| CHCORG428A Reflect on and improve own professional practice (E) |  |  |  |  |  |  |  |
| CHCORG506E Coordinate the work environment (E) |  |  |  |  |  |  |  |
| CHCORG624E Provide leadership in community services delivery (E) |  |  |  |  |  |  |  |
| CHCPOL504B Develop and implement policy (E) |  |  |  |  |  |  |  |
| CHCECE017 Foster the holistic development and wellbeing of the child in early childhood (C) |  |  |  |  |  |  |  |
| CHCECE018 Nurture creativity in children (C) |  |  |  |  |  |  |  |
| CHCSAC005 Foster the holistic development and wellbeing of the child in school age care (E) |  |  |  |  |  |  |  |
| CHCECE022 Promote children’s agency (C) |  |  |  |  |  |  |  |
| CHCECE023 Analyse information to inform learning (C) |  |  |  |  |  |  |  |
| CHCECE024 Design and implement the curriculum to foster children's learning and development (C) |  |  |  |  |  |  |  |
| CHCECE026 Work in partnership with families to provide appropriate education and care for children (C) |  |  |  |  |  |  |  |
| CHCECE020 Establish and implement plans for developing cooperative behaviour (C) |  |  |  |  |  |  |  |
| CHCECE021 Implement strategies for the inclusion of all children (C) |  |  |  |  |  |  |  |
| HLTAID004 Provide an emergency first aid response in an education and care setting (C) *[NB: Not provided for in the RPL Toolkit—record how attained.]* |  |  |  |  |  |  |  |
| *[If other electives are selected, add them below, and delete any above as applicable—5 electives are required.]* | | | | | | | |
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| Assessor’s declaration: On the basis of the assessment outcomes listed above, the candidate meets the requirements to be awarded the qualification CHC50113 Diploma of Early Childhood Education and Care. | | | Yes | No |
| **Assessor’s signature** |  | **Date** |  | |
| **Candidate’s signature** |  | **Date** |  | |

# Candidate Self-evaluation Tools

**Introduction**

The Candidate Self-evaluation Tools are for the candidate’s own evaluation. The candidate is required to consider the workplace tasks they can do, and complete these tools in their own time. The candidate should be given the tools at **Step 1 of the RPL assessment process**—the initial interview and planning session. The assessor should advise the candidate on how to complete the self-evaluation, including the need for workplace verification.

The tools allow candidates to document and broadly evaluate their knowledge and skills against workplace tasks they believe they can do, and to collate some initial evidence.

The tools include instructions for assessors, candidates and workplaces, self-evaluation tools for ten clusters of units of competency, and a form for listing any attached evidence.

The units of competency are arranged in ten clusters as follows.

**Clusters 1 to 5**

The Candidate Self-evaluation Tools in Clusters 1 to 5 relate to ten of 11 core units in the *CHC50113 Diploma of Early Childhood Education and Care* that are also core in the *CHC30113 Certificate III in Early Education and Care [[1]](#footnote-1).* Please see the *Assessor Guide* for details of candidate pathways.

***Cluster 1—Nutrition and children’s health and safety***

CHCECE002 Ensure the health and safety of children

CHCECE004 Promote and provide healthy food and drinks

***Cluster 2—Work practices***

CHCCS400C Work within a relevant legal and ethical framework

CHCECE009 Use an approved learning framework to guide practice

CHCPRT001 Identify and respond to children and young people at risk

***Cluster 3—Relationships with children***

CHCECE007 Develop positive and respectful relationships with children

***Cluster 4—Physical and emotional wellbeing***

CHCECE003 Provide care for children

CHCECE005 Provide care for babies and toddlers

***Cluster 5—Cultural awareness and competence***

CHCECE001 Develop cultural competence

HLTHIR404D Work effectively with Aboriginal and/or Torres Strait Islander people

**Clusters 6 to 10**

The Candidate Self-evaluation Tools in Clusters 6 to 10 relate to the remaining 12 core units required for the *CHC50113 Diploma of Early Childhood Education and Care* and five electives.

***Cluster 6—Health, safety and quality***

CHCECE016 Establish and maintain a safe and healthy environment for children (core)

CHCECE019 Facilitate compliance in an education and care service (core)

HLTWHS003 Maintain work health and safety (core)

***Cluster 7—Leadership and service management***

CHCECE025 Embed sustainable practices in service operations (core)

CHCORG428A Reflect on and improve own professional practice (elective)

CHCORG506E Coordinate the work environment (elective)

CHCORG624E Provide leadership in community services delivery (elective)

CHCPOL504B Develop and implement policy (elective)

***Cluster 8—Children’s development and wellbeing***

CHCECE017 Foster the holistic development and wellbeing of the child in early childhood (core)

CHCECE018 Nurture creativity in children (core)

CHCSAC005 Foster the holistic development and wellbeing of the child in school age care (elective)

***Cluster 9—Program design and implementation***

CHCECE022 Promote children’s agency (core)

CHCECE023 Analyse information to inform learning (core)

CHCECE024 Design and implement the curriculum to foster children's learning and development (core)

CHCECE026 Work in partnership with families to provide appropriate education and care for children (core)

***Cluster 10—Children’s behaviour and inclusion***

CHCECE020 Establish and implement plans for developing cooperative behaviour (core)

CHCECE021 Implement strategies for the inclusion of all children (core)

**Use of the Candidate Self-evaluation Tools**

Assessors should provide the required Candidate Self-evaluation Tools, depending on any units already held. The *Assessor Guide* explains pathways for candidates. In summary:

* candidates who hold **no Children's Services or Early Childhood Education and Care qualifications** or relevant units would be provided with the Self-evaluation Tools for **Clusters 1 to 10**(as they hold *none* of the units of competency required for CHC50113)
* candidates who hold the **replaced Children's Services Certificate III and Diploma qualifications** (CHC30708/12 and CHC50908) would be provided with the Self-evaluation Tools for **Clusters 1 to 10** (as they hold no units of competency *equivalent* to the units required for CHC50113)
* candidates who hold the ***CHC30113 Certificate III in Early Childhood Education and Care*** would be provided with the Candidate Self-evaluation Tools for **Clusters 6 to 10** only (as they *already hold* the 10 core units of competency required for CHC50113 that are in Clusters 1 to 5 in this Toolkit).

**Note:** If students require additional support to complete the self-evaluation, this should be provided

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| Candidate Self-evaluation Tools: Instructions for the assessor | |
| **Assessors should read the following notes before providing candidates with the self-evaluation tools** | |
| **What are these tools?**  These tools include self-evaluation forms each related to a ‘cluster’ of units of competency, and a form to list attached documents—to be completed independently by the candidate and verified by the workplace. When completed, these provide evidence of workplace tasks the candidate believes they can do and examples of how they apply skills and knowledge, with this information supplemented and verified by the workplace. Please note that, except for Cluster 4, none of the unit clusters are the same as the clusters in the CHC30113 *RPL Toolkit* (see the *Assessor Guide* for details).  **Note:** The candidate self-evaluation will not be sufficient to determine competence (the *RPL Toolkit* requires candidates to be assessed in the workplace). However, it will provide evidence and information that can be used in determining the next steps in the RPL assessment process.  **Instructions for assessors providing the tools**  Provide the candidate with the tools(including the ‘Instructions to the Candidate’ and the ‘List of attached documents’ section) **during Step 1 of the RPL assessment process** (at the initial interview/planning session).  **Make sure the correct tools are provided**—for example, candidates who hold the *CHC30113 Certificate III in Early Childhood Education and Care* would need to be provided with the Tools for Clusters 6 to 10 only (as they already hold the 10 core units that are in Clusters 1 to 5 in this Toolkit). Other candidates will usually require tools for Clusters 1 to 10—check pathways in the *Assessor Guide*. Before providing the tools, remove any sections for any units in which the candidate has been assessed as competent (for example, by credit transfer). | When providing the *Candidate Self-evaluation Tools*:   * advise the candidate that the completed tools will be part of the evidence to be considered in determining their competence, and go through the tools, showing the candidate how to complete them * determine any support that could be needed by the candidate, such as language, literacy or numeracy support or skills in using a computer if needed, and advise how this can be sourced * inform the candidate that the RPL process is *not* based on documentary evidence only—that is, while documents can be attached, the candidate does not need to provide documents as evidence of every skill they claim they hold, as other assessment processes will also be used * inform the candidate that they will need to seek verification of their completed self-evaluation from a workplace representative (that is, a person in a position of responsibility who holds higher qualifications than they do and has observed their workplace performance) * give the candidate the *Workplace Representative Form* that also must be completed by the workplace representative.   **Instructions for assessors in reviewing the completed tools**  After the completed tools are returned, assessors should check them for completion and sign-off, review the information and any evidence provided, and add comments to the tools in the section provided at the end of each.  The information gathered from the candidate self-evaluation should guide the assessor in determining the focus of the ‘competency conversations’ and workplace assessment tasks to be undertaken. |

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| Candidate Self-evaluation Tools: Instructions for the RPL candidate and workplace representative | |
| **RPL candidates and workplace personnel—please read the following notes before completing the tables** | |
| **What is in this document?**  This document will help you to evaluate the knowledge and skills you apply at work**—**the first step in your RPL process.  The following pages include sections with tables with ‘clusters’ of units of competency, and there is also a table for listing any attached documents.  **How to conduct the self-evaluation**   * Completing this self-evaluation will take some time—please allow enough time to think very carefully about the workplace tasks you ***can do***. * The tools include numbered tasks. Think about each task. Consider if you do it **‘very well’**, **‘quite well’** or **‘no, or not well’**, and tick the box. Then, add examples of how you do the task in the space provided. * After you have completed the tables, ask a workplace representative to complete and sign the workplace sections. This should be someone who knows your work, perhaps your employer or supervisor. They will also need to complete a *Workplace Representative Form*.   The completed tables give the assessor important evidence of tasks you believe you can do, to help them to decide the next RPL steps.  **You can attach documents**  Documents can be evidence… you might have documents at work or at home that show you can do some of the workplace tasks. If so, attach them to the back of the completed tables, and add details to the ‘*List of Attached Documents’* table. | In thinking about documents to attach, remember:   * you don’t have to find documents for every workplace task—only where you can easily find these, and in line with your assessor’s suggestions * any document can be listed against more than one workplace task * the table has a space to put the document number—make sure the same number is on the attached document * to maintain confidentiality, remove all personal information from any workplace documents you use.   **Instructions for workplace representatives**  The *Workplace Guide* explains the RPL assessment process. If you do not have this, please ask the assessor or the candidate.  As a workplace representative you are required to verify the candidate’s self-evaluation. To do this you need to be someone in a position of responsibility who knows the candidate’s performance and holds higher qualifications than they do, perhaps an employer or supervisor. Confirm the self-evaluation (where they have observed it) and provide comments and examples—you will also need to complete a *Workplace Representative Form*.  **After candidates have completed the self-evaluation**  Copy the completed tables and *Workplace Representative Form* for your records, and give a copy to the assessor on the date agreed in the *RPL Assessment Plan*. |

Top of Form

| Candidate self-evaluation for Cluster 1—Nutrition and children’s health and safety | | | |
| --- | --- | --- | --- |
| Units of competency:   * *CHCECE002 Ensure the health and safety of children* (core unit) * *CHCECE004 Promote and provide healthy food and drinks* (core unit)   Go to your *Candidate Guide* to see a brief description of the above units. The full text of the units can also be viewed at [www.training.gov.au](http://www.training.gov.au) | | | |
| Candidate’s name |  | **Date completed** |  |

Bottom of Form

| Workplace tasks related to cluster 1—Nutrition and children’s health and safety | I do the workplace task… | | | Evidence and examples | | Confirmation by workplace representative - please tick and initial if candidate can do the task |
| --- | --- | --- | --- | --- | --- | --- |
| …very well *I’m sure I can do the task* | …quite well *I think I can do the task* | …no, or not well *I don’t (or can’t) do the task* | Candidates *may* attach documents as evidence of workplace tasks—if so, add the document number   Candidates who can do the workplace task—give brief examples below of how you do this at work  | Document number |
| 1. Providing a clean and safe environment for children in an early childhood education and care service |  |  |  |  |  |  |
| 1. Recognising and responding to signs of illness of children, including signs and symptoms of asthma and anaphylaxis |  |  |  |  |  |  |
| 1. Reading and interpreting medical and food-related information |  |  |  | For example, authorisation forms, medication labels, medical management plans and food labels. |  |  |
| 1. Developing children’s awareness of safety |  |  |  |  |  |  |
| 1. Planning and providing food and drink to children in line with healthy eating guidelines |  |  |  |  |  |  |
| 1. Engaging children by involving them in menu planning and assisting in meal preparation |  |  |  |  |  |  |
| Candidate’s signature (In signing this form you are verifying that information you have provided is true and correct.) | | | |  | | |

|  |  |  |  |
| --- | --- | --- | --- |
| Workplace representative’s comments for cluster 1—Nutrition and children’s health and safety Please provide brief comments on whether the candidate can do the above workplace tasks, including examples you have seen if possible, and sign the form where indicated. Note: You will also be asked to complete a *Workplace Representative Form* verifying that the information you have provided is accurate. | | | |
|  | | | |
| Workplace representative’s name |  | **Workplace title** |  |
| Workplace representative’s signature |  | **Date** |  |

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| THE FOLLOWING SECTION IS FOR OFFICE USE ONLY |
| Instructions to assessors  Assessors must consider the evidence provided. This first step in the RPL assessment process will contribute to evidence of the candidate’s competency, but will not yield evidence that meets the rules of evidence (i.e. valid, sufficient, current and authentic evidence) against the units of competency assessment requirements. Assessors should advise the candidate of the units to be considered in the competency conversation interview or other assessments. |

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| Assessor’s comments re Cluster 1—Nutrition and children’s health and safety (Summarise your feedback to the candidate, or provide any other objective comments in relation to the self-evaluation and evidence below.) | | | |
|  | | | |
| Assessor’s name |  | | |
| Assessor’s signature |  | **Date** |  |

|  |  |  |  |
| --- | --- | --- | --- |
| Candidate self-evaluation for Cluster 2—Work practices | | | |
| Units of competency:   * *CHCCS400C Work within a relevant legal and ethical framework* (core unit) * *CHCECE009 Use an approved learning framework to guide practice* (core unit) * *CHCPRT001 Identify and respond to children and young people at risk* (core unit)   Go to your *Candidate Guide* to see a brief description of the above units. The full text of the units can also be viewed at [www.training.gov.au](http://www.training.gov.au) | | | |
| Candidate’s name |  | **Date completed** |  |

| Workplace tasks related to cluster 2—Work practices | I do the workplace task… | | | Evidence and examples of applying workplace tasks | | Confirmation by workplace representative - please tick and initial if candidate can do the task |
| --- | --- | --- | --- | --- | --- | --- |
| …very well *I’m sure I can do the task* | …quite well *I think I can do the task* | …no, or not well *I don’t (or can’t) do the task* | Candidates *may* attach documents as evidence of workplace tasks—if so, add the document number   Candidates who can do the workplace task—give brief examples below of how you do this at work  | Document number |
| 1. Understanding and following your own work roles and responsibilities in an early childhood education and care service |  |  |  |  |  |  |
| 1. Following organisational policies, protocols and procedures in an early childhood education and care workplace |  |  |  |  |  |  |
| 1. Working within ethical frameworks in an early childhood education and care service |  |  |  |  |  |  |
| 1. Addressing duty of care requirements in an early childhood education and care service |  |  |  |  |  |  |
| 1. Providing children with opportunities to maximise their potential and develop a foundation for their future success |  |  |  |  |  |  |
| 1. Working with others to implement an approved learning framework in an early childhood education and care service |  |  |  | Please make sure you name the approved framework/s. |  |  |
| 1. Investigating and documenting pedagogical practices in an early childhood education and care service |  |  |  | Go to <http://deewr.gov.au/early-years-learning-framework> if you need more information on pedagogical practices. |  |  |
| 1. Implementing work practices that support the protection of children and young people |  |  |  | This includes knowing the procedures for reporting children at risk. |  |  |
| Candidate’s signature (In signing this form you are verifying that information you have provided is true and correct.) | | | |  | | |

|  |  |  |  |
| --- | --- | --- | --- |
| Workplace representative’s comments for cluster 2—Work practices Please provide brief comments on whether the candidate can do the above workplace tasks, including examples you have seen if possible, and sign the form where indicated. Note: You will also be asked to complete a *Workplace Representative Form* verifying that the information you have provided is accurate. | | | |
|  | | | |
| Workplace representative’s name |  | **Workplace title** |  |
| Workplace representative’s signature |  | **Date** |  |

|  |
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| THE FOLLOWING SECTION IS FOR OFFICE USE ONLY |
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| Assessor’s comments re cluster 2—Work practices (Summarise your feedback to the candidate, or provide any other objective comments in relation to the self-evaluation below.) | | | |
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| Assessor’s name |  | | |
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| Candidate self-evaluation for Cluster 3—Relationships with children | | | |
| Unit of competency:   * *CHCECE007 Develop positive and respectful relationships with children* (core unit)   Go to your *Candidate Guide* to see a brief description of the above unit. The full text of the units can also be viewed at [www.training.gov.au](http://www.training.gov.au) | | | |
| Candidate’s name |  | **Date completed** |  |

| Workplace tasks related to cluster 3—Relationships with children | I do the workplace task… | | | Evidence and examples of applying workplace tasks | | Confirmation by workplace representative - please tick and initial if candidate can do the task |
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| …very well I’m sure I can do the task | …quite well I think I can do the task | …no, or not well I don’t (or can’t) do the task | Candidates *may* attach documents as evidence of workplace tasks—if so, add the document number   Candidates who can do the workplace task—give brief examples below of how you do this at work  | Document number |
| 1. Interacting positively and effectively with children |  |  |  |  |  |  |
| 1. Involving and encouraging children in decision-making and planning |  |  |  |  |  |  |
| 1. Encouraging children to respect similarities and differences between each other |  |  |  |  |  |  |
| 1. Using appropriate techniques to guide the behaviour of children |  |  |  |  |  |  |
| 1. Assessing and responding appropriately to behaviours of concern |  |  |  |  |  |  |
| 1. Maintaining the rights and dignity of children when guiding their behaviour |  |  |  |  |  |  |
| Candidate’s signature (In signing this form you are verifying that information you have provided is true and correct.) | | | |  | | |

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| Workplace representative’s comments for cluster 3—Relationships with children Please provide brief comments on whether the candidate can do the above workplace tasks, including examples you have seen if possible, and sign the form where indicated. Note: You will also be asked to complete a *Workplace Representative Form* verifying that the information you have provided is accurate. | | | |
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| Workplace representative’s name |  | **Workplace title** |  |
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| Assessor’s comments cluster 3—Relationships with children (Summarise your feedback to the candidate, or provide any other objective comments in relation to the self-evaluation below.) | | | |
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| Candidate self-evaluation for Cluster 4—Physical and emotional wellbeing | | | |
| Units of competency:   * *CHCECE003 Provide care for children* (core unit) * *CHCECE005 Provide care for babies and toddlers* (core unit)   Go to your *Candidate Guide* to see a brief description of the above units. The full text of the units can also be viewed at [www.training.gov.au](http://www.training.gov.au) | | | |
| Candidate’s name |  | **Date completed** |  |

| Workplace tasks related to cluster 4—Physical and emotional wellbeing | I do the workplace task… | | | Evidence and examples of applying workplace tasks | | Confirmation by workplace representative - please tick and initial if candidate can do the task |
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| …very well I’m sure I can do the task | …quite well I think I can do the task | …no, or not well I don’t (or can’t) do the task | Candidates *may* attach documents as evidence of workplace tasks—if so, add the document number   Candidates who can do the workplace task—give brief examples below of how you do this at work  | Document number |
| 1. Providing care and responding appropriately to children |  |  |  |  |  |  |
| 1. Promoting physical activity to children and engaging them in discussions about physical health and wellbeing |  |  |  |  |  |  |
| 1. Supporting children through transition and change |  |  |  |  |  |  |
| 1. Providing care to babies and toddlers using safe and hygienic practices |  |  |  |  |  |  |
| 1. Developing nurturing and securely attached relationships with babies and toddlers |  |  |  |  |  |  |
| 1. Supporting the learning of babies and toddlers |  |  |  |  |  |  |
| Candidate’s signature (In signing this form you are verifying that information you have provided is true and correct.) | | | |  | | |

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| Workplace representative’s comments for cluster 4—Physical and emotional wellbeing Please provide brief comments on whether the candidate can do the above workplace tasks, including examples you have seen if possible, and sign the form where indicated. Note: You will also be asked to complete a *Workplace Representative Form* verifying that the information you have provided is accurate. | | | |
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| Workplace representative’s name |  | **Workplace title** |  |
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| Assessor’s comments re cluster 4—Physical and emotional wellbeing (Summarise your feedback to the candidate, or provide any other objective comments in relation to the self-evaluation below.) | | | |
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| Candidate self-evaluation for Cluster 5—Cultural competence and awareness | | | |
| Units of competency:   * *CHCECE001 Develop cultural competence* (core unit) * *HLTHIR404D Work effectively with Aboriginal and/or Torres Strait Islander people* (core unit)   Go to your *Candidate Guide* to see a brief description of the above units. The full text of the units can also be viewed at [www.training.gov.au](http://www.training.gov.au) | | | |
| Candidate’s name |  | **Date completed** |  |

| Workplace tasks related to cluster 5—Cultural competence and awareness | I do the workplace task… | | | Evidence and examples of applying workplace tasks | | Confirmation by workplace representative - please tick and initial if candidate can do the task |
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| …very well I’m sure I can do the task | …quite well  I think I can do the task | …no, or not well I don’t (or can’t) do the task | Candidates *may* attach documents as evidence of workplace tasks—if so, add the document number   Candidates who can do the workplace task—give brief examples below of how you do this at work  | Document number |
| 1. Reflecting on your own cultural identity and biases |  |  |  |  |  |  |
| 1. Investigating cultural diversity in an early childhood education and care workplace, and in a community |  |  |  |  |  |  |
| 1. Supporting cross-cultural understanding and relationships |  |  |  |  |  |  |
| 1. Interacting in culturally appropriate ways with children, families and communities |  |  |  |  |  |  |
| 1. Encouraging children to respect all cultures and to celebrate cultural differences |  |  |  |  |  |  |
| 1. Identifying and implementing culturally safe work practices |  |  |  |  |  |  |
| 1. Forming mentoring arrangements with Aboriginal and/or Torres Strait Islander people |  |  |  |  |  |  |
| Candidate’s signature (In signing this form you are verifying that information you have provided is true and correct.) | | | |  | | |

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| Workplace representative’s comments for cluster 5—Cultural competency and inclusion Please provide brief comments on whether the candidate can do the above workplace tasks, including examples you have seen if possible, and sign the form where indicated. Note: You will also be asked to complete a *Workplace Representative Form* verifying that the information you have provided is accurate. | | | |
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| Workplace representative’s name |  | **Workplace title** |  |
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| Assessor’s comments re cluster 5—Cultural competency and inclusion (Summarise your feedback to the candidate, or provide any other objective comments in relation to the self-evaluation below.) | | | |
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| Assessor’s name |  | | |
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| Candidate self-evaluation for Cluster 6—Health, safety and quality | | | |
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| Units of competency:   * *CHCECE016 Establish and maintain a safe and healthy environment for children* (core unit) * *CHCECE019 Facilitate compliance in an education and care service* (core unit) * *HLTWHS003 Maintain work health and safety* (core unit)   Go to your Candidate Guide to see a brief description of the above units. The full text of the units can also be viewed at [www.training.gov.au](http://www.training.gov.au) | | | |
| Candidate’s name |  | **Date completed** |  |

Bottom of Form

| Workplace tasks related to cluster 6—Health, safety and quality | I do the workplace task… | | | Evidence and examples | | Confirmation by workplace representative - please tick and initial if candidate can do the task |
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| …very well *I’m sure I can do the task* | …quite well *I think I can do the task* | …no, or not well *I don’t (or can’t) do the task* | Candidates *may* attach documents as evidence of workplace tasks—if so, add the document number   Candidates who can do the workplace task—give brief examples below of how you do this at work  | Document number |
| 1. Establishing and maintaining an environment that is safe and healthy for children |  |  |  | Includes planning and coordinating supervision of children and promoting and monitoring safety practices such as administration of medicines and safe food handling. |  |  |
| 1. Communicating work health and safety (WHS) information to colleagues in an early childhood education and care service |  |  |  | Includes communicating hazards/safety issues, explaining WHS policies and procedures, and demonstrating safe housekeeping practices and correct use of personal protective equipment (PPE). |  |  |
| 1. Supporting children to take increasing responsibility for their own health and physical wellbeing |  |  |  |  |  |  |
| 1. Coordinating appropriate procedures for handling children’s infections and illnesses |  |  |  | Includes communicating with families. |  |  |
| 1. Coordinating emergency responses in an early childhood education and care service |  |  |  | Includes developing evacuation plans and following workplace procedures for a simulated emergency situation. |  |  |
| 1. Conducting a workplace risk assessment and recording the results |  |  |  | Includes identifying hazards and potential hazards, developing strategies for minimising risk, and analysing residual risk. |  |  |
| 1. Completing a workplace incident report |  |  |  |  |  |  |
| 1. Checking and maintaining compliance in an early childhood education and care service |  |  |  | Includes interpreting and applying the National Quality Framework, and facilitating a self-assessment process. |  |  |
| 1. Developing a quality improvement plan and reviewing it with colleagues |  |  |  | Includes documenting the plan and checking it for accuracy and completeness. |  |  |
| Candidate’s signature (In signing this form you are verifying that information you have provided is true and correct.) | | | |  | | |

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| Workplace representative’s comments for cluster 6—Health, safety and quality Please provide brief comments on whether the candidate can do the above workplace tasks, including examples you have seen if possible, and sign the form where indicated. Note: You will also be asked to complete a *Workplace Representative Form* verifying that the information you have provided is accurate. | | | |
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| Workplace representative’s name |  | **Workplace title** |  |
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| Assessor’s comments re cluster 6—Health, safety and quality (Summarise your feedback to the candidate, or provide any other objective comments in relation to the self-evaluation and evidence below.) | | | |
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| Candidate self-evaluation for Cluster 7—Leadership and service management | | | |
| Units of competency:   * *CHCECE025 Embed sustainable practices in service operations* (core unit) * *CHCORG428A Reflect on and improve own professional practice* (elective unit) * *CHCORG506E Coordinate the work environment* (elective unit) * *CHCORG624E Provide leadership in community services delivery* (elective unit) * *CHCPOL504B Develop and implement policy* (elective unit)   Go to your *Candidate Guide* to see a brief description of the above units. The full text of the units can also be viewed at [www.training.gov.au](http://www.training.gov.au) | | | |
| Candidate’s name |  | **Date completed** |  |

| Workplace tasks related to cluster 7—Leadership and service management | I do the workplace task… | | | Evidence and examples of applying workplace tasks | | Confirmation by workplace representative - please tick and initial if candidate can do the task |
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| …very well *I’m sure I can do the task* | …quite well *I think I can do the task* | …no, or not well *I don’t (or can’t) do the task* | Candidates *may* attach documents as evidence of workplace tasks—if so, add the document number   Candidates who can do the workplace task—give brief examples below of how you do this at work  | Document number |
| 1. Encouraging children to develop respect for the natural environment |  |  |  |  |  |  |
| 1. Analysing the environmental sustainability of an early childhood education and care service |  |  |  |  |  |  |
| 1. Designing, implementing and reviewing a program to enhance environmental sustainability in an early childhood education and care service |  |  |  |  |  |  |
| 1. Encouraging others to participate in a program to enhance environmental sustainability |  |  |  | Includes colleagues, children, families and the broader community. |  |  |
| 1. Influencing effective practices in an early childhood education and care service |  |  |  | Includes establishing effective procedures to maximise staff work performance, negotiating changes to work practices to enhance environmental sustainability, and using strategic planning to achieve workplace outcomes. |  |  |
| 1. Developing effective working relationships with all staff in an early childhood education and care service |  |  |  | Includes using positive communication, negotiation and decision-making skills. |  |  |
| 1. Providing leadership in an early childhood education and care service to enable the achievement of common goals |  |  |  |  |  |  |
| 1. Researching and consulting with others to develop policies in an early childhood education and care service |  |  |  |  |  |  |
| 1. Implementing policies and procedures in an early childhood education and care service |  |  |  | Includes testing policies and procedures before implementation, and reviewing their effectiveness after implementation. |  |  |
| 1. Being aware of and sensitive to conflict, culture and context in an early childhood education and care service |  |  |  |  |  |  |
| 1. Reflecting on and developing your personal capability to meet professional standards in an early childhood education and care service |  |  |  | Includes actively seeking and participating in professional development, seeking and reflecting upon feedback, seeking opportunities for supervision and mentoring, and integrating learning into improved practice. |  |  |
| 1. Operating within an agreed code of ethics or practice in an early childhood education and care service |  |  |  |  |  |  |
| Candidate’s signature (In signing this form you are verifying that information you have provided is true and correct.) | | | |  | | |

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| Workplace representative’s comments for cluster 7—Leadership and service management Please provide brief comments on whether the candidate can do the above workplace tasks, including examples you have seen if possible, and sign the form where indicated. Note: You will also be asked to complete a *Workplace Representative Form* verifying that the information you have provided is accurate. | | | |
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| Workplace representative’s name |  | **Workplace title** |  |
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| Assessor’s comments re cluster 7—Leadership and service management (Summarise your feedback to the candidate, or provide any other objective comments in relation to the self-evaluation below.) | | | |
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| Candidate self-evaluation for Cluster 8—Children’s development and wellbeing | | | |
| Unit of competency:   * *CHCECE017 Foster the holistic development and wellbeing of the child in early childhood* (core unit) * *CHCECE018 Nurture creativity in children* (core unit) * *CHCSAC005 Foster the holistic development and wellbeing of the child in school age care* (elective unit)   Go to your *Candidate Guide* to see a brief description of the above unit. The full text of the units can also be viewed at [www.training.gov.au](http://www.training.gov.au) | | | |
| Candidate’s name |  | **Date completed** |  |

| Workplace tasks related to cluster 8—Children’s development and wellbeing | I do the workplace task… | | | Evidence and examples of applying workplace tasks | | Confirmation by workplace representative - please tick and initial if candidate can do the task |
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| …very well I’m sure I can do the task | …quite well I think I can do the task | …no, or not well I don’t (or can’t) do the task | Candidates *may* attach documents as evidence of workplace tasks—if so, add the document number   Candidates who can do the workplace task—give brief examples below of how you do this at work  | Document number |
| 1. Planning and providing opportunities for children to develop in a range of areas, including physical, social, emotional, cognitive and language development |  |  |  | You will need to have planned and provided opportunities of this nature on at least six occasions in which at least three of the children involved were aged between 5 and 12 years. |  |  |
| 1. Facilitating and supporting emotional and psychological development in children |  |  |  |  |  |  |
| 1. Encouraging self-help and independence in children |  |  |  |  |  |  |
| 1. Planning opportunities to foster children’s positive self-concept and self-esteem |  |  |  |  |  |  |
| 1. Providing a positive and safe environment to encourage children to express their thoughts, feelings and ideas |  |  |  |  |  |  |
| 1. Planning and providing programs or active learning environments that foster creativity in children |  |  |  | You will need to have planned and provided programs of this nature on at least three occasions, and to have encouraged at least three children of varying ages to actively participate. |  |  |
| 1. Providing creative experiences that are initiated by children |  |  |  |  |  |  |
| 1. Providing dramatic and imaginative play opportunities |  |  |  |  |  |  |
| 1. Providing creative approaches to routines |  |  |  |  |  |  |
| 1. Providing opportunities for children to develop self-expression skills in music, movement, construction, visual art and dramatic play |  |  |  |  |  |  |
| Candidate’s signature (In signing this form you are verifying that information you have provided is true and correct.) | | | |  | | |

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| Workplace representative’s comments for cluster 8—Children’s development and wellbeing Please provide brief comments on whether the candidate can do the above workplace tasks, including examples you have seen if possible, and sign the form where indicated. Note: You will also be asked to complete a *Workplace Representative Form* verifying that the information you have provided is accurate. | | | |
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| Workplace representative’s name |  | **Workplace title** |  |
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| Assessor’s comments cluster 8—Children’s development and wellbeing (Summarise your feedback to the candidate, or provide any other objective comments in relation to the self-evaluation below.) | | | |
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| Candidate self-evaluation for Cluster 9—Program design and implementation | | | |
| Units of competency:   * *CHCECE022 Promote children’s agency* (core unit) * *CHCECE023 Analyse information to inform learning* (core unit) * *CHCECE024 Design and implement the curriculum to foster children's learning and development* (core unit) * *CHCECE026 Work in partnership with families to provide appropriate education and care for children* (core unit)   Go to your *Candidate Guide* to see a brief description of the above units. The full text of the units can also be viewed at [www.training.gov.au](http://www.training.gov.au) | | | |
| Candidate’s name |  | **Date completed** |  |

| Workplace tasks related to cluster 9—Program design and implementation | I do the workplace task… | | | Evidence and examples of applying workplace tasks | | Confirmation by workplace representative - please tick and initial if candidate can do the task |
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| …very well I’m sure I can do the task | …quite well I think I can do the task | …no, or not well I don’t (or can’t) do the task | Candidates *may* attach documents as evidence of workplace tasks—if so, add the document number   Candidates who can do the workplace task—give brief examples below of how you do this at work  | Document number |
| 1. Planning and implementing learning programs that promote and encourage children’s participation |  |  |  | You will need to have planned/implemented at least two programs (such as learning environments and experiences) that promote children’s agency. |  |  |
| 1. Establishing environments and opportunities in response to children’s interests |  |  |  |  |  |  |
| 1. Collecting and documenting observations of children, and using this information to inform planning and curriculum design |  |  |  | You will need to have observed at least three different children and documented their behaviour, learning, play preferences, strengths, interests and relationships. |  |  |
| 1. Designing and implementing curriculum in consultation with children, their families and other educators |  |  |  |  |  |  |
| 1. Sharing information with children, families, educators, experts and specialists |  |  |  |  |  |  |
| 1. Working collaboratively with families to support children’s education and care |  |  |  | You need to have worked with at least three different families, where you developed care strategies together, discussed each child’s interests/development and planned for individual needs. |  |  |
| 1. Involving families in the delivery and evaluation of early childhood education and care programs |  |  |  | Includes encouraging families to participate in their child’s experiences and providing opportunities for them to give feedback. |  |  |
| Candidate’s signature (In signing this form you are verifying that information you have provided is true and correct.) | | | |  | | |

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| Workplace representative’s comments for cluster 9—Program design and implementation Please provide brief comments on whether the candidate can do the above workplace tasks, including examples you have seen if possible, and sign the form where indicated. Note: You will also be asked to complete a *Workplace Representative Form* verifying that the information you have provided is accurate. | | | |
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| Workplace representative’s name |  | **Workplace title** |  |
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| Assessor’s comments re cluster 9—Program design and implementation (Summarise your feedback to the candidate, or provide any other objective comments in relation to the self-evaluation below.) | | | |
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| Assessor’s signature |  | **Date** |  |

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| Candidate self-evaluation for Cluster 10—Children’s behaviour and inclusion | | | |
| Units of competency:   * *CHCECE020 Establish and implement plans for developing cooperative behaviour* (core unit) * *CHCECE021 Implement strategies for the inclusion of all children* (core unit)   Go to your *Candidate Guide* to see a brief description of the above units. The full text of the units can also be viewed at [www.training.gov.au](http://www.training.gov.au) | | | |
| Candidate’s name |  | **Date completed** |  |

| Workplace tasks related to cluster 10—Children’s behaviour and inclusion | I do the workplace task… | | | Evidence and examples of applying workplace tasks | | Confirmation by workplace representative - please tick and initial if candidate can do the task |
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| …very well I’m sure I can do the task | …quite well  I think I can do the task | …no, or not well I don’t (or can’t) do the task | Candidates *may* attach documents as evidence of workplace tasks—if so, add document number   Candidates who can do the workplace task—give brief examples below of how you do this at work  | Document number |
| 1. Observing and analysing children’s behaviour in a range of situations and contexts |  |  |  | You will need to have done this on at least three occasions. |  |  |
| 1. Creating, implementing and measuring the effectiveness of a behaviour guidance plan |  |  |  |  |  |  |
| 1. Developing positive relationships with children and involving them in decision making and planning |  |  |  |  |  |  |
| 1. Respecting the expectations and cultural values of parents |  |  |  |  |  |  |
| 1. Identifying and assessing the additional needs of individual children |  |  |  |  |  |  |
| 1. Investigating and trialling strategies to address the additional needs of individual children |  |  |  | Includes developing and adapting curriculum to meet the additional needs of individual children. |  |  |
| 1. Contributing to individualised, child-centred planning and service delivery |  |  |  | Includes reviewing and suggesting adaptations to service delivery to meet the needs of children with special needs. |  |  |
| 1. Collaborating with a family and other educators to develop, implement and evaluate an inclusion plan |  |  |  | You will need to have done this for at least one child. |  |  |
| Candidate’s signature (In signing this form you are verifying that information you have provided is true and correct.) | | | |  | | |

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| Workplace representative’s comments for cluster 10—Children’s behaviour and inclusion Please provide brief comments on whether the candidate can do the above workplace tasks, including examples you have seen if possible, and sign the form where indicated. Note: You will also be asked to complete a *Workplace Representative Form* verifying that the information you have provided is accurate. | | | |
|  | | | |
| Workplace representative’s name |  | **Workplace title** |  |
| Workplace representative’s signature |  | **Date** |  |

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| THE FOLLOWING SECTION IS FOR OFFICE USE ONLY |
| Instructions to assessors  Assessors must consider the evidence provided. This first step in the RPL assessment process will contribute to evidence of the candidate’s competency, but will not yield evidence that meets the rules of evidence (i.e. valid, sufficient, current and authentic evidence) against the units of competency assessment requirements. Assessors should advise the candidate of the units to be considered in the competency conversation interview or other assessments. |

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| Assessor’s comments re cluster 10—Children’s behaviour and inclusion (Summarise your feedback to the candidate, or provide any other objective comments in relation to the self-evaluation below.) | | | |
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| Assessor’s name |  | | |
| Assessor’s signature |  | **Date** |  |

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| Candidate Self-evaluation: Instructions for attaching documents | |
| **Candidates should read the following instructions**  You may decide to attach documents that show you can do any of the workplace tasks you have self-evaluated.  Documents you might attach include any of the following.   * Photos relevant to work activities, video diaries * Reflective journals, diaries, workplace task or job sheets, logbooks * Early childhood education and care service’s workplace documents (with permission and all names deleted) * References or letters from families or other clients, past employers, supervisors (with permission and all names deleted) * Workplace documents you have contributed to or written * Brief Resume or CV, position descriptions * Workplace training or professional development records * Membership of professional associations, networks or clubs * Records of hobbies or special skills and activities outside work * Workplace, industry or other awards.   However, don’t be put off if you don’t have these documents. Your assessor will help you to identify any other documentary evidence during the next steps of your RPL assessment process.  Please list any documents you attach to your *Candidate Self-evaluation Tools* on the following table. | Remember that:   * you don’t have to find documents for every workplace task—only where you can easily find these, as suggested by your assessor * ‘documents’ can include anything that supports your claim for RPL—such as paper documents, photos, videos, electronic files * one document can be evidence for more than one workplace task * make sure each document you added a number for in the *Self-evaluation Tool* is also listed in the following table, and attached * you can add extra pages to the document list if you need to * remove all confidential or sensitive information from any workplace documents you attach, to ensure privacy and confidentiality * if you think it will help the assessor to know the document is your work, you might get your workplace supervisor or other suitable person to write this on the document and sign it.   Please sign the declaration at the end of this form to verify that the information you have provided is true and correct.  ***Remember to make (and keep) a copy of your self-evaluation tools and all documents before giving them to the assessor.*** |

| Candidate Self-evaluation: List of Attached Documents | | | | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Document number | Document title or brief description (e.g. type of document, purpose, date, author) *e.g. ‘Letter from parent of toddler leaving the service, thanking me for my work in supporting him during his time there, June 2012 (names erased).’* | | Unit cluster or clusters  *e.g. ‘Cluster 4—Physical and emotional wellbeing’* | Workplace task number or numbers *e.g. ‘Task 25’ (Developing nurturing and securely* *attached relationships with toddlers…)* | | Is it attached? | |
|  |  | |  |  | | Yes | No |
|  |  | |  |  | | Yes | No |
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| **Candidate’s declaration: The information I have provided is accurate and truthful; and (unless stated) the attached documents are my own work.** (Sign to indicate you agree with the declaration, and have your signature witnessed by your workplace representative.) | | | | | | | |
| **Candidate’s name** | |  | **Witness’s name/title** | |  | | |
| **Candidate’s signature** | |  | **Witness’s signature** | |  | | |
| **Date** | |  | **Date** | |  | | |

# Competency Conversation Recording Tools

**Introduction**

The Competency Conversation Recording Tools are for assessor use during **Step 2 of the RPL assessment process**—the ‘competency conversation’ interviews.

**Clusters 1 to 5**

The Competency Conversation Recording Tools in Clusters 1 to 5 relate to ten of 11 core units in the *CHC50113 Diploma of Early Childhood Education and Care* that are also core in the *CHC30113 Certificate III in Early Education and Care [[2]](#footnote-2).* See the *Assessor Guide* for details of candidate pathways.

***Cluster 1—Nutrition and children’s health and safety***

CHCECE002 Ensure the health and safety of children

CHCECE004 Promote and provide healthy food and drinks

***Cluster 2—Work practices***

CHCCS400C Work within a relevant legal and ethical framework

CHCECE009 Use an approved learning framework to guide practice

CHCPRT001 Identify and respond to children and young people at risk

***Cluster 3—Relationships with children***

CHCECE007 Develop positive and respectful relationships with children

***Cluster 4—Physical and emotional wellbeing***

CHCECE003 Provide care for children

CHCECE005 Provide care for babies and toddlers

***Cluster 5—Cultural awareness and competence***

CHCECE001 Develop cultural competence

HLTHIR404D Work effectively with Aboriginal and/or Torres Strait Islander people

**Clusters 6 to 10**

The Competency Conversation Recording Tools in Clusters 6 to 10 relate to the remaining 12 core units required for the *CHC50113 Diploma of Early Childhood Education and Care* and five electives.

***Cluster 6—Health, safety and quality***

CHCECE016 Establish and maintain a safe and healthy environment for children (core)

CHCECE019 Facilitate compliance in an education and care service (core)

HLTWHS003 Maintain work health and safety (core)

***Cluster 7—Leadership and service management***

CHCECE025 Embed sustainable practices in service operations (core)

CHCORG428A Reflect on and improve own professional practice (elective)

CHCORG506E Coordinate the work environment (elective)

CHCORG624E Provide leadership in community services delivery (elective)

CHCPOL504B Develop and implement policy (elective)

***Cluster 8—Children’s development and wellbeing***

CHCECE017 Foster the holistic development and wellbeing of the child in early childhood (core)

CHCECE018 Nurture creativity in children (core)

CHCSAC005 Foster the holistic development and wellbeing of the child in school age care (elective)

***Cluster 9—Program design and implementation***

CHCECE022 Promote children’s agency (core)

CHCECE023 Analyse information to inform learning (core)

CHCECE024 Design and implement the curriculum to foster children's learning and development (core)

CHCECE026 Work in partnership with families to provide appropriate education and care for children (core)

***Cluster 10—Children’s behaviour and inclusion***

CHCECE020 Establish and implement plans for developing cooperative behaviour (core)

CHCECE021 Implement strategies for the inclusion of all children (core)

**Use of the Competency Conversation Recording Tools**

Assessors should consider the competency conversations to be conducted, depending on any units already held. The *Assessor Guide* explains pathways for candidates. In summary:

* candidates who hold **no Children's Services or Early Childhood Education and Care qualifications** or relevant units require ‘competency conversations’ for **Clusters 1 to 10** (as they hold *none* of the units of competency required for CHC50113)
* candidates who hold the **replaced Children's Services Certificate III and Diploma qualifications** (CHC30708/12 and CHC50908) require ‘competency conversations’ for **Clusters 1 to 10** (as they hold no units of competency *equivalent* to the units required for CHC50113)
* candidates who hold the ***CHC30113 Certificate III in Early Childhood Education and Care*** require ‘competency conversations’ for **Clusters 6 to 10** only (as they already hold the 10 core units of competency required for CHC50113 that are in Clusters 1 to 5 in this Toolkit).

The assessor should prepare the tools in advance for use by:

* deleting any sections that will not be assessed or used in a given session (it is unrealistic to cover too many of the unit clusters in the *RPL Toolkit* in one session)
* saving the files to a laptop, or printing hard copies, depending on method used to record candidate responses.

The *Competency Conversation Recording Tools* provide:

* a bank of questions and prompts for the assessor to use during the conversations
* an assessment tool in which the assessor can record evidence of the competency conversation
* key points the assessor should look for in candidate responses and particular notes re assessment requirements including for frequency and volume (criteria for assessment), with the capacity to record candidate responses.

**Note:** The recording tools are for assessor use, and are not given to the candidate during the competency conversation interview.

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| Competency Conversation Recording Tools: Instructions for the assessor | |
| **Assessors should read the following instructions and notes** | |
| The *Competency Conversation Recording Tools* are assessment instruments used by the assessor to record ‘competency conversations’ interviews. **Do not provide these assessment tools to the candidate in the interview.**  The competency conversation provides an opportunity for the candidate to confirm their knowledge as identified in the *Candidate Self-evaluation Tools*, and for you (the assessor) to assess aspects of relevant units of competency.  **How to complete the Competency Conversation Recording Tools**  The *Assessor Guide* provides detailed instructions for assessors on how to conduct the competency conversation. If you are not familiar with the process, go to that document and follow the step-by-step instructions. The following points summarise those steps and instructions.   * **Make sure the correct tools are selected…** for example, candidates who hold the *CHC30113 Certificate III in Early Childhood Education and Care*, would need competency conversations to be held for Clusters 6 to 10 only (as they already hold the 10 core units in Clusters 1 to 5 in this *RPL Toolkit*). Other candidates will usually require competency conversations across Clusters 1 to 10—check pathways in the *Assessor Guide* and determine the candidate’s currently held equivalent units. * **Prepare for the conversation…** collate the assessment tools—that is, the recording tools **only for the units you will assess at a given session** (perhaps one or two clusters per session). Before providing the tools, remove any sections for any units in which the candidate has already been assessed as competent (including by credit transfer). | * **Advise the candidate…** and agree to the venue. * **Workplace venues are suitable…** for most candidates the workplace provides a familiar setting where they may be more comfortable discussing their roles and capacities, and where additional natural evidence of workplace competency may be gathered (for example by observing the candidate in the workplace, or seeking documents). * **Contextualise or change questions if required…** the questions are discussion starters, and are not necessarily ‘fixed’. You may alter the wording and can ask follow-up questions in a conversational style. * **Support the candidate…** ensure the candidate is as comfortable as possible. Take breaks if needed. Don’t try to cram too many units (clusters) into one session. Staggered sessions will be more useful. * **Record candidate responses…** listen for the ‘key points to be addressed’ in the candidate’s response, and record notes on these as the conversation proceeds using the ‘Assessor notes’ section, checking also for additional requirements that might be in those sections. You could highlight points you wish to come back to, and you might need some time after the interview to finalise the recording tool. * **Summarise findings…** Use the ‘Outcomes…’ section at the end of each cluster to provide further details on the candidate, including examples that may be relevant in confirming competence. Add pages if needed. |

| Competency conversation recording tool for Cluster 1—Nutrition and children’s health and safety | | | | | |
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| Units of competency:   * *CHCECE002 Ensure the health and safety of children* (core unit) * *CHCECE004 Promote and provide healthy food and drinks* (core unit)   The full text of the units can be viewed at [www.training.gov.au](http://www.training.gov.au) | | | | | |
| Candidate’s name |  | **Date completed** |  | **Venue** |  |
| Specific assessment requirements that apply across units in this cluster | Assessment for *CHCECE002 Ensure the health and safety of children* and *CHCECE004 Promote and provide healthy food and drinks* must ensure use of the National Quality Framework for Early Childhood Education and Care AND the relevant approved learning framework under the National Quality Framework. *(Assessor to tick if confirmed for this cluster.)*  Skills for *CHCECE002 Ensure the health and safety of children* and *CHCECE004 Promote and provide healthy food and drinks* must be demonstrated in a regulated education and care service. *(Assessor to tick if confirmed for this candidate.)* | | | | |
| Specific foundation skills for this cluster | Candidates must have reading skills in order to accurately read and interpret food labels and dietary requirements; and accurately read and interpret medication packaging and dosage instructions. *(Assessor to tick if confirmed for this candidate.)*  Candidates must have numeracy skills in order to correctly calculate medication dosages for common measurements including milligrams (mg) and millilitres (ml). *(Assessor to tick if confirmed for this candidate.)* | | | | |
| *Assessor to add how foundation skills were confirmed:* | | | | |

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| *Question 1 –* Describe your role in providing a clean and safe environment for children in an early childhood education and care service. | |
| Key points to be addressed by the candidate | **ASSESSOR’S NOTES** |
| organisational standards, policies and procedures  potential hazards to children, including medical conditions  safety issues/risk management strategies for children’s health and safety  basic home fire safety  children’s requirements for sleep and rest  environments that promote rest and sleep  guidelines for infection control  hand hygiene  how to undertake a risk analysis of toys and equipment  sun safety | **NB:** In addition to the ‘key points to be addressed’, evidence must confirm that the candidate has consistently supported the health needs of the children in the service, including developing children’s awareness of safety. |

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| *Question 2 –* How do you recognise and respond to the signs and symptoms of illness in children, including anaphylaxis and asthma? | |
| Key points to be addressed by the candidate | **ASSESSOR’S NOTES** |
| signs, symptoms and key characteristics of allergy/anaphylaxis and asthma  potential hazards to children, including medical conditions  food allergies/intolerances and possible reactions, including anaphylaxis  how to use an adrenalin auto injector for anaphylaxis  safety issues/risk management strategies for children’s health and safety  organisational standards, policies and procedures  reading and interpreting relevant medical information | **NB:** In addition to the ‘key points to be addressed’, evidence must confirm that the candidate has consistently supported the health needs of children in the service, including:   * recognising and responding appropriately to signs of illness of children, including asthma and anaphylaxis * reading and interpreting authorisation forms, medication labels, medical management plans and other relevant medical information. |

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| *Question 3 –* Describe how you plan and provide healthy food and drinks for children, and the principles that guide this. | |
| Key points to be addressed by the candidate | **ASSESSOR’S NOTES** |
| Australian Dietary Guidelines and Infant Feeding Guidelines  children’s oral health and its impact on their general health and well-being  dietary requirements and nutritional needs of babies/toddlers (including specific cultural, religious or health requirements)  food allergies/intolerances and possible reactions, including anaphylaxis  food-handling requirements (e.g. preventing microorganism contamination and/or allergic reactions)  food safety guidelines  hand hygiene  implications of poor diet  infant feeding requirements and guidelines  oral health recommendations  organisational standards, policies and procedures  how to access/navigate the:  National Quality Framework  National Quality Standards  relevant approved learning framework used in the workplace | **NB:** In addition to the ‘key points to be addressed’, evidence must confirm that the candidate has planned and provided food and drink for children on at least three occasions including:   * Identifying/responding to requirements re food allergies, medical conditions and cultural/religious requirements * role-modelling healthy eating habits for children * ensuring safe handling, preparation and storage of food and drinks * creating a positive, relaxed environment during mealtimes * reading and interpreting food labels to identify ingredients of concern and nutrition content * engaging children by involving them in menu planning and preparation. |

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| *Question 4 –* Describe the strategies you have used to minimise risks in an early childhood education and care workplace. | |
| Key points to be addressed by the candidate | **ASSESSOR’S NOTES** |
| hand hygiene  how to undertake a risk analysis of toys and equipment  potential hazards to children, including medical conditions  safety issues/risk management strategies for children’s health and safety  how to correctly calculate medication dosages for common measurements including milligrams (mg) and millilitres (ml)  organisational standards, policies and procedures (including for the use, storage and labelling of dangerous products) |  |

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| Outcomes of the competency conversation interview for cluster 1—Nutrition and children’s health and safety | | | |
| Assessors must consider whether the rules of evidence are met (valid, sufficient, current and authentic) in relation to the candidate’s knowledge, and whether the evidence is sufficient to demonstrate the candidate’s ability to complete and manage tasks and manage contingencies in the job role context. Record findings below.  If the evidence shows the candidate has not demonstrated competence, discuss the next steps—practical workplace tasks to be assessed by the assessor in the workplace (see the Workplace Assessment Tasks provided for in this *RPL Toolkit*) or candidate self-directed professional development activities to build knowledge or skills. If the candidate has demonstrated workplace competence for unit or units, complete the *RPL* *Assessment Outcomes Form*. | | | |
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| Assessor’s name |  | **The candidate has not yet demonstrated competence, more evidence of knowledge and/or skills is required** |  |
| Assessor’s signature |  | **The candidate has demonstrated competence to the standard expected in the workplace (and the rules of evidence are met)** |  |

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| Competency conversation recording tool for Cluster 2—Work practices | | | | | |
| Units of competency:   * *CHCCS400C Work within a relevant legal and ethical framework* (core unit) * *CHCECE009 Use an approved learning framework to guide practice* (core unit) * *CHCPRT001 Identify and respond to children and young people at risk* (core unit)   The full text of the units can be viewed at [www.training.gov.au](http://www.training.gov.au) | | | | | |
| Candidate’s name |  | **Date completed** |  | **Venue** |  |
| Assessment requirements that apply across this cluster | Assessment must ensure use of the National Quality Framework for Early Childhood Education and Care AND the relevant approved learning framework under the National Quality Framework. *(Assessor to tick if confirmed for this cluster.)*  Skills must be demonstrated in a regulated education and care service. *(Assessor to tick if confirmed for this candidate.)* | | | | |
| Specific foundation skills for this cluster | Candidates must have reading skills in order to interpret and apply relevant approved learning frameworks in the context of own work role, and to read and understand forms and make accurate reports. *(Assessor to tick if confirmed for this candidate.)*  Candidates must have writing skills in order to record details of children and young people at risk and to make reports using handwritten skills and computer skills. *(Assessor to tick if confirmed for this candidate.)* | | | | |
| *Assessor to add how foundation skills were confirmed:* | | | | |

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| *Question 5 –* Describe the various legal and ethical frameworks that are relevant to early childhood education and care, and how these apply to your work in an early childhood education and care service. | |
| Key points to be addressed by the candidate | ASSESSOR’S NOTES |
| child protection legislation  code of ethics  common legal issues  distinction between ethical/legal problems  importance of ethics in practice  overview of legal system  principles of ethical decision-making  principles underpinning duty of care and associated legal requirements  principles/practices for upholding the rights of children and young people  principles/practices of confidentiality  relevant legislation, standards, codes of practice, licensing, accreditation/registration to professional bodies, service agreements  rights/responsibilities of workers and clients  strategies for addressing common ethical issues  strategies for managing complaints  types of law  work health and safety (WHS) requirements | NB: In addition to the ‘key points to be addressed’, evidence must confirm that the candidate:   * demonstrates understanding of and adherence to own work role and responsibilities * can follow organisation policies, protocols and procedures * works within legal and ethical frameworks. |

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| *Question 6 –* Describe the learning framework that applies in the early childhood education and care service where you work,  and explain your role in implementing the framework. | |
| Key points to be addressed by the candidate | ASSESSOR’S NOTES |
| how to access and navigate:  *Belonging, Being and Becoming: The Early Years Learning Framework for Australia*  *My Time, My Place: Framework for School Age Care in Australia*  the relevant approved learning framework used in the service (if different from those listed above)  key participants in the implementation of the framework  organisational standards, policies and procedures  United Nations Convention on the Rights of the Child | NB: In addition to the ‘key points to be addressed’, documented evidence must confirm that the candidate has worked with at least one other educator to implement the approved learning framework, including:   * investigating/documenting at least 2 examples of how the learning framework is demonstrated in the service * researching/documenting at least 1 example of how each principle of the framework is reflected in the service * working closely with others and under supervision to help implement the framework * reflecting on and discussing practice with supervisor and others.   (The following question also relates to this question and includes a link for further information.) |

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| *Question 7 –* Give examples of your involvement in pedagogical practices in the early childhood education and care service  where you work. | |
| Key points to be addressed by the candidate | ASSESSOR’S NOTES |
| how to access/navigate the following:  *Belonging, Being and Becoming: The Early Years Learning Framework for Australia*  *My Time, My Place: Framework for School Age Care in Australia*  the relevant approved learning framework used in the service (if different from those listed above)  key participants in the implementation of the framework  organisational standards, policies and procedures  United Nations Convention on the Rights of the Child | NB: In addition to the ‘key points to be addressed’, the candidate must provide evidence they have:   * investigated and documented their own involvement in at least 3 examples of pedagogical practices in a regulated (approved) education and care service.   (Examples of pedagogical practices are listed in the Frameworks documents—go to <http://deewr.gov.au/early-years-learning-framework> if you need further information.) |

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| *Question 8 –* Give examples of the work practices you have implemented to protect children and young people at risk,  including the legal and organisational basis for the work practices. | |
| Key points to be addressed by the candidate | ASSESSOR’S NOTES |
| Candidates must demonstrate knowledge of:  child protection legislation (including state/territory requirements and processes)  child protection system (including reporting protocols and interagency policies)  different types and dynamics of abuse as they apply to age, gender, disability, culture and sexuality  duty of care responsibilities  ethical considerations  impact of risk of harm  organisation standards, policies and procedures  types of abuse experienced by children and young people  strategies for managing the abuse of children and young people  trauma-informed care  UN Convention on the Rights of the Child | NB: In addition to the ‘key points to be addressed’, evidence must confirm that the candidate has implemented practices in the workplace that support the protection of children and young people, including:   * complying with regulations, legislations and duty of care responsibilities * employing child focused work practices to uphold the rights of children and young people * maintaining confidentiality * providing appropriate responses in the protection of children and young people.   The candidate must also demonstrate that they have read and interpreted the procedures for reporting children at risk in line with organisational expectations and legislative requirements. |

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| Outcomes of the competency conversation interview for cluster 2—Work practices | | | |
| Assessors must consider whether the rules of evidence are met (valid, sufficient, current and authentic) in relation to the candidate’s knowledge, and whether the evidence is sufficient to demonstrate the candidate’s ability to complete and manage tasks and manage contingencies in the job role context. Record findings below.  If the evidence shows the candidate has not demonstrated competence, discuss the next steps—practical workplace tasks to be assessed by the assessor in the workplace (see the Workplace Assessment Tasks provided for in this *RPL Toolkit*) or candidate self-directed professional development activities to build knowledge or skills. If the candidate has demonstrated workplace competence for unit or units, complete the *RPL* *Assessment Outcomes Form*. | | | |
|  | | | |
| Assessor’s name |  | **The candidate has not yet demonstrated competence, more evidence of knowledge and/or skills is required** |  |
| Assessor’s signature |  | **The candidate has demonstrated competence to the standard expected in the workplace (and the rules of evidence are met)** |  |

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| Competency conversation recording tool for Cluster 3—Relationships with children | | | | | |
| Unit of competency:   * *CHCECE007 Develop positive and respectful relationships with children* (core unit)   The full text of the unit can be viewed at [www.training.gov.au](http://www.training.gov.au) | | | | | |
| Candidate’s name |  | **Date completed** |  | **Venue** |  |
| Specific assessment requirements that apply across this cluster | Assessment must ensure use of the National Quality Framework for Early Childhood Education and Care AND the relevant approved learning framework under the National Quality Framework. *(Assessor to tick if confirmed for this cluster.)*  Skills must be demonstrated in a regulated education and care service. *(Assessor to tick if confirmed for this candidate.)* | | | | |
| Specific foundation skills for this cluster | Candidates must have oral communication skills in order to engage in sustained conversations with children. | | | | |
| *Assessor to add how foundation skills were confirmed:* | | | | |
| Early childhood education and care work requirement | Candidates must have performed the activities outlined in the performance criteria of *CHCECE007 Develop positive and respectful relationships with children* during a period of at least 120 hours of work in at least one regulated education and care service. | | | | |
| *Assessor to add how the work requirement was confirmed:* | | | | |

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| *Question 9 –* Describe how you have communicated positively and respectively with children. | |
| Key points to be addressed by the candidate | ASSESSOR’S NOTES |
| effective communication techniques such as  communication of care and respect through all interactions  interpreting non-verbal cues of children  using verbal and non-verbal ways to show respect  active listening  considering a child’s age, activities, interests, culture and needs  responding to distress in ways that meets the child’s need  how to access/navigate the:  National Quality Framework  National Quality Standards  relevant approved learning framework used in the workplace  organisational standards, policies and procedures  techniques to guide children’s behaviour  UN Convention on the Rights of the Child | NB: The candidate must demonstrate that they have communicated positively and respectfully with at least 3 children including using effective communication techniques (see examples in ‘key points to be addressed). |

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| *Question 10 –* Describe techniques you have used to respond to and guide the behaviour of children, including where behaviours have been of concern. | |
| Key points to be addressed by the candidate | ASSESSOR’S NOTES |
| effective communication techniques (see points at Question 9)  how the candidate has assessed behaviour and responded to signs of distress in ways that meets the child’s need  examples of involving children in decision-making  examples of encouraging children to respect similarities/differences between each other  techniques to guide children’s behaviour  examples of how the candidate has maintained the rights and dignity of children when guiding their behaviour  supporting children to develop skills to self-regulate their behaviour  preserving and promoting children’s self-esteem  UN Convention on the Rights of the Child  how to access/navigate the National Quality Framework, National Quality Standards and relevant approved learning framework used in the workplace  organisational standards, policies and procedures |  |

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| Outcomes of the competency conversation interview for cluster 3—Relationships with children | | | |
| Assessors must consider whether the rules of evidence are met (valid, sufficient, current and authentic) in relation to the candidate’s knowledge, and whether the evidence is sufficient to demonstrate the candidate’s ability to complete and manage tasks and manage contingencies in the job role context. Record findings below.  If the evidence shows the candidate has not demonstrated competence, discuss the next steps—practical workplace tasks to be assessed by the assessor in the workplace (see the Workplace Assessment Tasks provided for in this *RPL Toolkit*) or candidate self-directed professional development activities to build knowledge or skills. If the candidate has demonstrated workplace competence for unit or units, complete the *RPL* *Assessment Outcomes Form*. | | | |
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| Assessor’s name |  | **The candidate has not yet demonstrated competence, more evidence of knowledge and/or skills is required** |  |
| Assessor’s signature |  | **The candidate has demonstrated competence to the standard expected in the workplace (and the rules of evidence are met)** |  |

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| Competency conversation recording tool for Cluster 4—Physical and emotional wellbeing | | | | | |
| Units of competency:   * *CHCECE003 Provide care for children* (core unit) * *CHCECE005 Provide care for babies and toddlers* (core unit)   The full text of the units can be viewed at [www.training.gov.au](http://www.training.gov.au) | | | | | |
| Candidate’s name |  | **Date completed** |  | **Venue** |  |
| Specific assessment requirements that apply across this cluster | Assessment must ensure use of the National Quality Framework for Early Childhood Education and Care AND the relevant approved learning framework under the National Quality Framework. *(Assessor to tick if confirmed for this cluster.)*  Skills must be demonstrated in a regulated education and care service. *(Assessor to tick if confirmed for this candidate.)* | | | | |
| Specific foundation skills for this cluster | Candidates must have oral communication skills in order to interact calmly and positively with families and children. | | | | |
| *Assessor to add how foundation skills were confirmed:* | | | | |
| Requirement for actual babies and toddlers | Assessment of *CHCECE005 Provide care for babies and toddlers* must involve interactions with actual babies and toddlers under the age of 24 months under the supervision of an early childhood educator *(Assessor to tick if confirmed for this candidate.)* | | | | |
| Early childhood education and care work requirement | Candidates must have performed the activities outlined in the performance criteria of *CHCECE005 Provide care for babies and toddlers* and *CHCECE003 Provide care for children* (as well as unit *CHCECE007 Develop positive and respectful relationships with children*) during a period of at least 120 hours of work in at least one regulated education and care service. | | | | |
| *Assessor to add how the work requirement was confirmed:* | | | | |

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| *Question 11 –* Describe the importance of predictable and meaningful care routines for babies and children, and the impact that changes to these routines can have. | |
| Key points to be addressed by the candidate | ASSESSOR’S NOTES |
| attachment theory  code of ethics  different practices/routines used by families and their cultural and personal rationale  impact of change to routines/environments  individual patterns/routines of babies and toddlers  organisational standards, policies and procedures  routines/strategies to minimise distress at separation of parent and child  how to access/navigate the:  National Quality Framework  National Quality Standards  relevant approved learning framework used in the workplace |  |

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| *Question 12 –* Describe how you have assessed and responded to the needs of babies and toddlers. | |
| Key points to be addressed by the candidate | ASSESSOR’S NOTES |
| Caring for babies and toddlers safely and hygienically  assessing and responding appropriately to babies’ needs, including hunger, distress, tiredness and pain  setting up a safe environment for rest  changing nappies  heating breast milk and formula, preparing bottles, and preparing and heating food and feeding babies  cleaning equipment and utensils  appropriate interactions with babies  individual differences of babies’ needs for rest and sleep/rest patterns  signs of stress, distress or pain in babies  social development of babies  dietary requirements and nutritional needs of babies  organisational standards, policies and procedures  UN Convention on the Rights of the Child  relevant aspects of the:  National Quality Framework  National Quality Standards  relevant approved learning framework used in the workplace | NB: Evidence must confirm that the candidate has provided care for babies and toddlers (including the specific dot points under ‘caring for babies and toddlers safely and hygienically’ in the Key points to be addressed’). This must have involved at least 3 different babies and toddlers of varying ages. |

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| *Question 13 –* Describe the various methods you have used to develop nurturing and securely attached relationships with babies and toddlers. | |
| Key points to be addressed by the candidate | ASSESSOR’S NOTES |
| appropriate interactions with babies and toddlers, including:  individual differences of babies’/toddlers’ needs for rest and sleep/rest patterns  signs of stress, distress or pain in babies and toddlers  social development of babies and toddlers  how to ensure the smooth transition of new arrivals  organisational standards, policies and procedures  UN Convention on the Rights of the Child  relevant aspects of the:  National Quality Framework  National Quality Standards  relevant approved learning framework used in the workplace | NB: In addition to the ‘key points to be addressed’, evidence must confirm that the candidate has developed a nurturing relationship with at least three babies and toddlers, including:   * settling new babies and toddlers * ensuring the smooth transition of new arrivals * interacting appropriately with them and their caregivers * engaging in one-to-one interactions with babies and toddlers during daily routines. |

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| *Question 14 –* Describe how you have supported the learning of babies and toddlers in an early childhood education and care service. | |
| Key points to be addressed by the candidate | ASSESSOR’S NOTES |
| appropriate interactions with babies and toddlers, including:  individual differences of babies’/toddlers’ needs for rest and sleep/rest patterns  signs of stress, distress or pain in babies and toddlers  social development of babies and toddlers  brain development in babies and toddlers  emotional, physical and language development of babies and toddlers  organisational standards, policies and procedures  UN Convention on the Rights of the Child  relevant aspects of the:  National Quality Framework  National Quality Standards  relevant approved learning framework used in the workplace | NB: In addition to the ‘key points to be addressed’, evidence must confirm that the candidate has supported the learning of at least 3 different babies and toddlers of varying ages and abilities, including.   * responding appropriately to babies’ and toddlers’ cues and language * initiating and modelled language with babies and toddlers * providing stimulating environments that supported skill development * modifying the environment and interactions to support babies’ and toddlers’ changing requirements * encouraging their attempts to gain new skills * providing opportunities to develop self-knowledge and awareness * contributing to their emotional and psychological well-being. |

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| *Question 15 –* Describe how you provided appropriate physical care for children in an early childhood education and care service. | |
| Key points to be addressed by the candidate | ASSESSOR’S NOTES |
| basic principles of child physical and emotional development  recommendations for physical activity for birth to 5- year-olds and 5- to 12-year-olds in the National Physical Activity Guidelines for Australians  routines and strategies to minimise distress at separation of parent and child  communication with children  impact of changes of routines and environments for children  sun safety  relevance of hand hygiene for minimising infectious diseases  code of ethics  organisational standards, policies and procedures  UN Convention on the Rights of the Child  relevant aspects of the:  National Quality Framework  National Quality Standards  relevant approved learning framework used in the workplace | NB: In addition to the ‘key points to be addressed’, evidence must confirm that the candidate has provided care and responded appropriately to at least three children of varying ages, including:   * promoting physical activity and encouraging participation * engaging children in discussions around physical health and wellbeing * adapting the physical environment to ensure challenge and appropriate risk-taking * ensuring the smooth transition of new arrivals * supporting children through transition and change. |

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| Outcomes of the competency conversation interview for cluster 4—Physical and emotional wellbeing | | | |
| Assessors must consider whether the rules of evidence are met (valid, sufficient, current and authentic) in relation to the candidate’s knowledge, and whether the evidence is sufficient to demonstrate the candidate’s ability to complete and manage tasks and manage contingencies in the job role context. Record findings below.  If the evidence shows the candidate has not demonstrated competence, discuss the next steps—practical workplace tasks to be assessed by the assessor in the workplace (see the Workplace Assessment Tasks provided for in this *RPL Toolkit*) or candidate self-directed professional development activities to build knowledge or skills. If the candidate has demonstrated workplace competence for unit or units, complete the *RPL* *Assessment Outcomes Form*. | | | |
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| Assessor’s name |  | **The candidate has not yet demonstrated competence, more evidence of knowledge and/or skills is required** |  |
| Assessor’s signature |  | **The candidate has demonstrated competence to the standard expected in the workplace (and the rules of evidence are met)** |  |

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| Competency conversation recording tool for Cluster 5—Cultural awareness and competence | | | | | |
| Units of competency:   * *CHCECE001 Develop cultural competence* (core unit) * *HLTHIR404D Work effectively with Aboriginal and/or Torres Strait Islander people* (core unit)   The full text of the units can be viewed at [www.training.gov.au](http://www.training.gov.au) | | | | | |
| Candidate’s name |  | **Date completed** |  | **Venue** |  |
| Specific assessment requirements that apply across units in this cluster | Assessment for *CHCECE001 Develop cultural competence* must ensure use of the National Quality Framework for Early Childhood Education and Care AND the relevant approved learning framework under the National Quality Framework. *(Assessor to tick if confirmed for this cluster.)*  Skills for *CHCECE001 Develop cultural competence* must be demonstrated in a regulated education and care service. *(Assessor to tick if confirmed for this* candidate*.)* | | | | |

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| *Question 16 –* Describe your own sense of cultural identity, including any biases that might relate to cultural identity and diversity. | |
| Key points to be addressed by the candidate | ASSESSOR’S NOTES |
| significant events in own family background or history which may influence values, beliefs and attitudes  cultural competence and diversity as outlined in the approved learning framework relevant to the workplace  understanding of own culture and western systems/structures and how this impacts on cultural identity including in relation to Aboriginal and Torres Strait Islander cultures  relationship of own cultural identity to the cultural identities of the children within the service, their families and the local community  organisational policies and initiatives designed to support participation | NB: In addition to the ‘key points to be addressed’, evidence must confirm that the candidate has investigated cultural diversity in at least one service and community. |

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| *Question 17 –* Describe the impact of colonisation, historical events and issues on Aboriginal and/or Torres Strait Islander people. | |
| Key points to be addressed by the candidate | ASSESSOR’S NOTES |
| Aboriginal and Torres Strait Islander culture and history (including impact of European settlement, loss of land/culture, importance of law/kinship)  appreciation of cultural shock and its impact on health and well being  differences between cultures  diversity of Aboriginal and Torres Strait Islander cultures  factors which contribute to Aboriginal and Torres Strait Islander ill health  impact of colonisation, historical events and issues on Aboriginal and/or Torres Strait Islander people  past/present power relations and its impact on workplace and communities  social/political/economic issues affecting Aboriginal and Torres Strait Islander people  racism/discrimination and laws pertaining to these issues  understanding of own culture and western systems/structures and how this impacts Aboriginal and Torres Strait Islander cultures | NB: Assessment is recommended to involve a person who is Aboriginal or Torres Strait Islander or who has worked closely with Aboriginal and/or Torres Strait Islander people and communities. In order to work with local Aboriginal and/or Torres Strait Islander communities, workers must demonstrate an understanding of Aboriginal and/or Torres Strait Islander culture and history, the local community and other communities. |

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| *Question 18 –* Describe what you do (or have done) to support children and families’ cross-cultural relationships in an early childhood education and care service and community. | |
| Key points to be addressed by the candidate | ASSESSOR’S NOTES |
| cultural competence and diversity as outlined in the relevant approved learning framework used in the workplace  organisational policies and initiatives designed to support participation  culturally appropriate communication | NB: In addition to the ‘key points to be addressed’, evidence must confirm that the candidate has supported children’s and families’ cross-cultural relationships through the following activities:   * interacting in culturally appropriate ways with children, families and communities * consulting with appropriate persons to access local knowledge of Aboriginal and/or Torres Strait Islander culture * planning and implementing supportive environments for all children * supporting implementing experiences to encourage children to respect all cultures and celebrate cultural diversity * using effective oral communication techniques to liaise between differing cultural contexts and situations. |

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| *Question 19 –* Give examples of how you embed diversity and inclusion into your daily work practices, and how you support children’s cultural identity. | |
| Key points to be addressed by the candidate | ASSESSOR’S NOTES |
| cultural competence and diversity as outlined in the relevant approved learning framework used in the workplace  designing experiences and activities that build on the diverse backgrounds of children and families and encourage appreciation of diversity  creating environments that support children’s cross-cultural understanding and relationships  promoting trusting relationships, respectful interactions, understandings of alternate world views and celebrations of diversity  using inclusive language, and ensuring ethical and culturally sensitive interactions with children and families  organisational policies and initiatives designed to support participation | NB: In addition to the ‘key points to be addressed’, evidence must confirm that the candidate:   * embeds an understanding of diversity and inclusion into daily practice * has planned and implemented supportive environments for all children * sensitively and respectfully communicate in a cross cultural context. |

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| Outcomes of the competency conversation interview for cluster 5—Cultural awareness and competence | | | |
| Assessors must consider whether the rules of evidence are met (valid, sufficient, current and authentic) in relation to the candidate’s knowledge, and whether the evidence is sufficient to demonstrate the candidate’s ability to complete and manage tasks and manage contingencies in the job role context. Record findings below.  If the evidence shows the candidate has not demonstrated competence, discuss the next steps—practical workplace tasks to be assessed by the assessor in the workplace (see the Workplace Assessment Tasks provided for in this *RPL Toolkit*) or candidate self-directed professional development activities to build knowledge or skills. If the candidate has demonstrated workplace competence for unit or units, complete the *RPL* *Assessment Outcomes Form*. | | | |
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| Assessor’s name |  | **The candidate has not yet demonstrated competence, more evidence of knowledge and/or skills is required** |  |
| Assessor’s signature |  | **The candidate has demonstrated competence to the standard expected in the workplace (and the rules of evidence are met)** |  |

| Competency conversation recording tool for Cluster 6—Health, safety and quality | | | | | |
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| Units of competency:   * *CHCECE016 Establish and maintain a safe and healthy environment for children* (core unit) * *CHCECE019 Facilitate compliance in an education and care service* (core unit) * *HLTWHS003 Maintain work health and safety* (core unit)   The full text of the units can be viewed at [www.training.gov.au](http://www.training.gov.au) | | | | | |
| Candidate’s name |  | **Date completed** |  | **Venue** |  |
| Specific assessment requirements that apply across units in this cluster | *Assessor to tick the following if confirmed*  Assessment for *CHCECE016 Establish and maintain a safe and healthy environment for children* and *CHCECE019 Facilitate compliance in an education and care services* must ensure use of the National Quality Framework for Early Childhood Education and Care AND the relevant approved learning framework under the National Quality Framework.  Skills for *CHCECE016 Establish and maintain a safe and healthy environment for children* and *CHCECE019 Facilitate compliance in an education and care service* must be demonstrated in a regulated early childhood education and care service. | | | | |
| Specific foundation skills for this cluster | Candidates must have reading skills in order to analyse, interpret and apply relevant legislative and regulatory requirements, including National Quality Standards, required for ensuring safety in a service. *(Assessor to tick if confirmed for this candidate.)*  Candidates must have written communication skills in order to complete a workplace risk assessment and complete a workplace incident report in line with regulatory guidelines and organisational policies. *(Assessor to tick if confirmed for this candidate.)*  Candidates must have oral communication skill in order to accurately present information to a small group of at least two participants. *(Assessor to tick if confirmed for this candidate.)*  *Assessor to add how foundation skills were confirmed:* | | | | |
| Early childhood education and care work requirement | Candidates must have performed the activities outlined in the performance criteria of *CHCECE019 Facilitate compliance in an education and care service* during a period of at least 120 hours of work in at least one regulated early childhood education and care service. | | | | |
| *Assessor to add how the work requirement was confirmed:* | | | | |

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| *Question 20 –* Describe how you have promoted and monitored safety practices in an early childhood education and care service. | |
| Key points to be addressed by the candidate | **ASSESSOR’S NOTES** |
| current workplace policies/procedures for WHS (including food-handling, travel and medication administration)  hazards common to the work environment and how they cause harm  methods for communicating hazards and safety issues to colleagues, including:  explaining WHS policies and procedures  demonstrating safe housekeeping practices  correctly using personal protective equipment (PPE)  organisational standards, policies and procedures  principles of hazards and risk assessment, including:  hazard identification procedures  risk assessment process  residual risk  risk controls  hierarchy of control  strategies for minimising risk  workplace emergency procedures | **NB:** In addition to the ‘key points to be addressed’, evidence must confirm that the candidate has:   * established and maintained an environment that is safe and healthy for children in at least **one** early childhood education and care service * provided WHS information to at least **two** workers within an early childhood education and care service * consistently monitored safety procedures in the day-to-day work activities required by their job role * conducted a workplace risk assessment and recorded the results * completed a workplace incident report * followed workplace procedures for a simulated emergency situation. |

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| *Question 21 –* Describe the procedures you have used to handle children’s infections and illness in an early childhood education and care service. | |
| Key points to be addressed by the candidate | **ASSESSOR’S NOTES** |
| common childhood illnesses and appropriate responses  communicating with families  current workplace policies/procedures for WHS (including food-handling, travel and medication administration)  notifiable diseases  organisational standards, policies and procedures | **NB:** In addition to the ‘key points to be addressed’, evidence must confirm that the candidate has:   * established and maintained an environment that is safe and healthy for children in at least **one** early childhood education and care service * coordinated appropriate procedures for handling infections and illnesses, including communicating with families. |

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| *Question 22 –* Describe the procedures you have used to check and maintain compliance in an early childhood education and care service. | |
| Key points to be addressed by the candidate | **ASSESSOR’S NOTES** |
| best-practice principles and emerging trends in early childhood education and care  how to access/navigate the:  National Quality Framework  National Quality Standards  relevant approved learning framework used in the workplace  legislation, standards and regulations relevant to the children’s services industry  organisational self-assessment processes  processes for engaging stakeholders in the planning and consultation stages of quality assurance  support systems (including government and non-government consultants, resources and personnel) | **NB:** In addition to the ‘key points to be addressed’, evidence must confirm that the candidate has:   * interpreted and applied the requirements outlined in the National Quality Framework in at least **one** early childhood education and care service * facilitated a self-assessment process in at least **one** early childhood education and care service. |

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| *Question 23 –* Describe the processes you have used to develop a quality improvement plan in an early childhood education and care service. | |
| Key points to be addressed by the candidate | **ASSESSOR’S NOTES** |
| best-practice principles and emerging trends in early childhood education and care  how to access/navigate the:  National Quality Framework  National Quality Standards  relevant approved learning framework used in the workplace  legislation, standards and regulations relevant to the children’s services industry  processes for documenting and checking information for accuracy and completeness  processes for engaging stakeholders in the planning and consultation stages of quality assurance  support systems (including government and non-government consultants, resources and personnel) | **NB:** In addition to the ‘key points to be addressed’, evidence must confirm that the candidate has:   * developed at least **one** quality improvement plan * consulted with at least **one** other educator or service coordinator to review the plan. |

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| *Question 24 –* Describe the legislative and regulatory work health and safety (WHS) frameworks that apply to early childhood education and care environments. | |
| Key points to be addressed by the candidate | **ASSESSOR’S NOTES** |
| hazardous manual tasks  infection control  legislative requirements for record-keeping and reporting  regulatory requirements relevant to the particular industry and type of work site  rights and responsibilities (including duty of care) of persons conducting a business or undertaking (PCBUs), officers and workers  state/territory legislation and how it impacts on workplace regulations, codes of practice and industry standards  state/territory WHS authorities |  |

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| Outcomes of the competency conversation interview for cluster 6—Health, safety and quality | | | |
| Assessors must consider whether the rules of evidence are met (valid, sufficient, current and authentic) in relation to the candidate’s knowledge, and whether the evidence is sufficient to demonstrate the candidate’s ability to complete and manage tasks and manage contingencies in the job role context. Record findings below.  If the evidence shows the candidate has not demonstrated competence, discuss the next steps—practical workplace tasks to be assessed by the assessor in the workplace (see the Workplace Assessment Tasks provided for in this *RPL Toolkit*) or candidate self-directed professional development activities to build knowledge or skills. If the candidate has demonstrated workplace competence for unit or units, complete the *RPL* *Assessment Outcomes Form*. | | | |
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| Assessor’s name |  | **The candidate has not yet demonstrated competence, more evidence of knowledge and/or skills is required** |  |
| Assessor’s signature |  | **The candidate has demonstrated competence to the standard expected in the workplace (and the rules of evidence are met)** |  |

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| Competency conversation recording tool for Cluster 7—Leadership and service management | | | | | |
| Units of competency:   * *CHCECE025 Embed sustainable practices in service operations* (core unit) * *CHCORG428A Reflect on and improve own professional practice* (elective unit) * *CHCORG506E Coordinate the work environment* (elective unit) * *CHCORG624E Provide leadership in community services delivery* (elective unit) * *CHCPOL504B Develop and implement policy* (elective unit)   The full text of the units can be viewed at [www.training.gov.au](http://www.training.gov.au) | | | | | |
| Candidate’s name |  | **Date completed** |  | **Venue** |  |
| Assessment requirements that apply across this cluster | Assessment for *CHCECE025 Embed sustainable practices in service operations* must ensure use of the National Quality Framework for Early Childhood Education and Care AND the relevant approved learning framework under the National Quality Framework. *(Assessor to tick if confirmed for this cluster.)*  Skills for *CHCECE025 Embed sustainable practices in service operations* must be demonstrated in a regulated early childhood education and care service. *(*Assessor *to tick if confirmed for this candidate.)* | | | | |
| Specific foundation skills for this cluster | Candidates must have functional skills to interpret written and oral information about workplace requirements. *(Assessor to tick if confirmed for this candidate.)*  Candidates must have communication skills including receiving literacy and analysing feedback and reporting. *(Assessor to tick if confirmed for this candidate.)* | | | | |
| *Assessor to add how foundation skills were confirmed:* | | | | |

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| *Question 25 –* Describe programs you have designed and implemented to enhance sustainability in an early childhood education and care service. | |
| Key points to be addressed by the candidate | ASSESSOR’S NOTES |
| barriers and drivers for behavioural change  impact of key global issues, such as:  biodiversity  climate change  earth resources  greenhouse effect  ozone layer effects  importance of community as a source of knowledge, skills and values  organisational standards, policies and procedures  qualitative and quantitative evaluation processes for sustainability programs  research methodologies  strategies to increase children’s experiences and understanding of animals and the natural environment  sustainable practices and strategies |  |

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| *Question 26 –* Describe policies you have designed and implemented in an early childhood education and care service, and how you embedded sustainability into these policies. | |
| Key points to be addressed by the candidate | ASSESSOR’S NOTES |
| impact of key global issues, such as:  biodiversity  climate change  earth resources  greenhouse effect  ozone layer effects  organisational consultation processes for key stakeholders (e.g. colleagues, children, families, community groups, funding bodies)  organisational mission, philosophy and structure  organisational standards, policies and procedures  policy evaluation and review processes  principles / practices of policy development  relevant policy at global, national, state, regional and local levels, including:  community services policy  industrial relations policy  productivity and human services policy  training and education policy  sustainable practices and strategies | NB: Assessment must include all stages of developing and implementing policy |

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| *Question 27 –* Describe the leadership styles and roles that you have applied in an early childhood education and care service. | |
| Key points to be addressed by the candidate | ASSESSOR’S NOTES |
| characteristics, relationships, influence and accountability in the community  cultural awareness and practice  government programs and policies  models of leadership  nature and structure of the community services industry  organisational guidelines  relevant legislation  social, political and organisational systems and structures  strategic planning |  |

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| *Question 28 –* Describe methods you have used to maximise staff work performance in an early childhood education and care service. | |
| Key points to be addressed by the candidate | ASSESSOR’S NOTES |
| basic contract management  effective team management  effective working relationships  industrial relations conditions, requirements and awards  legal, health and safety requirements  organisational mission, philosophy and structure  organisational standards, policies and procedures  positive communication techniques  recruitment techniques  relevant legislation (and its broad implications for staff work performance) |  |

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| *Question 29 –* Describe how you reflect upon and improve your own work practices. | |
| Key points to be addressed by the candidate | ASSESSOR’S NOTES |
| codes of ethics and practice standards  functions of supervision (educative, administrative and supportive)  information systems and research trends (as they relate to the development of work plans and personal development plans)  learning styles (and how they relate to the individual)  organisational guidelines, policies, plans and procedures  personal development opportunities and options  principles and techniques as they relate to:  establishing a personal development plan  identifying personal behaviour, self awareness and personality traits  measuring performance  personal goal setting  time management  relevant legislation  work methods / practices which can improve personal performance |  |

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| Outcomes of the competency conversation interview for cluster 7—Leadership and service management | | | |
| Assessors must consider whether the rules of evidence are met (valid, sufficient, current and authentic) in relation to the candidate’s knowledge, and whether the evidence is sufficient to demonstrate the candidate’s ability to complete and manage tasks and manage contingencies in the job role context. Record findings below.  If the evidence shows the candidate has not demonstrated competence, discuss the next steps—practical workplace tasks to be assessed by the assessor in the workplace (see the Workplace Assessment Tasks provided for in this *RPL Toolkit*) or candidate self-directed professional development activities to build knowledge or skills. If the candidate has demonstrated workplace competence for unit or units, complete the *RPL* *Assessment Outcomes Form*. | | | |
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| Assessor’s name |  | **The candidate has not yet demonstrated competence, more evidence of knowledge and/or skills is required** |  |
| Assessor’s signature |  | **The candidate has demonstrated competence to the standard expected in the workplace (and the rules of evidence are met)** |  |

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| Competency conversation recording tool for Cluster 8—Children’s development and wellbeing | | | | | |
| Unit of competency:   * *CHCECE017 Foster the holistic development and wellbeing of the child in early childhood* (core unit) * *CHCECE018 Nurture creativity in children* (core unit) * *CHCSAC005 Foster the holistic development and wellbeing of the child in school age care* (elective unit)   The full text of the unit can be viewed at [www.training.gov.au](http://www.training.gov.au) | | | | | |
| Candidate’s name |  | **Date completed** |  | **Venue** |  |
| Specific assessment requirements that apply across this cluster | Assessment for all of the units in this cluster must ensure use of the National Quality Framework for Early Childhood Education and Care AND the relevant approved learning framework under the National Quality Framework. *(Assessor to tick if confirmed.)*  Skills for all of the units in this cluster must be demonstrated in a regulated early childhood education and care service. *(Assessor to tick if confirmed for this candidate.)* | | | | |
| Specific foundation skills for this cluster | Candidates must have oral communication skills in order to facilitate collaborative discussions with children, families and other educators. *(Assessor to tick if confirmed for this candidate.)*  Candidates must have oral communication skills in order to facilitate creative discussions and play with children aged 0 to 5 years. *(Assessor to tick if confirmed for this candidate.)*  Candidates must have reading skills in order to access and apply relevant pedagogical principles from an approved learning framework. *(Assessor to tick if confirmed for this candidate.)* | | | | |
| *Assessor to add how foundation skills were confirmed:* | | | | |
| Early childhood education and care work requirement | Candidates must have performed the activities outlined in the performance criteria of *CHCECE017 Foster the holistic development and wellbeing of the child in early childhood* during a period of at least 240 hours of work in at least one regulated education and care service. | | | | |
| *Assessor to add how the work requirement was confirmed:* | | | | |

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| *Question 30 –* What opportunities have you provided and used to foster and enhance the holistic development and wellbeing of children from birth to 5 years of age? | |
| Key points to be addressed by the candidate | ASSESSOR’S NOTES |
| child development areas:  physical development  social development  emotional development  cognitive development  communication development (language and literacy)  contextual factors which influence the children’s emotional and psychological development  core principles of child development and associated developmental tasks  factors which enhance the development of self-esteem and self-identity  links between social, physical, psychological and cognitive development  organisational standards, policies and procedures  range of developmental theories for children between birth and 5 years of age  relevant aspects of theories of children’s emotional and psychological development (as they apply to the educator’s role) | NB: In addition to the ‘key points to be addressed’, evidence must confirm that the candidate has planned and provided at least three opportunities for children of varying ages to develop in a range of areas. |

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| *Question 31 –* What opportunities have you provided and used to foster and enhance the holistic development and wellbeing of children from 5 to 12 years of age? | |
| Key points to be addressed by the candidate | ASSESSOR’S NOTES |
| child development areas:  physical development  social development  emotional development  cognitive development  communication development (language and literacy)  contextual factors which influence the children’s emotional and psychological development  core principles of child development and associated developmental tasks  factors which enhance the development of self-esteem and self-identity  links between social and physical development, and between psychological and cognitive development  organisational standards, policies and procedures  range of developmental theories for children between 5 and 12 years of age  relevant aspects of theories of children’s emotional and psychological development (as they apply to the educator’s role) | NB: In addition to the ‘key points to be addressed’, evidence must confirm that the candidate has planned and provided opportunities for at least three children ranging between the ages of 5 to 12 years. |

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| *Question 32 –* Discuss the programs or active learning environments that you have developed and used to nurture creativity in children. | |
| Key points to be addressed by the candidate | ASSESSOR’S NOTES |
| aesthetic, safe, interesting and challenging environments to encourage curiosity, experimentation, active learning, literacy and choice  environments sufficient to foster creativity, including:  physical environments  human environments  learning environments/frameworks  experiences sufficient to engage children and assist them to implement their ideas, which must include:  music  movement  construction  visual art  dramatic play  organisational standards, policies and procedures  stages of children’s development (as they relate to planning and delivering a range of appropriate activities to stimulate an interest and love of learning in children) | NB: In addition to the ‘key points to be addressed’, evidence must confirm that the candidate has:   * planned and provided at least three programs or active learning environments that foster creativity in for children of varying ages * facilitated the active participation of at least three children of varying ages through encouragement, appropriate interactions and communications. |

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| Outcomes of the competency conversation interview for cluster 8—Children’s development and wellbeing | | | |
| Assessors must consider whether the rules of evidence are met (valid, sufficient, current and authentic) in relation to the candidate’s knowledge, and whether the evidence is sufficient to demonstrate the candidate’s ability to complete and manage tasks and manage contingencies in the job role context. Record findings below.  If the evidence shows the candidate has not demonstrated competence, discuss the next steps—practical workplace tasks to be assessed by the assessor in the workplace (see the Workplace Assessment Tasks provided for in this *RPL Toolkit*) or candidate self-directed professional development activities to build knowledge or skills. If the candidate has demonstrated workplace competence for unit or units, complete the *RPL* *Assessment Outcomes Form*. | | | |
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| Assessor’s name |  | **The candidate has not yet demonstrated competence, more evidence of knowledge and/or skills is required** |  |
| Assessor’s signature |  | **The candidate has demonstrated competence to the standard expected in the workplace (and the rules of evidence are met)** |  |

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| Competency conversation recording tool for Cluster 9—Program design and implementation | | | | | |
| Units of competency:   * *CHCECE022 Promote children’s agency* (core unit) * *CHCECE023 Analyse information to inform learning* (core unit) * *CHCECE024 Design and implement the curriculum to foster children's learning and development* (core unit) * *CHCECE026 Work in partnership with families to provide appropriate education and care for children* (core unit)   The full text of the units can be viewed at [www.training.gov.au](http://www.training.gov.au) | | | | | |
| Candidate’s name |  | **Date completed** |  | **Venue** |  |
| Specific assessment requirements that apply across units in this cluster | Assessment for all of the units in this cluster must ensure use of the National Quality Framework for Early Childhood Education and Care AND the relevant approved learning framework under the National Quality Framework. *(Assessor to tick if confirmed for this cluster.)*  Skills for all of the units in this cluster must be demonstrated in a regulated early childhood education and care service. *(Assessor to tick if confirmed for this candidate.)* | | | | |
| Specific foundation skills for this cluster | Candidates must have writing skills in order to document observations in line with workplace procedures and policies. *(Assessor to tick if confirmed for this candidate.)*  Candidates must have oral communication skills in order to facilitate collaborative discussions with parents and caregivers. *(Assessor to tick if confirmed for this candidate.)* | | | | |
| *Assessor to add how foundation skills were confirmed:* | | | | |
| Early childhood education and care work requirement | Candidates must have performed the activities outlined in the performance criteria of *CHCECE024 Design and implement the curriculum to foster children's learning and development* during a period of at least 240 hours of work in at least one regulated education and care service. | | | | |
| *Assessor to add how the work requirement was confirmed:* | | | | |

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| *Question 33 –* Describe the strategies you have used to engage and encourage children in learning experiences. | |
| Key points to be addressed by the candidate | ASSESSOR’S NOTES |
| organisational standards, policies and procedures  relevant theories about childhood learning  strategies for intentional teaching  techniques to encourage and support children to participate, and to promote children’s agency | NB: In addition to the ‘key points to be addressed’, evidence must confirm that the candidate has planned and implemented at least two programs that promote and encourage children’s agency. |

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| *Question 34 –* Describe how you have documented observations of children and used this information to collaboratively plan and design learning experiences. | |
| Key points to be addressed by the candidate | ASSESSOR’S NOTES |
| code of ethics  collaborative planning techniques  confidentiality requirements  observation and inclusion principles  organisation standards, policies and procedures  summative assessments | NB: In addition to the ‘key points to be addressed’, evidence must confirm that the candidate has:   * collected and documented observations of at least three different children * applied this information to educational practice. |

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| *Question 35 –* Give examples of curriculum and learning experiences that you have designed, implemented and evaluated to foster children’s learning and development. | |
| Key points to be addressed by the candidate | ASSESSOR’S NOTES |
| evaluation strategies  how to design programs and environments that foster children’s development  organisational standards, policies and procedures | NB: In addition to the ‘key points to be addressed’, evidence must confirm that the candidate has designed and implemented curriculum on at least one occasion. |

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| *Question 36 –* What strategies do you use to involve family members in children’s services programs? | |
| Key points to be addressed by the candidate | ASSESSOR’S NOTES |
| organisational standards, policies and procedures  relevant theories that underpin the value of family / educator relationships  strategies for involving family members in the service, including:  encouraging participation in children’s experiences  providing opportunities for families to give feedback on the service/program | NB: In addition to the ‘key points to be addressed’, evidence must confirm that the candidate has:   * worked collaboratively with at least three different families to support education and care * involved families in the service/program. |

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| Outcomes of the competency conversation interview for cluster 9—Program design and implementation | | | |
| Assessors must consider whether the rules of evidence are met (valid, sufficient, current and authentic) in relation to the candidate’s knowledge, and whether the evidence is sufficient to demonstrate the candidate’s ability to complete and manage tasks and manage contingencies in the job role context. Record findings below.  If the evidence shows the candidate has not demonstrated competence, discuss the next steps—practical workplace tasks to be assessed by the assessor in the workplace (see the Workplace Assessment Tasks provided for in this *RPL Toolkit*) or candidate self-directed professional development activities to build knowledge or skills. If the candidate has demonstrated workplace competence for unit or units, complete the *RPL* *Assessment Outcomes Form*. | | | |
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| Assessor’s name |  | **The candidate has not yet demonstrated competence, more evidence of knowledge and/or skills is required** |  |
| Assessor’s signature |  | **The candidate has demonstrated competence to the standard expected in the workplace (and the rules of evidence are met)** |  |

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| Competency conversation recording tool for Cluster 10—Children’s behaviour and inclusion | | | | | |
| Units of competency:   * *CHCECE020 Establish and implement plans for developing cooperative behaviour* (core unit) * *CHCECE021 Implement strategies for the inclusion of all children* (core unit)   The full text of the units can be viewed at [www.training.gov.au](http://www.training.gov.au) | | | | | |
| Candidate’s name |  | **Date completed** |  | **Venue** |  |
| Specific assessment requirements that apply across this cluster | Assessment for all of the units in this cluster must ensure use of the National Quality Framework for Early Childhood Education and Care AND the relevant approved learning framework under the National Quality Framework. *(Assessor to tick if confirmed.)*  Skills for all of the units in this cluster must be demonstrated in a regulated early childhood education and care service. *(Assessor to tick if confirmed for this candidate.)* | | | | |
| Specific foundation skills for this cluster | Candidates must have oral communication skills in order to facilitate a range of collaborative discussions with children, families and other educators. *(Assessor to tick if confirmed for this candidate.)* | | | | |
| *Assessor to add how foundation skills were confirmed:* | | | | |

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| *Question 37 –* Describe some of the plans you have developed to guide the behaviour of individual children. | |
| Key points to be addressed by the candidate | ASSESSOR’S NOTES |
| appropriate and inappropriate behaviours (review of own stance and reflection on own values)  code of ethics  different family styles of discipline and beliefs about behaviour in different cultures and social groups  organisational standards, policies and procedures  possible contributing factors to behaviours of concern, including:  recent events  child’s history  actions of others  developmental or emotional reasons  relationship-based strategies to help children learn about behaviour  stage of development / age-appropriate expectations of children’s behaviour  United Nations Conventions on the Rights of the Child | NB: In addition to the ‘key points to be addressed’, evidence must confirm that the candidate has:   * observed and analysed children’s behaviour, on at least three occasions, in a range of situations and contexts * created, implemented and measured the effectiveness of at least one behaviour guidance plan * developed positive relationships with children, respected family expectations and their cultural values, and acted within the service policy * interacted with children and involved them in decision-making and planning. |

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| *Question 38 –* Describe how you have assessed—and met—the additional needs of children. | |
| Key points to be addressed by the candidate | ASSESSOR’S NOTES |
| organisational standards, policies and procedures  range of additional needs that may be identified and the implications for the role of the educator, including:  behavioural or psychological disorders  child at risk of harm or illness  family circumstances and needs  health problems  physical, sensory or developmental disability  relevant legislation, regulations and workplace practices  strategies that encourage participation  understanding of different backgrounds, experiences and needs of children and families in exceptional circumstances or with additional needs | NB: In addition to the ‘key points to be addressed’, evidence must confirm that the candidate has developed and implemented a plan for support and inclusion for at least one child. |

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| Outcomes of the competency conversation interview for cluster 10—Children’s behaviour and inclusion | | | |
| Assessors must consider whether the rules of evidence are met (valid, sufficient, current and authentic) in relation to the candidate’s knowledge, and whether the evidence is sufficient to demonstrate the candidate’s ability to complete and manage tasks and manage contingencies in the job role context. Record findings below.  If the evidence shows the candidate has not demonstrated competence, discuss the next steps—practical workplace tasks to be assessed by the assessor in the workplace (see the Workplace Assessment Tasks provided for in this *RPL Toolkit*) or candidate self-directed professional development activities to build knowledge or skills. If the candidate has demonstrated workplace competence for unit or units, complete the *RPL* *Assessment Outcomes Form*. | | | |
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| Assessor’s name |  | **The candidate has not yet demonstrated competence, more evidence of knowledge and/or skills is required** |  |
| Assessor’s signature |  | **The candidate has demonstrated competence to the standard expected in the workplace (and the rules of evidence are met)** |  |

# Workplace assessment tasks—instructions for candidates

**Introduction**

The following pages include instructions for candidates for the Workplace Assessment Tasks, and a blank template for assessors to use if they wish to design another assessment task (for example, for a more holistic workplace demonstration by the candidate).

The candidate instructions provide details of the task and criteria for assessment, thus assisting candidates to prepare. The instructions may also assist the workplace to understand what the task involves, assisting them to also prepare for the activity if required. The instructions are arranged in ten clusters as described below.

**Clusters 1 to 5**

The candidate instructions for Workplace Assessment Tasks in Clusters 1 to 5 relate to ten of 11 core units in the *CHC50113 Diploma of Early Childhood Education and Care* that are also core in the *CHC30113 Certificate III in Early Education and Care [[3]](#footnote-3).*

***Cluster 1—Nutrition and children’s health and safety***

CHCECE002 Ensure the health and safety of children

CHCECE004 Promote and provide healthy food and drinks

***Cluster 2—Work practices***

CHCCS400C Work within a relevant legal and ethical framework

CHCECE009 Use an approved learning framework to guide practice

CHCPRT001 Identify and respond to children and young people at risk

***Cluster 3—Relationships with children***

CHCECE007 Develop positive and respectful relationships with children

***Cluster 4—Physical and emotional wellbeing***

CHCECE003 Provide care for children

CHCECE005 Provide care for babies and toddlers

***Cluster 5—Cultural awareness and competence***

CHCECE001 Develop cultural competence

HLTHIR404D Work effectively with Aboriginal and/or Torres Strait Islander people

**Clusters 6 to 10**

The candidate instructions for Workplace Assessment Tasks in Clusters 6 to 10 relate to the remaining 12 core units required for the *CHC50113 Diploma of Early Childhood Education and Care* and five electives.

***Cluster 6—Health, safety and quality***

CHCECE016 Establish and maintain a safe and healthy environment for children (core)

CHCECE019 Facilitate compliance in an education and care service (core)

HLTWHS003 Maintain work health and safety (core)

***Cluster 7—Leadership and service management***

CHCECE025 Embed sustainable practices in service operations (core)

CHCORG428A Reflect on and improve own professional practice (elective)

CHCORG506E Coordinate the work environment (elective)

CHCORG624E Provide leadership in community services delivery (elective)

CHCPOL504B Develop and implement policy (elective)

***Cluster 8—Children’s development and wellbeing***

CHCECE017 Foster the holistic development and wellbeing of the child in early childhood (core)

CHCECE018 Nurture creativity in children (core)

CHCSAC005 Foster the holistic development and wellbeing of the child in school age care (elective)

***Cluster 9—Program design and implementation***

CHCECE022 Promote children’s agency (core)

CHCECE023 Analyse information to inform learning (core)

CHCECE024 Design and implement the curriculum to foster children's learning and development (core)

CHCECE026 Work in partnership with families to provide appropriate education and care for children (core)

***Cluster 10—Children’s behaviour and inclusion***

CHCECE020 Establish and implement plans for developing cooperative behaviour (core)

CHCECE021 Implement strategies for the inclusion of all children (core)

**Use of the instructions for workplace assessment tasks**

The assessor should consider and advise the candidate the workplace assessment tasks that are required, agree a date and workplace location, and provide the correct set of instructions before the workplace assessment task is undertaken. More than one task could be combined if practical.

The required workplace assessment tasks should be added to the candidate’s *RPL Assessment Plan*.

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| Candidate instructions for task 1: Undertake a risk analysis of toys and equipment | | | | | |
| Is task required? | **Yes** | **No** | **If yes, date and venue for assessment** | |  |
| Instructions to the candidate  This workplace assessment task requires you to undertake a risk analysis of toys and equipment in a regulated education and care workplace.  You are required to:   * conduct a risk analysis of toys and equipment in an early childhood education and care workplace * check that the toys and equipment are safe for children, and that they are safe to use in their proposed area * provide the findings of your risk analysis to your assessor.   Your assessor may ask questions during or after the process, such as asking you to outline risk management strategies for children’s health and safety in a variety of contexts.  The workplace assessment task should be completed in about one hour (excluding preparation and assessor feedback).  Your assessor will consider your findings, answers to questions, and any workplace observations, and will give you feedback. | | | | Resources required to complete this task  Your assessor (or workplace representative) will ensure you have:   * toys and equipment for children of various ages * current organisational standards, policies and procedures regarding the use, storage and labelling of dangerous products * the *National Quality Framework for Early Childhood Education and Care*, and relevant approved learning framework under the *National Quality Framework*.   How will I be assessed in this task?  Your assessor will check that you can:   * conduct a risk analysis of toys and equipment * correctly determine the safety and suitability of toys and equipment in their area.   Units of competency related to this task   * CHCECE002 Ensure the health and safety of children (core unit)   Your *Candidate Guide* has a brief description of the units related to this task. The units can be viewed at [www.training.gov.au](http://www.training.gov.au) | |

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| Candidate instructions for task 2: Develop a cycle of written menus for children | | | | | |
| Is task required? | **Yes** | **No** | **If yes, date and venue for assessment** | |  |
| Instructions to the candidate  This workplace assessment task requires you to develop and display a cycle of written menus detailing the food and drinks to be provided to children in a regulated education and care service.  You are required to:   * work with others to develop and display the menus * check that the menus are consistent with the guidelines for healthy eating, and any advice from families about the specific dietary requirements of each child * engage children in the menu planning process * provide the menus to the assessor, along with evidence you have worked with colleagues and children.   Your assessor may ask questions during or after the process, such as asking how you identified the dietary needs and preferences of children and how you addressed (or would address) any specific cultural, religious or health requirements.  The workplace assessment task should be completed in about one hour (excluding preparation and assessor feedback).  Your assessor will consider your menus, answers to questions, and any workplace observations, and will give you feedback. | | | | Resources needed to complete this task  Your assessor (or workplace representative) will ensure you have access to:   * the Australian Dietary Guidelines and Infant Feeding Guidelines * access to children and colleagues (such as other educators) and any dietary requirements of children who will eat the food * food-handling, preparation and storage policies or procedures * the *National Quality Framework for Early Childhood Education and Care*, and relevant approved learning framework under the *National Quality Framework*.   How will I be assessed in this task?  Your assessor will check that you can:   * work with others to develop a cycle of written menus that are consistent with the guidelines for healthy eating and specific dietary requirements of children * consider individual children’s’ needs including health, cultural, religious requirements. * engage children by involving them in menu planning.   Units of competency related to this task   * CHCECE002 Ensure the health and safety of children (core unit) * CHCECE004 Promote and provide healthy food and drinks (core unit) * Your *Candidate Guide* has a brief description of the units related to this task. The units can be viewed at [www.training.gov.au](http://www.training.gov.au) | |

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| Candidate instructions for task 3: Recognise and discuss common ethical issues | | | | | |
| Is task required? | **Yes** | **No** | **If yes, date and venue for assessment** | |  |
| Instructions to the candidate  This workplace assessment task requires you to:   * respond to a simulated incident of unethical conduct stating why the conduct is unethical, and suggesting strategies to deal with it * discuss a range of common ethical issues you may have experienced, or may come across, in early childhood education and care workplaces and ways to deal with them.   Your assessor will arrange with you how you might conduct the discussion on common ethical issues—for example, you may be asked to give a verbal response to a staff meeting, to your supervisor, or to the assessor.  The workplace assessment task should be completed in about one hour (excluding preparation and assessor feedback).  Your assessor will consider your response to the scenario, your answers to any questions asked about common ethical issues, and your agreed discussion, and will give you feedback. | | | | Resources needed to complete this task  Your assessor (or workplace representative) will ensure you have access to:   * a scenario of unethical conduct, which may be invented or based on an actual incident with all identifying information removed * relevant workplace policies and procedures, standards or protocols * a staff meeting, or other forum to discuss ethical issues.   How will I be assessed in this task?  Your assessor will check that you can:   * discuss a range of common ethical issues that may apply to an early childhood education and care service * respond to an incident of unethical conduct, stating why the behaviour is unethical and identifying strategies to deal with it (including ways to report it) * work within legal and ethical frameworks relevant to your work role.   Units of competency related to this task   * CHCCS400C Work within a relevant legal and ethical framework (core unit) * CHCPRT001 Identify and respond to children and young people at risk (core unit)   Your *Candidate Guide* has a brief description of the units related to this task. The units can be viewed at [www.training.gov.au](http://www.training.gov.au) | |

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| Candidate instructions for task 4: Research an approved learning framework | | | | | |
| Is task required? | **Yes** | **No** | **If yes, date and venue for assessment** | |  |
| Instructions to the candidate  This workplace assessment task requires you to   * research how an approved learning framework is applied in the early childhood education and care service in which you work, and present findings in a format suitable to the service * present your research findings on the learning framework in a format suitable for the service—for example, you could develop a short information flier for parents, add to a newsletter article, or make a presentation to others.   Your flier, article or presentation should:   * refer to the *National Quality Framework for Early Childhood Education and Care* and the approved learning framework * describe how the learning framework is applied to support children’s learning in the service * give examples of how each principle of the learning framework is reflected in the service * clarify your own role, and the roles of others, in implementing the framework, including providing evidence of this.   Your assessor will consider your agreed activity and provide you with feedback. | | | | Resources needed to complete this task  Your assessor (or workplace representative) will ensure you have access to:   * an early childhood education and care service * relevant organisational standards, policies, protocols and procedures * the *National Quality Framework for Early Childhood Education and Care*, and relevant approved learning framework under the *National Quality Framework*.   How will I be assessed in this task?  Your assessor will check that you can:   * investigate and document how an approved learning framework is applied, including how each principle of the learning framework is reflected in the service * work collaboratively with at least one other educator to implement an approved learning framework within an early childhood education and care service.   Units of competency related to this task   * CHCCS400C Work within a relevant legal and ethical framework (core unit) * CHCECE009 Use an approved learning framework to guide practice (core unit)   Your *Candidate Guide* has a brief description of the units related to this task. The units can be viewed at [www.training.gov.au](http://www.training.gov.au) | |

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| Candidate instructions for task 5: Document your involvement in pedagogical practices | | | | | |
| Is task required? | **Yes** | **No** | **If yes, date and venue for assessment** | |  |
| Instructions to the candidate  This workplace assessment task requires you to document your involvement in pedagogical practices in a regulated early childhood education and care workplace. Your assessor will confirm with you a suitable activity. For example, you might develop a short report or make a presentation to a small group.  Your report or presentation should:   * give examples of pedagogical practices * describe your own work role in implementing at least three pedagogical practices in the service * identify the basis for these practices in the *National Quality Framework for Early Childhood Education and Care* * clarify your own role, and the roles of others, in implementing pedagogical practices, including providing evidence of this.   (Examples of pedagogical practices are listed in the Frameworks documents—go to <http://deewr.gov.au/early-years-learning-framework> if you need further information.)  Your assessor will consider the outcomes of the agreed activity and provide you with feedback. | | | | Resources needed to complete this task  Your assessor (or workplace representative) will ensure you have access to:   * relevant organisational standards, policies, protocols and procedures * the *National Quality Framework for Early Childhood Education and Care*, and relevant approved learning framework under the *National Quality Framework*.   How will I be assessed in this task?  Your assessor will check that you:   * have been involved in at least three pedagogical practices in an early childhood education and care workplace * can describe, document and discuss pedagogical practices * can identify the basis for pedagogical practices in the *National Quality Framework*.   Units of competency related to this task   * CHCCS400C Work within a relevant legal and ethical framework (core unit) * CHCECE009 Use an approved learning framework to guide practice (core unit)   Your *Candidate Guide* has a brief description of the units related to this task. The units can be viewed at [www.training.gov.au](http://www.training.gov.au) | |

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| Candidate instructions for task 6: Record the circumstances surrounding risk of harm | | | | | |
| Is task required? | **Yes** | **No** | **If yes, date and venue for assessment** | |  |
| Instructions to the candidate  This workplace assessment task requires you to:   * report on possible circumstances surrounding indications of risk-of-harm * develop a response that would be appropriate for an early childhood education and care service.   Your assessor will confirm the required activity with you. For example, you might be asked to develop a brief verbal or written report to your supervisor, or make a short presentation to a staff meeting. Your report or presentation should:   * identify and outline indicators and circumstances that could relate to risk of harm in children or young people (you will also need to reference the source of the information) * identify appropriate responses to risk of harm for an educator working in an early childhood education and care service * describe the duty of care responsibilities of an educator within an early childhood education and care workplace * describe work practices you use to support the protection of children, and have your supervisor verify this.   Your assessor will consider the outcomes of the agreed activity and provide you with feedback. | | | | Resources needed to complete this task  Your assessor (or workplace representative) will ensure you have access to:   * relevant organisational standards, policies, protocols and procedures * the *National Quality Framework for Early Childhood Education and Care*, and relevant approved learning framework under the *National Quality Framework*.   How will I be assessed in this task?  Your assessor will check that you can:   * identify and outline possible risk of harm indicators and circumstances * identify appropriate responses to risk of harm indicators and circumstances for the protection of children and young people * use child focussed work practices that support the protection of children * work within legal and ethical frameworks and workplace procedures relevant to your work role.   Units of competency related to this task   * CHCCS400C Work within a relevant legal and ethical framework (core unit) * CHCPRT001 Identify and respond to children and young people at risk (core unit)   Your *Candidate Guide* has a brief description of the units related to this task. The units can be viewed at [www.training.gov.au](http://www.training.gov.au) | |

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| Candidate instructions for task 7: Promote positive behaviour among children | | | | | |
| Is task required? | **Yes** | **No** | **If yes, date and venue for assessment** | |  |
| Instructions to the candidate  This workplace assessment task requires you to communicate positively and respectively with children to guide their behaviour.  Your assessor will observe you over an agreed timeframe in an early childhood education and care service interacting with children in a range of situations and environments to promote positive behaviour.  Your assessor may ask questions during or after the observation, such as asking you to explain the communication techniques you use to guide the behaviour of children.  The workplace demonstration should be completed in about two hours (excluding preparation and assessor feedback).  Your assessor will record their observations on a checklist and give you feedback.  Your *Candidate Guide* has a brief description of the units related to this task. The units can be viewed at [www.training.gov.au](http://www.training.gov.au) | | | | Resources needed to complete this task  Your assessor (or workplace representative) will ensure you have access to:   * an early childhood education and care service * children in the service * relevant organisational standards, policies and procedures * the *National Quality Framework for Early Childhood Education and Care*, and relevant approved learning framework under the *National Quality Framework.*   How will I be assessed in this task?  Your assessor will check that you can:   * communicate positively and respectfully with at least three children * interact effectively with children to promote positive behaviour * use appropriate techniques to guide the behaviour of children.   Unit of competency related to this task   * CHCECE007 Develop positive and respectful relationships with children (core) | |

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| Candidate instructions for task 8: Provide care and a safe rest environment for babies/toddlers | | | | | |
| Is task required? | **Yes** | **No** | **If yes, date and venue for assessment** | |  |
| Instructions to the candidate  This workplace assessment task requires you to prepare babies for rest, and to set up and implement a safe rest environment for babies and toddlers of varying ages.  Your assessor will observe you in the service:   * using safe and hygienic practices to check that cots, bedding and equipment are clean and meet approved standards * preparing babies and toddlers for rest * assessing and responding to tiredness in at least three different babies and toddlers of varying ages * implementing safe sleep practices.   Your assessor may ask questions during or after the observation, such as asking you to explain how you identified the individual sleep and rest patterns of babies and toddlers.  The demonstration should take about one hour (excluding preparation and assessor feedback). Your assessor will record their observations on a checklist and give you feedback.  Your *Candidate Guide* has a brief description of the units related to this task. The units can be viewed at [www.training.gov.au](http://www.training.gov.au) | | | | Resources needed to complete this task  Your assessor (or workplace representative) will ensure you have access to:   * access to at least three babies and toddlers of varying ages * information about the individual babies and toddlers * relevant equipment and resources (including cots, bedding, toys and comfort items) * relevant organisational standards, policies and procedures. * the *National Quality Framework for Early Childhood Education and Care*, and relevant approved learning framework under the *National Quality Framework*   How will I be assessed in this task?  Your assessor will check that you can:   * provide care to babies and toddlers of varying ages in an early childhood education and care service using safe and hygienic practices (including changing nappies, heating breast milk and formula, feeding babies) * assess and respond appropriately to babies’ needs, including hunger, distress, tiredness and pain * set up and implement a safe environment that is conducive to rest, including undertaking prevention measures for Sudden Infant Death Syndrome (SIDS) and checking that check that cots, bedding and equipment meet approved standards.   Unit of competency related to this task   * CHCECE005 Provide care for babies and toddlers (core unit). | |

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| Candidate instructions for task 9: Support cross-cultural relationships and environments | | | | | |
| Is task required? | **Yes** | **No** | **If yes, date and venue for assessment** | |  |
| Instructions to the candidate  This workplace assessment task requires you to:   * conduct an activity in an early childhood education and care service to foster effective and supportive cross-cultural relationships and environments * prepare a brief written or verbal report outlining the activity, and how the activity and your work, is culturally appropriate   The activity must involve planning and implementing experiences to encourage children to respect all cultures and to celebrate cultural diversity The activity will be designed with your assessor in consultation with you, and should be in line with the needs of the service and its cultural mix.  Depending on the activity, your assessor could also observe your interactions with children and families.  Your assessor may ask questions during or after the process, such as asking how you identified culturally safe work practices that were relevant to your workplace. Your assessor will record their observations on a checklist and give you feedback.  Your *Candidate Guide* has a brief description of the units related to this task. The units can be viewed at [www.training.gov.au](http://www.training.gov.au) | | | | Resources needed to complete this task  Your assessor (or workplace representative) will ensure you have access to:   * information on culturally appropriate practices relevant to the service, its children, families and community, and resources in relation to cultural diversity * organisational policies and initiatives designed to support participation * the *National Quality Framework for Early Childhood Education and Care*, and relevant approved learning framework under the *National Quality Framework*   How will I be assessed in this task?  Your assessor will check that you can:   * plan and implement experiences that encourage children to respect all cultures and to celebrate cultural diversity * plan and implement supportive environments for all children * interact in culturally appropriate ways with children, families and communities, and make and maintain cross cultural relationships * identify and implement culturally safe work practices.   Units of competency related to this task   * CHCECE001 Develop cultural competence (core) * HLTHIR404D Work effectively with Aboriginal and/or Torres Strait Islander people (core) | |

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| Candidate instructions for task 10: Investigate cultural diversity in a service and a community | | | | | |
| Is task required? | **Yes** | **No** | **If yes, date and venue for assessment** | |  |
| Instructions to the candidate  This workplace assessment task requires you to:   * investigate and document cultural diversity in an early childhood education and care service, and in a community * report on your findings either verbally or in writing to a work team, your assessor, a supervisor, or another educator.   Your assessor will discuss investigation techniques with you and the format of the report. The investigation will be in line with the requirements of the service where you work, and its cultural mix. Your reporting of findings should be appropriate to your work role and the cultural context of the service and the community. It should be able to support and inform planning and work practices.  Resources needed to complete this task  Your assessor (or workplace representative) will ensure you have access to:   * resources and assistance in relation to cultural diversity (including interpreter resources if required). * organisational policies and initiatives that support participation * the *National Quality Framework for Early Childhood Education and Care*, and relevant approved learning framework under the *National Quality Framework*. | | | | How will I be assessed in this task?  Your assessor will check that you can:   * use sensitive and respectful oral communication when interacting with people from differing cultural contexts and situations * reflect on your own cultural identity and possible biases * research and reflect on different cultural practices and world views * describe ‘cultural competence’ and ‘diversity’ (as outlined in the learning framework relevant to your workplace) * provide appropriate suggestions for reviewing and modifying work practices, in consultation with people from diverse cultural backgrounds.   Units of competency related to this task   * CHCECE001 Develop cultural competence (core) * HLTHIR404D Work effectively with Aboriginal and/or Torres Strait Islander people (core)   Your *Candidate Guide* has a brief description of the units related to this task. The units can be viewed at [www.training.gov.au](http://www.training.gov.au) | |

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| Candidate instructions for task 11: Develop strategies for working in partnership with Aboriginal and/or Torres Strait Islander people | | | | | |
| Is task required? | **Yes** | **No** | **If yes, date and venue for assessment** | |  |
| Instructions to the candidate  This workplace assessment task requires you to:   * develop appropriate strategies for working with Aboriginal and/or Torres Strait Islander children and families in an early childhood education and care service * report on those strategies, in a written or verbal format.   Your assessor will discuss the research you need to conduct—it must be sufficient to develop your awareness of the diversity of Aboriginal and/or Torres Strait Islander history and culture.  If you work in a service without Aboriginal or Torres Strait Islander children, your assessor may provide a scenario to assist you to develop strategies. If there are Aboriginal or Torres Strait Islander children in the service, the assessor may also observe your interactions with children.  Your reporting of the strategies should be in line with your work role, and must include information on Aboriginal and Torres Strait Islander history and culture. Your report should support and inform work practices in the service that support Aboriginal and/or Torres Strait Islander children and families.  Your *Candidate Guide* has a brief description of the units related to this task. The units can be viewed at [www.training.gov.au](http://www.training.gov.au) | | | | Resources needed to complete this task  Your assessor (or workplace representative) will ensure you have access to:   * information on culturally appropriate practices relevant to the service, its children, families and community, including access to people who can provide local knowledge of Aboriginal and/or Torres Strait Islander culture * organisational policies and initiatives designed to support participation * the *National Quality Framework for Early Childhood Education and Care*, and relevant approved learning framework under the *National Quality Framework*.   How will I be assessed in this task?  Your assessor will check that you can:   * demonstrate an awareness of the diversity of Aboriginal and Torres Strait Islander history and culture, and health issues, and how these could apply to work practices in early childhood education and care * suggest appropriate strategies to improve the service’s capacity to work in partnership with Aboriginal and Torres Strait Islander people and communities, and to provide education and care to Aboriginal and/or Torres Strait Islander children.   Units of competency related to this task   * CHCECE001 Develop cultural competence (core unit) * HLTHIR404D Work effectively with Aboriginal and/or Torres Strait Islander people (core) | |

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| Candidate instructions for task 12: Conduct a workplace risk assessment | | | | | |
| Is task required? | **Yes** | **No** | **If yes, date and venue for assessment** | |  |
| Instructions to the candidate  This workplace assessment task requires you to conduct a workplace risk assessment in a regulated early childhood education and care service and to record the results in line with workplace procedures.  You are required to:   * identify existing and potential hazards * identify strategies for minimising risk * provide an analysis of residual risk * record the results of the risk assessment according to workplace procedures * communicate the outcomes of the risk assessment (including hazards and safety issues) to your work team * provide the risk assessment report to your assessor.   The workplace assessment task should be completed in about two hours (excluding preparation and assessor feedback).  Your assessor may ask questions during or after the process, such as asking you to describe the principles of hazards and risk assessment. Your assessor will consider your documentation of the risk assessment, your answers to questions, and any workplace observations, and will give you feedback. | | | | Resources required to complete this task  Your assessor (or workplace representative) will ensure you have access to:   * a small work team in a regulated early childhood education and care service * current workplace policies and procedures for work health and safety (WHS) including strategies for minimising risk * the *National Quality Framework for Early Childhood Education and Care*, and relevant approved learning framework under the *National Quality Framework*.   How will I be assessed in this task?  Your assessor will check that you can:   * conduct a workplace risk assessment and record the results according to workplace procedures * provide WHS information to at least two workers * communicate hazards and safety issues to appropriate persons within the service.   Units of competency related to this task   * *CHCECE016 Establish and maintain a safe and healthy environment for children* (core unit) * *HLTWHS003 Maintain work health and safety (*core unit)   Your *Candidate Guide* has a brief description of the units related to this task. The units can be viewed at [www.training.gov.au](http://www.training.gov.au) | |

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| Candidate instructions for task 13: Present an emergency response information session | | | | | |
| Is task required? | **Yes** | **No** | **If yes, date and venue for assessment** | |  |
| Instructions to the candidate  This workplace assessment task requires you to communicate information to families about your workplace’s emergency procedures and incident management plan. This could be done as part of a broader session to which families are invited.  You are required to:   * prepare an information session that details your workplace’s emergency procedures and incident management plan * present the information session to a small group of family members and colleagues * provide the notes of the information session to your assessor.   Your assessor may ask questions during or after the process, such as asking you to describe how you would coordinate an emergency response (such as an evacuation) in your workplace.  The workplace assessment task should be completed in about two hours (excluding preparation and assessor feedback).  Your assessor will consider the notes you prepare for your information session, answers to questions, and any workplace observations, and will give you feedback. | | | | Resources required to complete this task  Your assessor (or workplace representative) will ensure you have access to:   * a small group of colleagues and family members in a regulated early childhood education and care service * current workplace policies and procedures for WHS (including evacuation plans and workplace emergency procedures) * the *National Quality Framework for Early Childhood Education and Care*, and relevant approved learning framework under the *National Quality Framework*.   How will I be assessed in this task?  Your assessor will check that you can:   * communicate information to families about the workplace’s emergency procedures and incident management plan * provide WHS information to at least two workers * communicate hazards and safety issues to appropriate persons within the service.   Units of competency related to this task   * *CHCECE016 Establish and maintain a safe and healthy environment for children* (core unit) * *HLTWHS003 Maintain work health and safety (*core unit)   Your *Candidate Guide* has a brief description of the units related to this task. The units can be viewed at [www.training.gov.au](http://www.training.gov.au) | |

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| Candidate instructions for task 14: Facilitate an organisational self-assessment | | | | | |
| Is task required? | **Yes** | **No** | **If yes, date and venue for assessment** | |  |
| Instructions to the candidate  This workplace assessment task requires you to facilitate an organisational self-assessment process against the standards and elements in the *National Quality Framework* in a regulated early childhood education and care service.  You are required to:   * determine ways to collect information from staff, children and families to inform the self-assessment * involve colleagues in the collection of information * record the information collected during the self-assessment against the standards and elements in the *National Quality Framework* * provide the self-assessment report to your assessor and/or to others such as at a team meeting.   The time to complete the self-assessment may depend on the extent of information held by the service including any previous self-assessments. Your assessor will discuss this with you.  Your assessor may ask questions, such as asking you to describe the processes for engaging stakeholders in the planning and consultation. Your assessor will consider your organisational self-assessment report, answers to questions, and any workplace observations, and will give you feedback. | | | | Resources required to complete this task  Your assessor (or workplace representative) will ensure you have access to:   * colleagues, children and family members in a regulated early childhood education and care service * current organisational standards, policies and procedures (including processes for engaging stakeholders in the planning and consultation stages of quality assurance) * the *National Quality Framework for Early Childhood Education and Care*, and relevant approved learning framework under the *National Quality Framework*.   How will I be assessed in this task?  Your assessor will check that you can:   * facilitate an organisational self-assessment process against the standards and elements in the *National Quality Framework* * check and maintain compliance in an education and care service * interpret and apply the requirements outlined in the *National Quality Framework*.   Unit of competency related to this task   * *CHCECE019 Facilitate compliance in an education and care service* (core unit)   Your *Candidate Guide* has a brief description of the units related to this task. The units can be viewed at [www.training.gov.au](http://www.training.gov.au) | |

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| Candidate instructions for task 15: Develop a sustainability management plan | | | | | |
| Is task required? | **Yes** | **No** | **If yes, date and venue for assessment** | |  |
| Instructions to the candidate  This workplace assessment task requires you to analyse sustainability in an early childhood education and care service, and develop a plan to enhance environmental sustainability.  You are required to:   * undertake an analysis of the environmental sustainability of the service, considering factors such as nature, waste, energy and toxins * identify areas of potential change that may enhance the service’s environmental sustainability * develop strategies in a plan to address these areas of potential change in consultation with children and colleagues * provide the sustainability management plan to your assessor.   The time to complete the analysis and develop the plan may depend factors such as the extent of information already held in the service. Your assessor will discuss this with you.  Your assessor may ask questions during or after the process, such as asking you to describe the typical barriers and drivers for behavioural change.  Your assessor will consider your strategies, answers to questions, and any workplace observations, and will give you feedback. | | | | Resources needed to complete this task  Your assessor (or workplace representative) will ensure you have access to:   * children in a regulated early childhood education and care service * current organisational standards, policies and procedures (including qualitative and quantitative evaluation processes for sustainability programs) * the *National Quality Framework for Early Childhood Education and Care*, and relevant approved learning framework under the *National Quality Framework*.   How will I be assessed in this task?  Your assessor will check that you can:   * undertake an analysis of the environmental sustainability of an early childhood education and care service * develop a management plan to enhance environmental sustainability in an early childhood education and care service.   Unit of competency related to this task   * *CHCECE025 Embed sustainable practices in service operations* (core unit)   Your *Candidate Guide* has a brief description of the units related to this task. The units can be viewed at [www.training.gov.au](http://www.training.gov.au) | |

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| Candidate instructions for task 16: Develop strategies to promote work performance | | | | | |
| Is task required? | **Yes** | **No** | **If yes, date and venue for assessment** | |  |
| Instructions to the candidate  This workplace assessment task requires you to develop strategies to maximise the effective work performance of staff in an early childhood education and care service.  You are required to:   * research the factors that influence effective work performance in an early childhood education and care service, including researching policies and theories that relate to productivity in workplaces * identify ways of working that could sustain ongoing health and morale for employees in the service * identify barriers and constraints to effective work performance, and develop strategies and performance standards to address these * promote the strategies in the workplace to influence workplace practices * provide the strategies and performance standards to your assessor.   Your assessor may ask questions during or after the process, such as asking you to describe the relevant policies and theories that relate to workplace productivity. Your assessor will consider your strategies and performance, answers to questions, and any workplace observations, and will give you feedback. | | | | The time to complete the analysis, develop and communicate the strategies may depend factors such as your skills in research, information already held in the service, and the selected communication method. Your assessor will discuss this with you.  Resources needed to complete this task  Your assessor (or workplace representative) will ensure you have access to:   * staff and colleagues in an early childhood education and care service * current organisational standards, policies and procedures.   How will I be assessed in this task?  Your assessor will check that you can:   * research ways to improve workplace productivity and performance, identifying factors that could impeded and enhance workplace performance * establish effective strategies to maximise staff work performance * promote the strategies in the workplace to influence effective practice in an early childhood education and care service.   Units of competency related to this task   * *CHCORG506E Coordinate the work environment (*elective unit) * *CHCORG624E Provide leadership in community services delivery* (elective unit)   Your *Candidate Guide* has a brief description of the units related to this task. The units can be viewed at [www.training.gov.au](http://www.training.gov.au) | |

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| Candidate instructions for task 17: Establish a personal development plan | | | | | |
| Is task required? | **Yes** | **No** | **If yes, date and venue for assessment** | |  |
| Instructions to the candidate  This workplace assessment task requires you to reflect on your own work practice and establish a personal development plan.  You are required to:   * undertake a self-evaluation of your professional work practice against the current and future needs of your workplace * seek feedback from colleagues as part of your self-evaluation * establish realistic goals and targets for self development and record these in a personal development plan * provide the personal development plan to your assessor.   Your assessor may ask questions during or after the process, such as asking you to describe the principles and techniques of personal goal setting.  The workplace assessment task should be completed in about two hours (excluding preparation and assessor feedback).  Your assessor will consider your personal development plan, answers to questions, and any workplace observations, and will give you feedback. | | | | Resources needed to complete this task  Your assessor (or workplace representative) will ensure you have access to:   * colleagues in an actual or simulated early childhood education and care service * a sample development plan format, or access to the workplace’s format for performance plans * current relevant organisational standards, policies and procedures.   How will I be assessed in this task?  Your assessor will check that you can:   * reflect on and evaluate your personal capacity to meet professional standards * assess the effectiveness of your own skills development * elicit, analyse and interpret feedback from colleagues * research information and establish a personal development plan including realistic goals and targets for your self-development.   Unit of competency related to this task   * *CHCORG428A Reflect on and improve own professional practice* (elective unit)   Your *Candidate Guide* has a brief description of the units related to this task. The units can be viewed at [www.training.gov.au](http://www.training.gov.au) | |

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| Candidate instructions for task 18: Create learning environments for children aged 0-5 | | | | | |
| Is task required? | **Yes** | **No** | **If yes, date and venue for assessment** | |  |
| Instructions to the candidate  This workplace assessment task requires you to create at least three positive and safe learning environments in an early childhood education and care service for children from birth to 5 years.  In each learning environment you are required to provide self-directed play opportunities which:   * support children’s emotional and psychological development * encourage children to be independent and immerse themselves in self-directed play * foster children’s positive self-concept and self-esteem * encourage children to express their thoughts, feelings and ideas.   Your assessor will observe you over an agreed timeframe in an early childhood education and care service.  Your assessor may ask questions during or after the observation, such as asking you to outline the range of developmental theories for children between birth and 5 years of age.  Your assessor will record their observations on a checklist and give you feedback. | | | | Resources needed to complete this task  Your assessor (or workplace representative) will ensure you have access to:   * children from birth to 5 years in a regulated early childhood education and care service * current relevant organisational standards, policies and procedures * the *National Quality Framework for Early Childhood Education and Care*, and relevant approved learning framework under the *National Quality Framework*.   How will I be assessed in this task?  Your assessor will check that you can:   * plan and provide at least three opportunities for children to develop in a range of areas * describe developmental theories for children between 0 and 5 years of age * create at least three positive and safe learning environments for children aged 0 to 5 years that support self-directed play opportunities.   Unit of competency related to this task   * *CHCECE017 Foster the holistic development and wellbeing of the child in early childhood* (core unit)   Your *Candidate Guide* has a brief description of the units related to this task. The units can be viewed at [www.training.gov.au](http://www.training.gov.au) | |

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| Candidate instructions for task 19: Create learning environments for children aged 5-12 | | | | | |
| Is task required? | **Yes** | **No** | **If yes, date and venue for assessment** | |  |
| Instructions to the candidate  This workplace assessment task requires you to create positive and safe learning environments where at least three children from 5 to 12 years of age can immerse themselves in self-directed play.  In each learning environment you are required to provide self-directed play opportunities which:   * support children’s emotional and psychological development * encourage children to be independent * foster children’s positive self-concept and self-esteem * encourage children to express their thoughts, feelings and ideas.   Your assessor will observe you over an agreed timeframe in an early childhood education and care service.  Your assessor may ask questions during or after the observation, such as asking you to outline the range of developmental theories for children between 5 and 12 years of age.  Your assessor will record their observations on a checklist and give you feedback. | | | | Resources needed to complete this task  Your assessor (or workplace representative) will ensure you have access to:   * at least three children from 5 to 12 years of age in a regulated early childhood education and care service * current relevant organisational standards, policies and procedures * the *National Quality Framework for Early Childhood Education and Care*, and relevant approved learning framework under the *National Quality Framework*.   How will I be assessed in this task?  Your assessor will check that you can:   * plan and provide opportunities for at least three children between the ages of 5 to 12 years * describe developmental theories for children from 5 to 12 years of age * create positive and safe learning environments where at least three children from 5 to 12 years of age can immerse themselves in self-directed play.   Unit of competency related to this task   * *CHCSAC005 Foster the holistic development and wellbeing of the child in school age care* (elective unit)   Your *Candidate Guide* has a brief description of the units related to this task. The units can be viewed at [www.training.gov.au](http://www.training.gov.au) | |

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| Candidate instructions for task 20: Design learning environments that foster creativity | | | | | |
| Is task required? | **Yes** | **No** | **If yes, date and venue for assessment** | |  |
| Instructions to the candidate  This workplace assessment task requires you to design at least three active learning environments (both indoor and outdoor) that foster creativity in children of varying ages.  In each learning environment you are required to provide:   * a range of experiences to nurture creativity, including music, movement, construction, visual art and dramatic play * creative experiences initiated by children * creative approaches to routines * opportunities for each child to develop self-expression skills.   Your assessor will observe you over an agreed timeframe in an early childhood education and care service.  Your assessor may ask questions during or after the observation, such as asking you to describe how you use music, movement, construction, visual art and dramatic play to engage children and assist them to implement their ideas.  Your assessor will record their observations on a checklist and give you feedback. | | | | Resources needed to complete this task  Your assessor (or workplace representative) will ensure you have access to:   * at least three children of varying ages in a regulated early childhood education and care service * current relevant organisational standards, policies and procedures * the *National Quality Framework for Early Childhood Education and Care*, and relevant approved learning framework under the *National Quality Framework*.   How will I be assessed in this task?  Your assessor will check that you can:   * plan and provide at least three active learning environments that foster creativity in children of varying ages * describe how music, movement, construction, visual art and dramatic play can engage children and assist them to implement their ideas * facilitate the active participation of at least three children of varying ages through encouragement, appropriate interactions and communications.   Unit of competency related to this task   * *CHCECE018 Nurture creativity in children* (core unit)   Your *Candidate Guide* has a brief description of the units related to this task. The units can be viewed at [www.training.gov.au](http://www.training.gov.au) | |

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| Candidate instructions for task 21: Design and evaluate learning experiences | | | | | |
| Is task required? | **Yes** | **No** | **If yes, date and venue for assessment** | |  |
| Instructions to the candidate  This workplace assessment task requires you to design and evaluate at least two learning experiences in consultation with other educators that reflect children’s interests and needs.  You are required to:   * gather information on each child and use the information as a basis for addressing their interests and needs * design at least two learning experiences to foster children’s agency * engage and encourage children to participate in the learning experiences * assess and evaluate the learning experiences.   Your assessor will observe you over an agreed timeframe in an early childhood education and care service, and will consider the information you gather on children, and the plans and evaluations you develop.  Your assessor may ask questions during or after the observation, such as asking you to describe the techniques you use to encourage and support children to participate.  Your assessor will record their observations on a checklist and give you feedback. | | | | Resources needed to complete this task  Your assessor (or workplace representative) will ensure you have access to:   * children and other educators in an early childhood education and care service * current relevant organisational standards, policies and procedures * the *National Quality Framework for Early Childhood Education and Care*, and relevant approved learning framework under the *National Quality Framework*.   How will I be assessed in this task?  Your assessor will check that you can:   * use information on children to plan to meet their individual needs and interests * plan, implement and evaluate at least two programs that promote and encourage children’s agency * encourage and support children to participate in the programs * design and implement developmentally appropriate curriculum in consultation with other educators.   Units of competency related to this task   * *CHCECE022 Promote children’s agency* (core unit) * *CHCECE024 Design and implement the curriculum to foster children's learning and development* (core unit)   Your *Candidate Guide* has a brief description of the units related to this task. The units can be viewed at [www.training.gov.au](http://www.training.gov.au) | |

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| Candidate instructions for task 22: Work collaboratively to support a child’s learning | | | | | |
| Is task required? | **Yes** | **No** | **If yes, date and venue for assessment** | |  |
| Instructions to the candidate  This workplace assessment task requires you to work collaboratively with at least three different families to support their children’s learning in an early childhood education and care service.  You are required to:   * collaborate with at least three different families to plan for their child’s individual needs, interests and development * collect and document observations of at least one child from each family and use this information to inform your planning * develop care strategies together with each family * encourage each family to participate in their child’s experiences * provide opportunities for each family to give feedback on the operation of your early childhood education and care service.   Your assessor will consider the documents you develop, and will observe you over an agreed timeframe in an early childhood education and care service. Your assessor may ask questions during or after the observation, such as asking you to describe the relevant theories that underpin the value of family/educator relationships.  Your assessor will record their observations on a checklist and give you feedback. | | | | Resources needed to complete this task  Your assessor (or workplace representative) will ensure you have access to:   * at least three families and their children in a regulated early childhood education and care service * current relevant organisational standards, policies and procedures * the *National Quality Framework for Early Childhood Education and Care*, and relevant approved learning framework under the *National Quality Framework*.   How will I be assessed in this task?  Your assessor will check that you can:   * work collaboratively with at least three different families to support the education and care of their child * collect and document observations of at least three different children and apply this information to educational practice * develop effective strategies for individual children’s participation, and support and encourage families to participate and to give feedback.   Units of competency related to this task   * *CHCECE023 Analyse information to inform learning* (core unit) * *CHCECE026 Work in partnership with families to provide appropriate education and care for children* (core unit)   Your *Candidate Guide* has a brief description of the units related to this task. The units can be viewed at [www.training.gov.au](http://www.training.gov.au) | |

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| Candidate instructions for task 23: Develop a behaviour guidance plan | | | | | |
| Is task required? | **Yes** | **No** | **If yes, date and venue for assessment** | |  |
| Instructions to the candidate  This workplace assessment task requires you to work with family members and colleagues to create and implement a realistic plan to guide the behaviour of a child.  You are required to:   * observe the child’s behaviour on at least three occasions (in different situations and contexts) * identify long-term and short-term goals/objectives that are consistent with child’s abilities, age and developmental stage * establish a baseline for their behaviour * clearly identify more acceptable alternative behaviours * develop the plan in accordance with service policy * support colleagues to implement the plan * communicate your expectations with the child * monitor the effectiveness of the plan.   Your assessor will consider the documentation you develop and may observe you over an agreed timeframe in an early childhood education and care service. Your assessor may ask questions during or after the observation, such as asking you to describe the relationship-based strategies that you used to help the child learn about behaviour.  Your assessor will record their observations on a checklist and give you feedback. | | | | Resources needed to complete this task  Your assessor (or workplace representative) will ensure you have access to:   * a family, child and other educators in a regulated early childhood education and care service * current relevant organisational standards, policies and procedures * the *National Quality Framework for Early Childhood Education and Care*, and relevant approved learning framework under the *National Quality Framework*.   How will I be assessed in this task?  Your assessor will check that you can:   * observe and analyse a child’s behaviour, on at least three occasions, in a range of situations and contexts * create, implement and measure the effectiveness of a behaviour guidance plan in line with the philosophy and policies of the service (see more details of the requirements for this at left) * develop positive relationships with children and involve them in decision-making and planning * respect family expectations and their cultural values.   Unit of competency related to this task   * *CHCECE020 Establish and implement plans for developing cooperative behaviour* (core unit)   Your *Candidate Guide* has a brief description of the units related to this task. The units can be viewed at [www.training.gov.au](http://www.training.gov.au) | |

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| Candidate instructions for task 24: Develop a plan for support and inclusion | | | | | |
| Is task required? | **Yes** | **No** | **If yes, date and venue for assessment** | |  |
| Instructions to the candidate  This workplace assessment task requires you to collaborate with family members and colleagues to develop and implement a plan for support and inclusion for a child with additional/special needs.  You are required to:   * identify and assess the child’s additional needs (considering their abilities, goals, interests, expectations and health status) * investigate and trial strategies to address the child’s needs * review and suggest adaptations to service delivery to meet the child’s special needs (including developing and adapting curriculum) * collaborate with family members and colleagues to develop an inclusion plan * reflect on the effectiveness of the plan and its impact on the child.   Your assessor will observe you over an agreed timeframe in an early childhood education and care service.  Your assessor may ask questions during or after the observation, such as asking you to describe your approach to individualised, child-centred planning and service delivery.  Your assessor will record their observations on a checklist and give you feedback. | | | | Resources needed to complete this task  Your assessor (or workplace representative) will ensure you have access to:   * a family, child and other educators in a regulated early childhood education and care service * current relevant organisational standards, policies and procedures * the *National Quality Framework for Early Childhood Education and Care*, and relevant approved learning framework under the *National Quality Framework*.   How will I be assessed in this task?  Your assessor will check that you can:   * develop, implement and evaluate a plan for support and inclusion for at least one child who has additional or special needs (with the plan developed through the task requirements described at left).   Unit of competency related to this task   * *CHCECE021 Implement strategies for the inclusion of all children* (core unit)   Your *Candidate Guide* has a brief description of the units related to this task. The units can be viewed at [www.training.gov.au](http://www.training.gov.au) | |

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| --- | --- | --- | --- | --- | --- |
| Candidate instructions for workplace assessment task: *[to be determined by the assessor]* | | | | | |
| Is task required? | **Yes** | **No** | **If yes, date and venue for assessment** | |  |
| Instructions to the candidate | | | | Resources needed to complete this task  How will I be assessed in this task?  Units of competency related to this task  The full text of the units can be viewed at [www.training.gov.au](http://www.training.gov.au) | |

# Workplace assessment tasks—observation tools

**Introduction**

The following pages include assessment tools for use by the assessor when assessing the Workplace Assessment Tasks in this *RPL Toolkit.* A blank template is also included for assessors to use if they wish to design another assessment task (for example, for a more holistic workplace demonstration by the candidate).

The assessor should use the assessment tools when observing and assessing workplace assessment tasks. The tools are arranged in clusters as described below.

**Clusters 1 to 5**

The Workplace Assessment Tasks in Clusters 1 to 5 relate to ten of 11 core units in the *CHC50113 Diploma of Early Childhood Education and Care* that are also core in the *CHC30113 Certificate III in Early Education and Care [[4]](#footnote-4).*

***Cluster 1—Nutrition and children’s health and safety***

CHCECE002 Ensure the health and safety of children

CHCECE004 Promote and provide healthy food and drinks

***Cluster 2—Work practices***

CHCCS400C Work within a relevant legal and ethical framework

CHCECE009 Use an approved learning framework to guide practice

CHCPRT001 Identify and respond to children and young people at risk

***Cluster 3—Relationships with children***

CHCECE007 Develop positive and respectful relationships with children

***Cluster 4—Physical and emotional wellbeing***

CHCECE003 Provide care for children

CHCECE005 Provide care for babies and toddlers

***Cluster 5—Cultural awareness and competence***

CHCECE001 Develop cultural competence

HLTHIR404D Work effectively with Aboriginal and/or Torres Strait Islander people

**Clusters 6 to 10**

The Workplace Assessment Tasks in Clusters 6 to 10 relate to the remaining 12 core units required for the *CHC50113 Diploma of Early Childhood Education and Care* and five electives.

***Cluster 6—Health, safety and quality***

CHCECE016 Establish and maintain a safe and healthy environment for children (core)

CHCECE019 Facilitate compliance in an education and care service (core)

HLTWHS003 Maintain work health and safety (core)

***Cluster 7—Leadership and service management***

CHCECE025 Embed sustainable practices in service operations (core)

CHCORG428A Reflect on and improve own professional practice (elective)

CHCORG506E Coordinate the work environment (elective)

CHCORG624E Provide leadership in community services delivery (elective)

CHCPOL504B Develop and implement policy (elective)

***Cluster 8—Children’s development and wellbeing***

CHCECE017 Foster the holistic development and wellbeing of the child in early childhood (core)

CHCECE018 Nurture creativity in children (core)

CHCSAC005 Foster the holistic development and wellbeing of the child in school age care (elective)

***Cluster 9—Program design and implementation***

CHCECE022 Promote children’s agency (core)

CHCECE023 Analyse information to inform learning (core)

CHCECE024 Design and implement the curriculum to foster children's learning and development (core)

CHCECE026 Work in partnership with families to provide appropriate education and care for children (core)

***Cluster 10—Children’s behaviour and inclusion***

CHCECE020 Establish and implement plans for developing cooperative behaviour (core)

CHCECE021 Implement strategies for the inclusion of all children (core)

**Use of the Candidate Self-evaluation Tools**

The Workplace Assessment Task Observation Tools to be used will depend on the individual candidate’s assessment tasks to be undertaken.

| Observation tool for workplace assessment task 1: Undertake a risk analysis of toys and equipment | | | | | | | | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Units of competency:   * *CHCECE002 Ensure the health and safety of children* (core unit)   The full text of the units can be viewed at [www.training.gov.au](http://www.training.gov.au) | | | | | | | | | | | |
| Candidate’s name |  | | | | **Date completed** | | | |  | | |
| Assessor’s name |  | | | | **Times (duration)** | | | |  | | |
| Workplace |  | | | | **Location** | | | |  | | |
| Description of the workplace assessment task | | | | | | | | | | | |
| This workplace assessment task requires the candidate to undertake a risk analysis of toys and equipment in an education and care service.  The assessor should:   * ask questions during or after the process, such as asking the candidate to explain risk management strategies for children’s health and safety in a variety of contexts * consider the risk analysis developed by the candidate * record observations on the observation checklist * consider the candidate’s risk analysis, answers to questions and any workplace demonstration in determining the assessment outcomes. | | | | The assessor is required to verify that the candidate can:   * conduct a risk analysis to check that toys and equipment are safe for children, and safe to use in their proposed area * correctly determine the safety and suitability of toys and equipment in the area they will be used.   The workplace task should be completed in about one hour (excluding preparation and assessor feedback).  The assessment outcomes should be recorded on this observation tool and the *Assessment Outcomes form*. | | | | | | | |
| Instructions for the assessor | | | | | | | | | | Completed or provided? | |
| Provide the candidate with the *Candidate instructions for task 1: Undertake a risk analysis of toys and equipment,* ensuring you give reasonable notice of the assessment and that details are recorded in the *RPL Assessment Plan*. | | | | | | | | | | Yes | No |
| Provide or ensure access to toys and equipment for various ages; current organisational standards, policies and procedures regarding the use, storage and labelling of dangerous products; and a regulated early childhood education and care service. | | | | | | | | | | Yes | No |
| Ensure access to the *National Quality Framework for Early Childhood Education and Care*, and relevant approved learning framework under the National Quality Framework. | | | | | | | | | | Yes | No |
| Consider the candidate’s risk analysis, asking questions (during or after the process). Complete task 1 observation checklist. | | | | | | | | | | Yes | No |
| Observation checklist | | | | | | | | | | | |
| Did the candidate demonstrate that they can… | | **Regulations, workplace or industry standards** | **Is behaviour observed?**  **Yes No** | | | | | Assessor notes, including examples of candidate responses or application | | | |
| Undertake a risk analysis of toys and equipment, and correctly determine the safety of toys for their area | | Organisational standards, policies and procedures (including risk management strategies for children’s health and safety) |  | | |  | |  | | | |
| Follow legislative and regulatory requirements | | Safe work practices regarding equipment; safety measures to minimise risks for children and others; sun safety; work health and safety (WHS) requirements |  | | |  | |  | | | |
| Responsibly care for children | | Duty of care requirements |  | | |  | |  | | | |
| The candidate completed the assessment task to workplace standards | | | | | | | Yes  No | | | | |
| Additional assessor comments on candidate performance *(Assessor to sign and date)* | | | | | | | | | | | |
|  | | | | | | | | | | | |

| Observation tool for workplace assessment task 2: Develop a cycle of written menus for children | | | | | | | | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Units of competency:   * *CHCECE002 Ensure the health and safety of children* (core unit) * *CHCECE004 Promote and provide healthy food and drinks* (core unit)   The full text of the units can be viewed at [www.training.gov.au](http://www.training.gov.au) | | | | | | | | | | | |
| Candidate’s name |  | | | | **Date completed** | | | |  | | |
| Assessor’s name |  | | | | **Times (duration)** | | | |  | | |
| Workplace |  | | | | **Location** | | | |  | | |
| Description of the workplace assessment task | | | | | | | | | | | |
| This workplace assessment task requires candidates to develop and display a cycle of written menus that detail the food and drinks to be provided to children in a regulated early childhood education and care service. The assessor should:   * ask the candidate to explain how they identified the individual dietary needs and preferences of children and how they addressed (or would address) specific cultural, religious or health requirements * consider the prepared cycle of menus, and evidence of collaboration with children and colleagues * record observations on the observation checklist * consider the candidate’s menus, answers to questions and any workplace demonstration in determining the assessment outcomes. | | | | The assessor is required to verify that the candidate can:   * work with others to develop and display a cycle of written menus that are consistent with the guidelines for healthy eating, and with advice from families about the specific dietary requirements of each child * consider the individual requirements of children including any health, cultural or religious requirements * engage children in the menu planning process.   The workplace task should be completed in about one hour (excluding preparation and assessor feedback).  The assessment outcomes should be recorded on this observation tool and the *Assessment Outcomes form*. | | | | | | | |
| Instructions for the assessor | | | | | | | | | | Completed or provided? | |
| Provide the candidate with *Candidate instructions for task 2: Develop a cycle of written menus for children*, ensuring you give reasonable notice of the assessment and that details are recorded in the *RPL Assessment Plan*. | | | | | | | | | | Yes | No |
| Provide or ensure access to Australian Dietary Guidelines/Infant Feeding Guidelines and relevant organisation food-handling, preparation and storage policies and procedures. | | | | | | | | | | Yes | No |
| Ensure access to an early childhood education and care service, including any dietary requirements of children for whom candidates will be preparing the menus. Also provide samples of various cultural or religious requirements that could apply. | | | | | | | | | | Yes | No |
| Ensure access to the *National Quality Framework for Early Childhood Education and Care*, and relevant approved learning framework under the National Quality Framework. | | | | | | | | | | Yes | No |
| Ensure that the candidate has reading skills in order to accurately read and interpret food labels and dietary requirements. | | | | | | | | | | Yes | No |
| Consider the candidate’s menus, asking questions (before or after the process). Complete the observation checklist for task 2. | | | | | | | | | | Yes | No |
| Observation checklist | | | | | | | | | | | |
| Did the candidate demonstrate that they can… | | **Regulations, workplace or industry standards** | **Is behaviour observed?**  **Yes No** | | | | | Assessor notes, including examples of candidate responses or application | | | |
| Develop an appropriate cycle of written menus for children, involving both children and colleagues in the process | | Organisational standards, policies and procedures (including food-handling, preparation and storage policies/procedures) |  | | |  | |  | | | |
| Follow guidelines for healthy eating | | Australian Dietary Guidelines and Infant Feeding Guidelines |  | | |  | |  | | | |
| Follow legislative and regulatory requirements | | Food safety and food handling requirements; code of ethics; work health and safety (WHS) requirements |  | | |  | |  | | | |
| Maintain the dignity and rights of children | | United Nations Convention on the Rights of the Child |  | | |  | |  | | | |
| The candidate completed the assessment task to workplace standards | | | | | | | Yes  No | | | | |
| Additional assessor comments on candidate performance *(Assessor to sign and date)* | | | | | | | | | | | |
|  | | | | | | | | | | | |

| Observation tool for workplace assessment task 3: Recognise and discuss common ethical issues | | | | | | | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Units of competency:   * *CHCCS400C Work within a relevant legal and ethical framework* (core unit) * *CHCPRT001 Identify and respond to children and young people at risk* (elective unit)   The full text of the units can be viewed at [www.training.gov.au](http://www.training.gov.au) | | | | | | | | | | |
| Candidate’s name |  | | | | **Date completed** | | |  | | |
| Assessor’s name |  | | | | **Times (duration)** | | |  | | |
| Workplace |  | | | | **Location** | | |  | | |
| Description of the workplace assessment task | | | | | | | | | | |
| This workplace assessment task requires the candidate to respond to a simulated incident of unethical conduct (scenario provided by the assessor).  The assessor should:   * observe the candidate demonstrating agreed workplace activities relevant to their response—for example, the candidate could present a verbal response to a staff meeting, to their supervisor, or to the assessor * ask the candidate questions before or after their demonstration, such as what could be common ethical issues and how to deal with them * record observations on the observation checklist * consider the candidate’s planning, answers to questions and workplace demonstration in determining the assessment outcomes. | | | | The assessor is required to verify that the candidate can:   * discuss a range of common ethical issues they may have experienced—or may come across—in an early childhood education and care service. * respond (in writing or verbally) to an incident of unethical conduct, stating why the behaviour is unethical and identifying strategies to deal with it (including ways to report it) * work within legal and ethical frameworks and workplace procedures relevant to the work role.   The workplace task should be completed in about one hour (excluding preparation and assessor feedback). The assessment outcomes should be recorded on this observation tool and the *Assessment Outcomes form*. | | | | | | |
| Instructions for the assessor | | | | | | | | | Completed or provided? | |
| Provide the candidate with *Candidate instructions for task 3: Recognise and discuss common ethical issues*, ensuring you give reasonable notice of the assessment and that details are recorded in the *RPL Assessment Plan*. | | | | | | | | | Yes | No |
| Provide or ensure access to a scenario (simulated) of unethical conduct. This may be invented or based on an actual incident with all identifying information removed. Also provide relevant organisational standards, policies, protocols and procedures and ensure access to person or persons with whom to hold the discussion of ethical issues. | | | | | | | | | Yes | No |
| Ensure access to the *National Quality Framework for Early Childhood Education and Care*, and relevant approved learning framework under the National Quality Framework, and access to a workplace (or a simulated workplace). | | | | | | | | | Yes | No |
| Observe the candidate’s response, asking questions (during or after). Complete the observation checklist for task 3. | | | | | | | | | Yes | No |
| Observation checklist | | | | | | | | | | |
| Did the candidate demonstrate that they can… | | **Regulations, workplace or industry standards** | **Is behaviour observed?**  **Yes No** | | | | Assessor notes, including examples of candidate responses or application | | | |
| Recognise and discuss common ethical issues, including how to report unethical conduct | | Organisational standards, policies, protocols and procedures |  | | |  |  | | | |
| Identify strategies to address ethical issues | | Principles of ethical decision-making |  | | |  |  | | | |
| Follow legislative and regulatory requirements | | Codes of practice, licensing, accreditation/registration to professional bodies, service agreements |  | | |  |  | | | |
| Responsibly care for children | | Duty of care responsibilities |  | | |  |  | | | |
| The candidate completed the assessment task to workplace standards | | | | | | | Yes  No | | | |
| Additional assessor comments on candidate performance *(Assessor to sign and date)* | | | | | | | | | | |
|  | | | | | | | | | | |

| Observation tool for workplace assessment task 4: Research an approved learning framework | | | | | | | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Units of competency:   * *CHCCS400C Work within a relevant legal and ethical framework* (core unit) * *CHCECE009 Use an approved learning framework to guide practice* (core unit)   The full text of the units can be viewed at [www.training.gov.au](http://www.training.gov.au) | | | | | | | | | | |
| Candidate’s name |  | | | | **Date completed** | | |  | | |
| Assessor’s name |  | | | | **Times (duration)** | | |  | | |
| Workplace |  | | | | **Location** | | |  | | |
| Description of the workplace assessment task | | | | | | | | | | |
| This workplace assessment task requires the candidate to research how an approved learning framework is applied in a regulated early childhood education and care service, and document own role in implementing it. The assessor should:   * confirm with the candidate an appropriate format for providing their research findings in line with requirements of the service—for example, the candidate could prepare an information flier, or add to a newsletter for parents, or make a presentation to parents or colleagues * consider the completed product or presentation * record findings on the observation checklist, and, when determining the assessment outcomes, consider the candidate’s research and workplace verification or direct evidence of implementing the framework. | | | | The assessor is required to verify that the candidate can:   * investigate and document how the *National Quality Framework* is applied in the early childhood education and care service in which they work, including an example of how each principle is reflected in the service * document their own work role in implementing the approved learning framework, including how they how they integrated aspects of the *National Quality Framework for Early Childhood Education and Care* * implement an approved learning framework, working closely with others and under supervision.   The time taken to complete the research will depend on the candidate’s level of knowledge and the selected activity. The assessment outcomes should be recorded on this observation tool and the *Assessment Outcomes form*. | | | | | | |
| Instructions for the assessor | | | | | | | | | Completed or provided? | |
| Provide the candidate with *Candidate instructions for task 4: Research an approved learning framework*, ensuring you give reasonable notice of the assessment and that details are recorded in the *RPL Assessment Plan*. | | | | | | | | | Yes | No |
| Determine the research activity in consultation with the candidate—e.g. it could involve developing an information brochure for parents, adding to a newsletter for parents of children in the service, or making a presentation to staff or others. | | | | | | | | | Yes | No |
| Ensure access to the *National Quality Framework for Early Childhood Education and Care*, and *Belonging, Being and Becoming: The Early Years Learning Framework for Australia; My Time, My Place: Framework for School Age Care in Australia*; or the relevant approved learning framework used in the service. | | | | | | | | | Yes | No |
| Ensure access to an early childhood education and care service, and organisational standards, policies and procedures. | | | | | | | | | Yes | No |
| Ensure the candidate has reading skills in order to interpret and apply learning frameworks in the context of own work role. | | | | | | | | | Yes | No |
| Consider the candidate’s research and evidence of implementing the framework. Complete the task 4 observation checklist. | | | | | | | | | Yes | No |
| Observation checklist | | | | | | | | | | |
| Did the candidate demonstrate that they can… | | **Regulations, workplace or industry standards** | **Is behaviour observed?**  **Yes No** | | | | Assessor notes, including examples of candidate responses or application | | | |
| Research and document an approved learning framework, and document their own role in implementing the framework working closely with others | | Relevant approved learning framework under the *National Quality Framework for Early Childhood Education and Care* |  | | |  |  | | | |
| Interact with individuals and work groups, and with at least one other educator in implementing the learning framework | | Organisational standards, policies, protocols and procedures |  | | |  | NB: Unless direct evidence is sighted, the candidate’s role in implementing the framework must be verified by the workplace. | | | |
| Follow legislative and regulatory requirements, including maintaining confidentiality | | Privacy legislation. Relevant work health and safety (WHS) requirements. Safe information technology use. |  | | |  |  | | | |
| Provide children with opportunities | | United Nations Convention on the Rights of the Child |  | | |  |  | | | |
| The candidate completed the assessment task to workplace standards | | | | | | | Yes  No | | | |
| Additional assessor comments on candidate performance *(Assessor to sign and date)* | | | | | | | | | | |
|  | | | | | | | | | | |

| Observation tool for workplace assessment task 5: Document your involvement in pedagogical practices | | | | | | | | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Units of competency:   * *CHCCS400C Work within a relevant legal and ethical framework* (core unit) * *CHCECE009 Use an approved learning framework to guide practice* (core unit)   The full text of the units can be viewed at [www.training.gov.au](http://www.training.gov.au) | | | | | | | | | | | |
| Candidate’s name |  | | | | **Date completed** | | | |  | | |
| Assessor’s name |  | | | | **Times (duration)** | | | |  | | |
| Workplace |  | | | | **Location** | | | |  | | |
| Description of the workplace assessment task | | | | | | | | | | | |
| This workplace assessment task requires the candidate to document their involvement in at least three pedagogical practices in a regulated early childhood education and care service. The assessor should:   * confirm with the candidate an appropriate activity to focus the research; for example, the candidate could prepare a short report for a colleague or supervisor, or make a presentation to some parents or colleagues * consider the completed product or presentation * record findings on the observation checklist, and, when determining assessment outcomes, consider both the research and direct evidence (or workplace verification) of implementing pedagogical practices. | | | | The assessor is required to verify that the candidate:   * has been involved in implementing at least three pedagogical practices in an early childhood education and care service * can investigate and document pedagogical practices in the early childhood education and care service in which they work * can identify the basis for the practices in the *National Quality Framework* * can discuss their involvement in pedagogical practices with others.   The time taken to complete the activity will depend on the candidate’s level of knowledge and the selected activity. The assessment outcomes should be recorded on this observation tool and the *Assessment Outcomes form*. | | | | | | | |
| Instructions for the assessor | | | | | | | | | | Completed or provided? | |
| Provide the candidate with *Candidate instructions for task 5: Document your involvement in pedagogical practices*, ensuring you give reasonable notice of the assessment and that details are recorded in the *RPL Assessment Plan*. | | | | | | | | | | Yes | No |
| Ensure suitable arrangements are made for access to a regulated education and care service. | | | | | | | | | | Yes | No |
| Ensure access to the *National Quality Framework for Early Childhood Education and Care*, and *Belonging, Being and Becoming: The Early Years Learning Framework for Australia; My Time, My Place: Framework for School Age Care in Australia*; or the relevant approved learning framework used in the service. | | | | | | | | | | Yes | No |
| Ensure access to an early childhood education and care service, and organisational standards, policies and procedures. | | | | | | | | | | Yes | No |
| Ensure the candidate has reading skills in order to interpret and apply learning frameworks in the context of own work role. | | | | | | | | | |  |  |
| Consider the candidate’s research and evidence of involvement in pedagogical practices. Complete task 5 checklist. | | | | | | | | | | Yes | No |
| Observation checklist | | | | | | | | | | | |
| Did the candidate demonstrate that they can… | | **Regulations, workplace or industry standards** | **Is behaviour observed?**  **Yes No** | | | | Assessor notes, including examples of candidate responses or application | | | | |
| Document own involvement in pedagogical practices | | Relevant approved learning framework under the *National Quality Framework for Early Childhood Education and Care* |  | | |  |  | | | | |
| Discuss own pedagogical practices with supervisor and others | | Organisational standards, policies, protocols and procedures |  | | |  |  | | | | |
| Follow legislative and regulatory requirements, including maintaining confidentiality | | Privacy legislation. Relevant work health and safety (WHS) requirements. Safe information technology use. |  | | |  |  | | | | |
| The candidate completed the assessment task to workplace standards | | | | | | | | Yes  No | | | |
| Additional assessor comments on candidate performance *(Assessor to sign and date)* | | | | | | | | | | | |
| Examples of pedagogical practices are listed in the Frameworks documents—go to <http://deewr.gov.au/early-years-learning-framework> if you need further information. | | | | | | | | | | | |

| Observation tool for workplace assessment task 6: Record the circumstances surrounding risk of harm | | | | | | | | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Units of competency:   * *CHCCS400C Work within a relevant legal and ethical framework* (core unit) * *CHCPRT001 Identify and respond to children and young people at risk* (core unit)   The full text of the units can be viewed at [www.training.gov.au](http://www.training.gov.au) | | | | | | | | | | | |
| Candidate’s name |  | | | | **Date completed** | | | |  | | |
| Assessor’s name |  | | | | **Times (duration)** | | | |  | | |
| Workplace |  | | | | **Location** | | | |  | | |
| Description of the workplace assessment task | | | | | | | | | | | |
| This workplace assessment task requires candidates to report on possible circumstances surrounding indications of risk-of-harm, and to develop a response that would be appropriate for an educator working in an early childhood education and care service. The assessor should:   * confirm with the candidate a suitable activity—for example, the candidate could provide a brief verbal or written report to their supervisor, or make a short presentation to a staff meeting * consider the completed product or presentation * record findings on the observation checklist, and, when determining the assessment outcomes, consider the candidate’s research and workplace verification/direct evidence of practices supporting protection of children. | | | | The assessor is required to verify that the candidate can:   * identify and outline circumstances surrounding indications of risk-of-harm to children * identify and outline appropriate responses to the risk of harm for an early childhood education and care service, including describing the duty of care responsibilities of an educator * use child focussed work practices that support the protection of children * work within legal and ethical frameworks and workplace procedures relevant to the work role.   The time taken to complete the activity will depend on the candidate’s level of knowledge and the selected activity. The assessment outcomes should be recorded on this observation tool and the *Assessment Outcomes form*. | | | | | | | |
| Instructions for the assessor | | | | | | | | | | Completed or provided? | |
| Provide the candidate with *Candidate instructions for task 6: Record the circumstances surrounding risk of harm*, ensuring you give reasonable notice of the assessment and that details are recorded in the *RPL Assessment Plan*. | | | | | | | | | | Yes | No |
| Ensure suitable arrangements are made for access to a workplace (noting that simulations and scenarios must be used where the full range of contexts and situations cannot be provided in the workplace, or may occur only rarely). | | | | | | | | | | Yes | No |
| You *may* provide a scenario with indications or circumstances of risk-of-harm which may be invented or based on an actual incident with all identifying information removed. | | | | | | | | | | Yes | No |
| Ensure access to relevant workplace/organisational standards, policies, protocols and procedures. | | | | | | | | | | Yes | No |
| Ensure access to the *National Quality Framework for Early Childhood Education and Care*, and relevant approved learning framework under the National Quality Framework. | | | | | | | | | | Yes | No |
| Ensure the candidate has reading skills in order to read and understand forms and make accurate reports; and writing skills in order to record details of children and young people at risk and to make reports using handwritten skills and computer skills. | | | | | | | | | | Yes | No |
| Consider the candidate’s activity, asking questions (during or after). Complete the observation checklist for task 6. | | | | | | | | | | Yes | No |
| Observation checklist | | | | | | | | | | | |
| Did the candidate demonstrate that they can… | | **Regulations, workplace or industry standards** | **Is behaviour observed?**  **Yes No** | | | | Assessor notes, including examples of candidate responses or application | | | | |
| Record the circumstances surrounding risk of harm (including accurately reading and interpreting workplace procedures for reporting children at risk) | | Organisational expectations, standards, policies, protocols and procedures |  | | |  |  | | | | |
| Follow legislative and regulatory requirements in supporting the protection of children and young people from risk of harm | | Child protection system (including reporting protocols, responses to reporting and interagency policies)  State/territory child protection legislation  State/territory requirements and processes for notifying and reporting suspected abuse |  | | |  |  | | | | |
| Use practices to support the protection of children | | Duty of care responsibilities |  | | |  |  | | | | |
| Maintain confidentiality | | Principles and practices of confidentiality |  | | |  |  | | | | |
| Uphold the rights of children and young people | | United Nations Convention on the Rights of the Child |  | | |  |  | | | | |
| The candidate completed the assessment task to workplace standards | | | | | | | | Yes  No | | | |
| Additional assessor comments on candidate performance *(Assessor to sign and date)* | | | | | | | | | | | |
|  | | | | | | | | | | | |

| Observation tool for workplace assessment task 7: Promote positive behaviour among children | | | | | | | | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Unit of competency:   * *CHCECE007 Develop positive and respectful relationships with children* (core unit)   The full text of the unit can be viewed at [www.training.gov.au](http://www.training.gov.au) | | | | | | | | | | | |
| Candidate’s name |  | | | | **Date completed** | | | |  | | |
| Assessor’s name |  | | | | **Times (duration)** | | | |  | | |
| Workplace |  | | | | **Location** | | | |  | | |
| Description of the workplace assessment task | | | | | | | | | | | |
| This workplace assessment task requires candidates to communicate positively and respectively with children to guide their behaviour. The assessor should:   * observe the candidate in the early childhood education and care service interacting with children in a range of situations and environments * ask questions during or after the observation such as asking the candidate to explain effective communication techniques to guide the behaviour of children * record observations on the observation checklist * consider the candidate’s answers to questions and workplace demonstration in determining the assessment outcomes. | | | | The assessor is required to verify that the candidate can:   * communicate positively and respectfully with at least three children * interact effectively with children to promote positive behaviour * use appropriate techniques to guide the behaviour of children.   The workplace assessment task observation should be completed in about two hours excluding preparation and assessor feedback. Outcomes should be recorded on this observation tool and the *Assessment Outcomes form*. | | | | | | | |
| Instructions for the assessor | | | | | | | | | | Completed or provided? | |
| Provide the candidate with *Candidate instructions for task 7: Promote positive behaviour among children*, ensuring you give reasonable notice of the assessment and that details are recorded in the *RPL Assessment Plan*. | | | | | | | | | | Yes | No |
| Ensure access to an early childhood education and care service (including children in the service) | | | | | | | | | | Yes | No |
| Ensure that the candidate has oral communication skills in order to engage in sustained conversations with children. | | | | | | | | | | Yes | No |
| Ensure access to the *National Quality Framework for Early Childhood Education and Care*, and relevant approved learning framework under the National Quality Framework, and relevant organisational standards, policies and procedures. | | | | | | | | | | Yes | No |
| Observe the candidate’s performance, asking questions (during or after). Complete the observation checklist for task 7. | | | | | | | | | | Yes | No |
| Observation checklist | | | | | | | | | | | |
| Did the candidate demonstrate that they can… | | **Regulations, workplace or industry standards** | **Is behaviour observed?**  **Yes No** | | | | Assessor notes, including examples of candidate responses or application | | | | |
| Interact with children to holistically support their development and learning  Use positive support techniques to guide behaviour | | Relevant approved learning framework under the *National Quality Framework for Early Childhood Education and Care*  Organisational standards, policies and procedures |  | | |  |  | | | | |
| Follow legislative and regulatory requirements | | Code of ethics.  Work health and safety (WHS) requirements. |  | | |  |  | | | | |
| Responsibly care for children  Maintain the dignity and rights of children | | Duty of care requirements  United Nations Convention on the Rights of the Child |  | | |  |  | | | | |
| The candidate completed the assessment task to workplace standards | | | | | | | | Yes  No | | | |
| Additional assessor comments on candidate performance *(Assessor to sign and date)* | | | | | | | | | | | |
| NB: Candidates must also have performed the activities outlined in the performance criteria of *CHCECE007 Develop positive and respectful relationships with children* during a period of at least 120 hours of work in at least one regulated education and care service. | | | | | | | | | | | |

| Observation tool for workplace assessment task 8: Provide care and a safe rest environment for babies/toddlers | | | | | | | | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Unit of competency: *CHCECE005 Provide care for babies and toddlers* (core unit)  The full text of the unit can be viewed at [www.training.gov.au](http://www.training.gov.au) | | | | | | | | | | | |
| Candidate’s name |  | | | | **Date completed** | | | |  | | |
| Assessor’s name |  | | | | **Times (duration)** | | | |  | | |
| Workplace |  | | | | **Location** | | | |  | | |
| Description of the workplace assessment task | | | | | | | | | | | |
| This workplace assessment task requires candidates to prepare babies for rest, assess their needs, and set up and implement a safe rest environment for babies and toddlers of varying ages. The assessor should:   * observe the candidate in the early childhood education and care service providing care for babies and toddlers, including preparing them for rest * ask questions during or after the observation such as asking the candidate to explain the biological and environmental influences on children’s development, and to analyse their own response to behaviours * record observations on the observation checklist * consider the candidate’s answers to questions and workplace demonstration in determining the assessment outcomes.   The assessor should ask questions, such as how they the candidate identified individual sleep and rest patterns of babies and toddlers. | | | | The assessor is required to verify that the candidate can:   * provide care to babies and toddlers of varying ages in an early childhood education and care service using safe and hygienic practices (including changing nappies, heating breast milk and formula, feeding babies) * assess and respond appropriately the needs of at least three different babies or toddlers, including hunger, distress, tiredness and pain * set up and implement a safe environment that is conducive to rest, including undertaking prevention measures for Sudden Infant Death Syndrome (SIDS), and checking that check that cots, bedding and equipment are clean and meet approved standards.   The workplace assessment task observation should be completed in about two hours excluding preparation and assessor feedback. Outcomes should be recorded on this observation tool and the *Assessment Outcomes form*. | | | | | | | |
| Instructions for the assessor | | | | | | | | | | Completed or provided? | |
| Provide the candidate with *Candidate instructions for task 8: Provide care and a safe rest environment for babies/toddlers*, ensuring you give reasonable notice of the assessment and that details are recorded in the *RPL Assessment Plan*. | | | | | | | | | | Yes | No |
| Ensure access to at least three different babies and toddlers in an early childhood education and care service. | | | | | | | | | | Yes | No |
| Ensure access to information about individual babies and toddlers and relevant equipment and resources including cots, bedding, toys and comfort items. | | | | | | | | | | Yes | No |
| Ensure access to the *National Quality Framework for Early Childhood Education and Care*, and relevant approved learning framework under the National Quality Framework, and relevant organisational standards, policies and procedures. | | | | | | | | | | Yes | No |
| Observe the candidate’s performance, asking questions (during or after). Complete the observation checklist for task 8. | | | | | | | | | | Yes | No |
| Observation checklist | | | | | | | | | | | |
| Did the candidate demonstrate that they can… | | **Regulations, workplace or industry standards** | **Is behaviour observed?**  **Yes No** | | | | Assessor notes, including examples of candidate responses or application | | | | |
| Provide care for babies and toddlers of varying ages using safe and hygienic practices (including changing nappies, heating breast milk and formula, feeding babies) | | Organisational standards, policies and procedures  Safe and hygienic practices in feeding and caring for babies/toddlers (such as dietary requirements and nutritional needs of babies and toddlers, food safety guidelines, safe hand washing and infection control procedures) |  | | |  |  | | | | |
| Set up a safe environment conducive to rest | | Safe sleeping practices; prevention measures for Sudden Infant Death Syndrome (SIDS)  Organisational standards, policies and procedures  Relevant approved learning framework under the *National Quality Framework for Early Childhood Education and Care* |  | | |  |  | | | | |
| Follow legislative and regulatory requirements | | Work health and safety (WHS) requirements.  Safe and unsafe practices for working with babies/toddlers |  | | |  |  | | | | |
| The candidate completed the assessment task to workplace standards | | | | | | | | Yes  No | | | |
| Additional assessor comments on candidate performance *(Assessor to sign and date)* | | | | | | | | | | | |
| NB: Candidates must have performed the activities outlined in the performance criteria of *CHCECE005 Provide care for babies and toddlers* during a period of at least 120 hours of work in at least one regulated education and care service. | | | | | | | | | | | |

| Observation tool for workplace assessment task 9: Support cross-cultural relationships and environments | | | | | | | | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Units of competency:   * *CHCECE001 Develop cultural competence* (core unit) * *HLTHIR404D Work effectively with Aboriginal and/or Torres Strait Islander people* (core unit)   The full text of the units can be viewed at [www.training.gov.au](http://www.training.gov.au) | | | | | | | | | | | |
| Candidate’s name |  | | | | **Date completed** | | | |  | | |
| Assessor’s name |  | | | | **Times (duration)** | | | |  | | |
| Workplace |  | | | | **Location** | | | |  | | |
| Description of the workplace assessment task | | | | | | | | | | | |
| This workplace assessment task requires candidates to conduct an activity in the early childhood education and care service designed to foster effective and supportive cross-cultural relationships and environments. The candidate is also required to prepare a brief written or verbal report outlining how the activity, and their work, is culturally appropriate.  The specific activity will be designed in consultation with the candidate, in line with the circumstances of the service and its cultural mix. It must involve designing and implementing experiences to encourage children to respect all cultures and to celebrate cultural diversity. In a culturally diverse service, the activity could involve the assessor observing the candidate’s interactions. | | | | The assessor is required to observe the candidate, consider the candidate’s written or verbal report, and verify that they can:   * plan and implement supportive environments for all children * implement experiences that encourage children to respect all cultures and to celebrate cultural diversity * interact in culturally appropriate ways with children, families and communities, making and maintaining cross cultural relationships * identify and implement culturally safe work practices.   The time taken will depend on the selected activity. Assessment outcomes should be recorded on this tool and the *Assessment Outcomes form*. | | | | | | | |
| Instructions for the assessor | | | | | | | | | | Completed or provided? | |
| Provide the candidate with *Candidate instructions for task 9: Support cross-cultural relationships and environments*, ensuring you give reasonable notice of the assessment and that details are recorded in the *RPL Assessment Plan*. | | | | | | | | | | Yes | No |
| Ensure access to an early childhood education and care service, including children and families from diverse cultures, and information and resources in relation to cultural diversity. | | | | | | | | | | Yes | No |
| Ensure access to information on culturally appropriate practices relevant to the service, its children, families and community, including, as applicable, access to people who can provide local knowledge of Aboriginal and/or Torres Strait Islander culture. | | | | | | | | | | Yes | No |
| Ensure access to the *National Quality Framework for Early Childhood Education and Care*, and relevant approved learning framework under the National Quality Framework, and relevant organisational standards, policies and procedures. | | | | | | | | | | Yes | No |
| Consider the candidate’s activity, asking questions (during or after). Complete the observation checklist for task 9. | | | | | | | | | | Yes | No |
| Observation checklist | | | | | | | | | | | |
| Did the candidate demonstrate that they can… | | **Regulations, workplace or industry standards** | **Is behaviour observed?**  **Yes No** | | | | Assessor notes, including examples of candidate responses or application | | | | |
| Support children’s and families’ cross-cultural relationships by:   * interacting in culturally appropriate ways * consulting with communities and individuals * encouraging children to respect all cultures and celebrate cultural diversity * communicating sensitively and respectfully with all people | | Organisational policies and initiatives, including those designed to support participation and culturally appropriate services |  | | |  | *[Assessor to insert details of the selected activity*] | | | | |
| Plan and implement supportive environments for all children:  Understand and apply cultural competence in work practice | | Organisational policies and initiatives, including those designed to support participation and culturally appropriate services  Relevant approved learning framework under the *National Quality Framework for Early Childhood Education and Care* |  | | |  | *[Assessor to insert details of the selected activity*] | | | | |
| Follow legislative and regulatory requirements | | Discrimination legislation and regulations; codes of practice; community standards |  | | |  |  | | | | |
| Follow protocols when engaging with community members | | Community protocols |  | | |  |  | | | | |
| The candidate completed the assessment task to workplace standards | | | | | | | | Yes  No | | | |
| Additional assessor comments on candidate performance *(Assessor to sign and date)* | | | | | | | | | | | |
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| Observation tool for workplace assessment task 10: Investigate cultural diversity in a service and a community | | | | | | | | | | | |
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| Units of competency:   * *CHCECE001 Develop cultural competence* (core unit) * *HLTHIR404D Work effectively with Aboriginal and/or Torres Strait Islander people* (core unit)   The full text of the units can be viewed at [www.training.gov.au](http://www.training.gov.au) | | | | | | | | | | | |
| Candidate’s name |  | | | | **Date completed** | | | |  | | |
| Assessor’s name |  | | | | **Times (duration)** | | | |  | | |
| Workplace |  | | | | **Location** | | | |  | | |
| Description of the workplace assessment task | | | | | | | | | | | |
| This workplace assessment task requires candidates to investigate cultural diversity in an early childhood education and care service and in a community, and to report their findings to others.  The form of the research, investigation and resulting report will be decided with the candidate and the service, in line with organisation requirements and expectations. Depending on the circumstances, the candidate could consult, prepare and provide a practical written or verbal short report to a work team, to the assessor, a supervisor, or another educator. The report must be appropriate to the educator’s work role and the cultural context of the service and the community. It should be capable of supporting and informing planning and work practices. | | | | The candidate will be expected to:   * use sensitive and respectful oral communication when interacting with people from differing cultural contexts and situations * reflect on their own cultural identity and possible biases * research and reflect on different cultural practices and world views * describe ‘cultural competence’ and ‘diversity’ (as outlined in the learning framework relevant to their workplace) * provide suggestions for reviewing and modifying work practices, in consultation with people from diverse cultural backgrounds. | | | | | | | |
| Instructions for the assessor | | | | | | | | | | Completed or provided? | |
| Provide the candidate with *Candidate instructions for task 10: Investigate cultural diversity in a service and a community*, ensuring you give reasonable notice of the assessment and that details are recorded in the *RPL Assessment Plan*. | | | | | | | | | | Yes | No |
| Ensure access to an early childhood education and care service, including children, families and a community. | | | | | | | | | | Yes | No |
| Ensure access to resources and assistance in relation to cultural diversity (including interpreter resources if required). | | | | | | | | | | Yes | No |
| Ensure access to the *National Quality Framework for Early Childhood Education and Care*, and relevant approved learning framework under the National Quality Framework, and organisational policies and initiatives designed to support participation. | | | | | | | | | | Yes | No |
| Consider the candidate’s investigation and report, asking questions (during or after). Complete task 10 observation checklist. | | | | | | | | | | Yes | No |
| Observation checklist | | | | | | | | | | | |
| Did the candidate demonstrate that they can… | | **Regulations, workplace or industry standards** | **Is behaviour observed?**  **Yes No** | | | | Assessor notes, including examples of candidate responses or application | | | | |
| Investigate cultural diversity and apply findings to the service  Understand and apply cultural competence, including forming effective relationships people of diverse backgrounds and cultures | | Organisational standards, policies and procedures  Relevant approved learning framework under the *National Quality Framework for Early Childhood Education and Care* |  | | |  |  | | | | |
| Follow legislative and regulatory requirements | | Discrimination legislation and regulations; codes of practice; community standards |  | | |  |  | | | | |
| Follow protocols when engaging with community members | | Community protocols |  | | |  |  | | | | |
| The candidate completed the assessment task to workplace standards | | | | | | | | Yes  No | | | |
| Additional assessor comments on candidate performance *(Assessor to sign and date)* | | | | | | | | | | | |
|  | | | | | | | | | | | |

| Observation tool for workplace assessment task 11: Develop strategies for working in partnership with Aboriginal and/or Torres Strait Islander people | | | | | | | | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Units of competency:   * *CHCECE001 Develop cultural competence* (core unit) * *HLTHIR404D Work effectively with Aboriginal and/or Torres Strait Islander people* (core unit)   The full text of the units can be viewed at [www.training.gov.au](http://www.training.gov.au) | | | | | | | | | | | |
| Candidate’s name |  | | | | **Date completed** | | | |  | | |
| Assessor’s name |  | | | | **Times (duration)** | | | |  | | |
| Workplace |  | | | | **Location** | | | |  | | |
| Description of the workplace assessment task | | | | | | | | | | | |
| This workplace assessment task requires candidates to develop and suggest strategies for working with Aboriginal and/or Torres Strait Islander children and families in an early childhood education and care service, and to report on these in a written or verbal format. The reporting and strategies will be in line with the circumstances of the service and its cultural mix.  The candidate must undertake sufficient research to ensure awareness of Aboriginal and Torres Strait Islander history and culture, and health issues. It is recommended that the candidate includes consultation with Aboriginal and/or Torres Strait Islander people. For a candidate working in a service without Aboriginal or Torres Strait Islander children, the assessor could devise a hypothetical scenario to assist candidates to devise strategies. In a service with Aboriginal or Torres Strait Islander children, the assessor should observe the candidate’s interactions. | | | | The assessor is required to consider the candidate’s research and written or verbal report and suggested strategies, and verify that they:   * demonstrate an awareness of the diversity of Aboriginal and Torres Strait Islander history and culture, and health issues, and how these could apply to work practices in early childhood education and care * include appropriate strategies to improve the service’s capacity to work in partnership with Aboriginal and Torres Strait Islander people and communities, and to provide effective education and care services to Aboriginal and/or Torres Strait Islander children.   The time taken to complete this task will depend on the candidate’s level of existing knowledge. Assessment outcomes should be recorded on this tool and the *Assessment Outcomes form*. | | | | | | | |
| Instructions for the assessor | | | | | | | | | | Completed or provided? | |
| Provide the candidate with *Candidate instructions for task 11: Develop strategies for working in partnership with Aboriginal and/or Torres Strait Islander people*. Give reasonable notice of the assessment/record details in the *RPL Assessment Plan*. | | | | | | | | | | Yes | No |
| Ensure access to information on culturally appropriate practices relevant to an early childhood education and care service, its children, families and community, including access to people who can provide local knowledge of Aboriginal and/or Torres Strait Islander culture. | | | | | | | | | | Yes | No |
| Ensure access to the *National Quality Framework for Early Childhood Education and Care*, and relevant approved learning framework under the National Quality Framework, and relevant organisational standards, policies and procedures. | | | | | | | | | | Yes | No |
| Consider the candidate’s strategies and report, asking questions (during or after). Complete task 11 observation checklist. | | | | | | | | | | Yes | No |
| Observation checklist | | | | | | | | | | | |
| Did the candidate demonstrate that they can… | | **Regulations, workplace or industry standards** | **Is behaviour observed?**  **Yes No** | | | | Assessor notes, including examples of candidate responses or application | | | | |
| Suggest strategies that reflect an appropriate understanding of:   * Aboriginal and Torres Strait Islander participation, self-determination, and community control in relation to health care policies, programs and/or service delivery * cultural differences * workplace communication * workplace and professional relationships | | Organisational policies and initiatives, including those designed to support participation and culturally appropriate services |  | | |  | *[Assessor to insert details of the selected activity*] | | | | |
| Plan and implement supportive environments for all children  Understand and apply cultural competence in work practice | | Organisational policies and initiatives, including those designed to support participation and culturally appropriate services  Relevant approved learning framework under the *National Quality Framework for Early Childhood Education and Care* |  | | |  | *[Assessor to insert details of the selected activity*] | | | | |
| Follow legislative and regulatory requirements | | Discrimination legislation and regulations; codes of practice; community standards |  | | |  |  | | | | |
| Follow protocols when engaging with community members | | Community protocols |  | | |  |  | | | | |
| The candidate completed the assessment task to workplace standards | | | | | | | | Yes  No | | | |
| Additional assessor comments on candidate performance *(Assessor to sign and date)* | | | | | | | | | | | |
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| Observation tool for workplace assessment task 12: Conduct a workplace risk assessment | | | | | | | | | | | |
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| Units of competency:   * *CHCECE016 Establish and maintain a safe and healthy environment for children* (core unit) * *HLTWHS003 Maintain work health and safety (*core unit)   The full text of the units can be viewed at [www.training.gov.au](http://www.training.gov.au) | | | | | | | | | | | |
| Candidate’s name |  | | | | **Date completed** | | | |  | | |
| Assessor’s name |  | | | | **Times (duration)** | | | |  | | |
| Workplace |  | | | | **Location** | | | |  | | |
| Description of the workplace assessment task | | | | | | | | | | | |
| This workplace assessment task requires the candidate to conduct a workplace risk assessment in a regulated early childhood education and care service and record the results according to workplace procedures.  The assessor should:   * ask questions during or after the process, such as asking the candidate to describe the principles of hazards and risk assessment * consider the workplace risk assessment conducted by the candidate * record observations on the observation checklist * consider the candidate’s risk assessment report, answers to questions and any observed workplace demonstration in determining the assessment outcomes. | | | | The assessor is required to verify that the candidate can:   * conduct a workplace risk assessment identifying existing and potential hazards, strategies for minimising risk, analysing residual risk * record the results according to workplace procedures, * provide WHS information to at least two workers (the assessor should either observe this or consider other evidence) * communicate hazards and safety issues to appropriate persons within the service.   The workplace task should be completed in about two hours (excluding preparation and assessor feedback).  The assessment outcomes should be recorded on this observation tool and the *Assessment Outcomes form*. | | | | | | | |
| Instructions for the assessor | | | | | | | | | | Completed or provided? | |
| Provide the candidate with the *Candidate instructions for task 12: Conduct a workplace risk assessment,* ensuring you give reasonable notice of the assessment and that details are recorded in the *RPL Assessment Plan*. | | | | | | | | | | Yes | No |
| Provide or ensure access to current workplace policies and procedures for WHS (including strategies for minimising risk); and a small work team in a regulated early childhood education and care service. | | | | | | | | | | Yes | No |
| Ensure candidate has reading skills in order to interpret and apply relevant legislative and regulatory requirements, including National Quality Standards, required for ensuring safety in a service.  Ensure candidate has written communication skills in order to complete a workplace risk assessment in line with regulatory guidelines and organisational policies. | | | | | | | | | | Yes | No |
| Ensure access to the *National Quality Framework for Early Childhood Education and Care*, and relevant approved learning framework under the *National Quality Framework*. | | | | | | | | | | Yes | No |
| Consider the risk assessment report and any observed workplace performance (or consider evidence of this such as a supervisor’s report) asking questions (during or after the process). Complete the observation checklist for task 12. | | | | | | | | | | Yes | No |
| Observation checklist | | | | | | | | | | | |
| Did the candidate demonstrate that they can… | | **Regulations, workplace or industry standards** | **Is behaviour observed?**  **Yes No** | | | | | Assessor notes, including examples of candidate responses or application | | | |
| Conduct a workplace risk assessment and record the results according to workplace procedures  This must include identifying existing and potential hazards, strategies for minimising risk, analysing residual risk | | Organisational standards, policies and procedures  Principles of hazards and risk assessment  Workplace policies/procedures for WHS (including strategies for minimising risk) |  | | |  | |  | | | |
| Communicate hazards and safety issues to appropriate persons within the service  Provide WHS information to at least two workers | | Legislative requirements for record-keeping and reporting |  | | |  | |  | | | |
| Follow legislative and regulatory requirements | | Relevant approved learning framework under the *National Quality Framework for Early Childhood Education and Care*  Relevant WHS legislation and regulations; workplace regulations, codes of practice and industry standards |  | | |  | |  | | | |
| The candidate completed the assessment task to workplace standards | | | | | | | Yes  No | | | | |
| Additional assessor comments on candidate performance *(Assessor to sign and date)* | | | | | | | | | | | |
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| Observation tool for workplace assessment task 13: Present an emergency response information session | | | | | | | | | | | |
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| Units of competency:   * *CHCECE016 Establish and maintain a safe and healthy environment for children* (core unit) * *HLTWHS003 Maintain work health and safety (*core unit)   The full text of the units can be viewed at [www.training.gov.au](http://www.training.gov.au) | | | | | | | | | | | |
| Candidate’s name |  | | | | **Date completed** | | | |  | | |
| Assessor’s name |  | | | | **Times (duration)** | | | |  | | |
| Workplace |  | | | | **Location** | | | |  | | |
| Description of the workplace assessment task | | | | | | | | | | | |
| This workplace assessment task requires the candidate to communicate information to families about the workplace’s emergency procedures and incident management plan. This could be done as part of a broader session to which families are invited.  The assessor should:   * ask questions during or after the process, such as asking the candidate to describe how they would coordinate an emergency response (such as an evacuation) in their workplace * consider the information session presented by the candidate * record observations on the observation checklist * consider the candidate’s information session notes, answers to questions and any workplace demonstration in determining the assessment outcomes. | | | | The assessor is required to verify that the candidate can:   * communicate information to families about the workplace’s emergency procedures and incident management plan (the assessor should either observe this or consider other evidence) * provide WHS information to at least two workers (the assessor should either observe this or consider other evidence) * communicate hazards and safety issues to appropriate persons within the service.   The workplace task should be completed in about two hours (excluding preparation and assessor feedback).  The assessment outcomes should be recorded on this observation tool and the *Assessment Outcomes form*. | | | | | | | |
| Instructions for the assessor | | | | | | | | | | Completed or provided? | |
| Provide the candidate with the *Candidate instructions for task 13: Present an emergency response information session,* ensuring you give reasonable notice of the assessment and that details are recorded in the *RPL Assessment Plan*. | | | | | | | | | | Yes | No |
| Provide or ensure access to current workplace policies and procedures for WHS (including evacuation plans and workplace emergency procedures); and a small group of colleagues and family members in a regulated early childhood education and care service. | | | | | | | | | | Yes | No |
| Ensure candidate has reading skills in order to interpret and apply relevant legislative and regulatory requirements, including National Quality Standards, required for ensuring safety in a service.  Ensure candidate has oral communication skill in order to accurately present information to a small group of at least two participants. | | | | | | | | | | Yes | No |
| Ensure access to the *National Quality Framework for Early Childhood Education and Care*, and relevant approved learning framework under the *National Quality Framework*. | | | | | | | | | | Yes | No |
| Observe the candidate’s information session (or consider evidence of that process such as a supervisor’s report) and consider their notes for the session, asking questions (during or after the process). Complete the observation checklist for task 13. | | | | | | | | | | Yes | No |
| Observation checklist | | | | | | | | | | | |
| Did the candidate demonstrate that they can… | | **Regulations, workplace or industry standards** | **Is behaviour observed?**  **Yes No** | | | | | Assessor notes, including examples of candidate responses or application | | | |
| Communicate information to families about the workplace’s emergency procedures and incident management plan | | Workplace policies/procedures for WHS (including evacuation plans and workplace emergency procedures) |  | | |  | |  | | | |
| Communicate hazards and safety issues to appropriate persons within the service  Provide WHS information to at least two workers | | Legislative requirements for record-keeping and reporting |  | | |  | |  | | | |
| Follow legislative and regulatory requirements | | Relevant approved learning framework under the *National Quality Framework for Early Childhood Education and Care*  Relevant WHS legislation and regulations; workplace regulations, codes of practice and industry standards |  | | |  | |  | | | |
| The candidate completed the assessment task to workplace standards | | | | | | | Yes  No | | | | |
| Additional assessor comments on candidate performance *(Assessor to sign and date)* | | | | | | | | | | | |
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| Observation tool for workplace assessment task 14: Facilitate an organisational self-assessment | | | | | | | | | | | |
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| Unit of competency:   * *CHCECE019 Facilitate compliance in an education and care service* (core unit)   The full text of the units can be viewed at [www.training.gov.au](http://www.training.gov.au) | | | | | | | | | | | |
| Candidate’s name |  | | | | **Date completed** | | | |  | | |
| Assessor’s name |  | | | | **Times (duration)** | | | |  | | |
| Workplace |  | | | | **Location** | | | |  | | |
| Description of the workplace assessment task | | | | | | | | | | | |
| This workplace assessment task requires the candidate to facilitate an organisational self-assessment against the standards and elements in the *National Quality Framework* in a regulated early childhood education and care service.  The assessor should:   * consider the organisational self-assessment facilitated by the candidate * record observations on the observation checklist * ask questions during or after the process, such as asking the candidate to explain describe the processes for engaging stakeholders in the planning and consultation stages of quality assurance * consider the candidate’s self-assessment report, answers to questions and any workplace demonstration, such as a presentation to others if conducted, in determining the assessment outcomes. | | | | The assessor is required to verify that the candidate can:   * check and maintain compliance in an education and care service * interpret and apply the requirements outlined in the *National Quality Framework* * facilitate an organisational self-assessment process against the standards and elements in the *National Quality Framework*.   The time to complete the self-assessment of the service may depend on factors such as the extent of information already held by the service, including any previous analyses. Discuss this with the candidate, outlining the expectations for a self-assessment that meets the above requirements.  The assessment outcomes should be recorded on this observation tool and the *Assessment Outcomes form*. | | | | | | | |
| Instructions for the assessor | | | | | | | | | | Completed or provided? | |
| Provide the candidate with the *Candidate instructions for task 14: Facilitate an organisational self-assessment,* ensuring you give reasonable notice of the assessment and that details are recorded in the *RPL Assessment Plan*. | | | | | | | | | | Yes | No |
| Provide or ensure access to current organisational standards, policies and procedures (including processes for engaging stakeholders in the planning and consultation stages of quality assurance); and colleagues, children and family members in a regulated early childhood education and care service. | | | | | | | | | | Yes | No |
| Ensure candidate has reading skills in order to analyse and apply relevant legislative and regulatory requirements, including the National Quality Standards*.* | | | | | | | | | | Yes | No |
| Ensure access to the *National Quality Framework for Early Childhood Education and Care*, and relevant approved learning framework under the *National Quality Framework*. | | | | | | | | | | Yes | No |
| Consider the candidate’s self-assessment report and any workplace observations, asking questions (during or after the process). Complete the observation checklist for task 14. | | | | | | | | | | Yes | No |
| Observation checklist | | | | | | | | | | | |
| Did the candidate demonstrate that they can… | | **Regulations, workplace or industry standards** | **Is behaviour observed?**  **Yes No** | | | | | Assessor notes, including examples of candidate responses or application | | | |
| Check and maintain compliance in an education and care service  Interpret and apply the requirements outlined in the *National Quality Framework*  Facilitate an organisational self-assessment process against the standards and elements in the *National Quality Framework* | | *National Quality Framework for Early Childhood Education and Care*  Processes for engaging stakeholders in the planning and consultation stages of quality assurance |  | | |  | |  | | | |
| Follow legislative and regulatory requirements | | Legislation, standards and regulations relevant to the children’s services industry |  | | |  | |  | | | |
| The candidate completed the assessment task to workplace standards | | | | | | | Yes  No | | | | |
| Additional assessor comments on candidate performance *(Assessor to sign and date)* | | | | | | | | | | | |
| NB: Candidates must have performed the activities outlined in the performance criteria of *CHCECE019 Facilitate compliance in an education and care service* during a period of at least 120 hours of work in at least one regulated early childhood education and care service. | | | | | | | | | | | |

| Observation tool for workplace assessment task 15: Develop a sustainability management plan | | | | | | | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Unit of competency:   * *CHCECE025 Embed sustainable practices in service operations* (core unit)   The full text of the units can be viewed at [www.training.gov.au](http://www.training.gov.au) | | | | | | | | | | |
| Candidate’s name |  | | | | **Date completed** | | |  | | |
| Assessor’s name |  | | | | **Times (duration)** | | |  | | |
| Workplace |  | | | | **Location** | | |  | | |
| Description of the workplace assessment task | | | | | | | | | | |
| This workplace assessment task requires the candidate to design a program to enhance environmental sustainability in an early childhood education and care service.  The assessor should:   * ask questions during or after the process, such as asking the candidate to describe the typical barriers and drivers for behavioural change * consider the candidate’s analysis of the environmental sustainability of their workplace * consider the candidate’s strategies and program to address change in their workplace, answers to questions and any workplace demonstration in determining the assessment outcomes * record observations on the observation checklist. | | | | The assessor is required to verify that the candidate can:   * undertake an analysis of the environmental sustainability of an early childhood education and care service, considering factors such as nature, waste, energy and toxins * identify areas of potential change in the service that may enhance its environmental sustainability * design a program based on the analysis to enhance environmental sustainability in the service.   The time to complete the analysis and develop the program may depend on factors such as the extent of the information already held on sustainability in the service. Discuss this with the candidate outlining expectations for an analysis and report that meets the above requirements.  The assessment outcomes should be recorded on this observation tool and the *Assessment Outcomes form*. | | | | | | |
| Instructions for the assessor | | | | | | | | | Completed or provided? | |
| Provide the candidate with *Candidate instructions for task 15: Develop a sustainability management plan*, ensuring you give reasonable notice of the assessment and that details are recorded in the *RPL Assessment Plan*. | | | | | | | | | Yes | No |
| Provide or ensure access to current organisational standards, policies and procedures (including qualitative and quantitative evaluation processes for sustainability programs); and colleagues and children in a regulated early childhood education and care service. | | | | | | | | | Yes | No |
| Ensure access to the *National Quality Framework for Early Childhood Education and Care*, and relevant approved learning framework under the *National Quality Framework*. | | | | | | | | | Yes | No |
| Consider the candidate’s analysis of the environmental sustainability of the service and the sustainability management plan they develop to address change, asking questions (during or after). Complete the observation checklist for task 15. | | | | | | | | | Yes | No |
| Observation checklist | | | | | | | | | | |
| Did the candidate demonstrate that they can… | | **Regulations, workplace or industry standards** | **Is behaviour observed?**  **Yes No** | | | | Assessor notes, including examples of candidate responses or application | | | |
| Undertake an analysis of the environmental sustainability of an early childhood education and care service, considering factors such as nature, waste, energy and toxins  Develop a plan that would be effective in enhancing environmental sustainability in an early childhood education and care service | | Barriers and drivers for behavioural change  Qualitative and quantitative evaluation processes for sustainability programs  Sustainable practices and sustainable strategies |  | | |  |  | | | |
| Follow legislative and regulatory requirements | | Relevant approved learning framework under the *National Quality Framework for Early Childhood Education and Care*  Use of information technology in line with work health and safety (WHS) guidelines |  | | |  |  | | | |
| Follow workplace procedures | | Organisational standards, policies and procedures |  | | |  |  | | | |
| The candidate completed the assessment task to workplace standards | | | | | | | Yes  No | | | |
| Additional assessor comments on candidate performance *(Assessor to sign and date)* | | | | | | | | | | |
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| Observation tool for workplace assessment task 16: Develop strategies to promote work performance | | | | | | | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Units of competency:   * *CHCORG506E Coordinate the work environment* (elective unit) * *CHCORG624E Provide leadership in community services delivery* (elective unit)   The full text of the units can be viewed at [www.training.gov.au](http://www.training.gov.au) | | | | | | | | | | |
| Candidate’s name |  | | | | **Date completed** | | |  | | |
| Assessor’s name |  | | | | **Times (duration)** | | |  | | |
| Workplace |  | | | | **Location** | | |  | | |
| Description of the workplace assessment task | | | | | | | | | | |
| This workplace assessment task requires the candidate to develop strategies to maximise the effective work performance of staff in an early childhood education and care service.  The assessor should:   * ask questions during or after the process, such as asking the candidate to describe the relevant policies and theories that relate to productivity in workplaces, specifically the early childhood education and care service * consider the research undertaken by the candidate (namely the factors that influence effective work performance) * record observations on the observation checklist * consider the candidate’s strategies and performance standards, answers to questions and any observed workplace demonstration of promotion of the strategies (or other evidence of this) in determining the assessment outcomes. | | | | The assessor is required to verify that the candidate can:   * identify factors that could impeded and enhance workplace performance * establish effective strategies or procedures to maximise staff work performance * promote the strategies in the workplace to influence effective practice in an early childhood education and care service.   The time to complete the analysis, develop and communicate the strategies may depend factors such as the research skills of the candidate, information already held in the service, and the selected communication method. Discuss this with the candidate outlining expectations for research and analysis that meets the above requirements.  The workplace task should be completed in about two hours (excluding preparation and assessor feedback).  The assessment outcomes should be recorded on this observation tool and the *Assessment Outcomes form*. | | | | | | |
| Instructions for the assessor | | | | | | | | | Completed or provided? | |
| Provide the candidate with *Candidate instructions for task 16: Develop strategies to promote work performance*, ensuring you give reasonable notice of the assessment and that details are recorded in the *RPL Assessment Plan*. | | | | | | | | | Yes | No |
| Provide or ensure access to staff and colleagues in an early childhood education and care service, and current organisational standards, policies and procedures. | | | | | | | | | Yes | No |
| Observe the candidate’s research methodologies and outcomes, their strategies, and observation if conducted (or other evidence of strategy promotion) asking questions (during or after). Complete the observation checklist for task 16. | | | | | | | | | Yes | No |
| Observation checklist | | | | | | | | | | |
| Did the candidate demonstrate that they can… | | **Regulations, workplace or industry standards** | **Is behaviour observed?**  **Yes No** | | | | Assessor notes, including examples of candidate responses or application | | | |
| Research workplace productivity theories, and identify factors that could impeded and enhance workplace performance  Establish effective procedures to maximise staff work performance | | Effective team management  Nature and structure of the community services industry  Organisational standards, policies and procedures  Policies and theories of productivity and human services  Relevant industrial relations policy, structures and practice  Research methodologies |  | | |  |  | | | |
| Influence effective workplace practices in an early childhood education and care service | | As above |  | | |  |  | | | |
| Follow legislative and regulatory requirements | | Use of information technology in line with work health and safety (WHS) guidelines |  | | |  |  | | | |
| The candidate completed the assessment task to workplace standards | | | | | | | Yes  No | | | |
| Additional assessor comments on candidate performance *(Assessor to sign and date)* | | | | | | | | | | |
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| Observation tool for workplace assessment task 17: Establish a personal development plan | | | | | | | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Unit of competency:   * *CHCORG428A Reflect on and improve own professional practice* (elective unit)   The full text of the units can be viewed at [www.training.gov.au](http://www.training.gov.au) | | | | | | | | | | |
| Candidate’s name |  | | | | **Date completed** | | |  | | |
| Assessor’s name |  | | | | **Times (duration)** | | |  | | |
| Workplace |  | | | | **Location** | | |  | | |
| Description of the workplace assessment task | | | | | | | | | | |
| This workplace assessment task requires candidates to reflect on their own work practice and establish a personal development plan.  The assessor should:   * ask questions during or after the process, such as asking the candidate to describe the principles and techniques of personal goal setting * consider the self-evaluation undertaken by the candidate * consider the candidate’s personal development plan, answers to questions and any workplace demonstration in determining the assessment outcomes * record observations on the observation checklist. | | | | The assessor is required to verify that the candidate can:   * reflect on their personal capability to meet professional standards * assess the effectiveness of their own skills development * elicit, analyse and interpret feedback from colleagues * research information to establish a personal development plan.   The workplace task should be completed in about two hours (excluding preparation and assessor feedback).  The assessment outcomes should be recorded on this observation tool and the *Assessment Outcomes form*. | | | | | | |
| Instructions for the assessor | | | | | | | | | Completed or provided? | |
| Provide the candidate with *Candidate instructions for task 17: Establish a personal development plan*, ensuring you give reasonable notice of the assessment and that details are recorded in the *RPL Assessment Plan*. | | | | | | | | | Yes | No |
| Provide or ensure access to colleagues in an actual or simulated early childhood education and care service. | | | | | | | | | Yes | No |
| Provide the candidate with a sample development plan format or access to the workplace format for performance plans, and current relevant organisational standards, policies and procedures | | | | | | | | | Yes | No |
| Ensure candidate has functional literacy skills to interpret written and oral information about workplace requirements.  Ensure candidate has communication skills including receiving and analysing feedback and reporting. | | | | | | | | | Yes | No |
| Consider the candidate’s self evaluation and their personal development plan, asking questions (during or after). Complete the observation checklist for task 17. | | | | | | | | | Yes | No |
| Observation checklist | | | | | | | | | | |
| Did the candidate demonstrate that they can… | | **Regulations, workplace or industry standards** | **Is behaviour observed?**  **Yes No** | | | | Assessor notes, including examples of candidate responses or application | | | |
| Evaluate and reflect on their personal capability to meet professional standards  Assess the effectiveness of their own skills development  Elicit, analyse and interpret feedback from colleagues | | Codes of ethics and practice standards  Organisational policies, plans and procedures  Personal development opportunities and options  Work methods and practices which can improve personal performance |  | | |  |  | | | |
| Research information and establish a personal development plan including realistic goals and targets for self development | | Principles and techniques of establishing a personal development plan |  | | |  |  | | | |
| Follow legislative and regulatory requirements | | Use of information technology in line with work health and safety (WHS) guidelines |  | | |  |  | | | |
| The candidate completed the assessment task to workplace standards | | | | | | | Yes  No | | | |
| Additional assessor comments on candidate performance *(Assessor to sign and date)* | | | | | | | | | | |
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| Observation tool for workplace assessment task 18: Create learning environments for children aged 0-5 | | | | | | | | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Unit of competency:   * *CHCECE017 Foster the holistic development and wellbeing of the child in early childhood* (core unit)   The full text of the unit can be viewed at [www.training.gov.au](http://www.training.gov.au) | | | | | | | | | | | |
| Candidate’s name |  | | | | **Date completed** | | | |  | | |
| Assessor’s name |  | | | | **Times (duration)** | | | |  | | |
| Workplace |  | | | | **Location** | | | |  | | |
| Description of the workplace assessment task | | | | | | | | | | | |
| This workplace assessment task requires the candidate to create at least three positive and safe learning environments in an early childhood education and care service for children from birth to 5 years.  The assessor should:   * ask questions during or after the process, such as asking the candidate to outline the range of developmental theories for children between birth and 5 years of age * consider and observe the self-directed play opportunities provided by the candidate * consider the learning environments developed by the candidate, answers to questions and any workplace demonstration in determining the assessment outcomes * record observations on the observation checklist. | | | | The assessor is required to verify that the candidate can:   * plan and provide at least three opportunities for children to develop in a range of areas * describe developmental theories for children between 0 and 5 years of age * create at least three safe learning environments for children aged 0 to 5 years that:   + support children’s emotional and psychological development   + encourage children to be independent and immerse themselves in self-directed play   + foster children’s positive self-concept and self-esteem   + encourage children to express their thoughts, feelings and ideas.   The assessment outcomes should be recorded on this observation tool and the *Assessment Outcomes form*. | | | | | | | |
| Instructions for the assessor | | | | | | | | | | Completed or provided? | |
| Provide the candidate with *Candidate instructions for task 18: Create learning environments for children aged 0-5*, ensuring you give reasonable notice of the assessment and that details are recorded in the *RPL Assessment Plan*. | | | | | | | | | | Yes | No |
| Ensure access to children from birth to 5 years of age in a regulated early childhood education and care service, and current relevant organisational standards, policies and procedures. | | | | | | | | | | Yes | No |
| Ensure candidate has oral communication skills in order to facilitate collaborative discussions with children, families and other educators.  Ensure candidate has reading skills in order to access and apply relevant pedagogical principles from an approved learning framework. | | | | | | | | | | Yes | No |
| Ensure access to the *National Quality Framework for Early Childhood Education and Care*, and relevant approved learning framework under the *National Quality Framework*. | | | | | | | | | | Yes | No |
| Observe the candidate’s learning environments and self-directed play opportunities for children from birth to 5 years, asking questions (during or after). Complete the observation checklist for task 18. | | | | | | | | | | Yes | No |
| Observation checklist | | | | | | | | | | | |
| Did the candidate demonstrate that they can… | | **Regulations, workplace or industry standards** | **Is behaviour observed?**  **Yes No** | | | | Assessor notes, including examples of candidate responses or application | | | | |
| Plan and provide opportunities for children to develop in a range of areas | | Core principles of child development and associated developmental tasks  Range of developmental theories for children between birth and 5 years of age |  | | |  |  | | | | |
| Create positive and safe learning environments where children from birth to 5 years can immerse themselves in self-directed play:   * supporting children’s emotional and psychological development * encouraging children to be independent * fostering children’s positive self-concept and self-esteem * encouraging children to express their thoughts, feelings and ideas | | Core principles of child development and associated developmental tasks  Range of developmental theories for children between birth and 5 years of age |  | | |  |  | | | | |
| Access and apply relevant pedagogical principles from an approved learning framework, including describing developmental theories for children between 0 and 5 years of age | | Relevant approved learning framework under the *National Quality Framework for Early Childhood Education and Care* |  | | |  |  | | | | |
| Follow workplace requirements | | Organisational standards, policies and procedures (including policies and procedures for WHS) |  | | |  |  | | | | |
| The candidate completed the assessment task to workplace standards | | | | | | | | Yes  No | | | |
| Additional assessor comments on candidate performance *(Assessor to sign and date)* | | | | | | | | | | | |
| NB: Candidates must have performed the activities outlined in the performance criteria of *CHCECE017 Foster the holistic development and wellbeing of the child in early childhood* during a period of at least 240 hours of work in at least one regulated early childhood education and care service. | | | | | | | | | | | |

| Observation tool for workplace assessment task 19: Create learning environments for children aged 5-12 | | | | | | | | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Unit of competency:   * *CHCSAC005 Foster the holistic development and wellbeing of the child in school age care* (elective unit)   The full text of the unit can be viewed at [www.training.gov.au](http://www.training.gov.au) | | | | | | | | | | | |
| Candidate’s name |  | | | | **Date completed** | | | |  | | |
| Assessor’s name |  | | | | **Times (duration)** | | | |  | | |
| Workplace |  | | | | **Location** | | | |  | | |
| Description of the workplace assessment task | | | | | | | | | | | |
| This workplace assessment task requires the candidate to create positive and safe learning environments where at least three children from 5 to 12 years of age can immerse themselves in self-directed play.  The assessor should:   * ask questions during or after the process, such as asking the candidate to outline the range of developmental theories for children between 5 and 12 years of age * consider the self-directed play opportunities provided by the candidate * record observations on the observation checklist * consider the candidate’s learning environments, answers to questions and any workplace demonstration in determining the assessment outcomes. | | | | The assessor is required to verify that the candidate can:   * plan and provide opportunities for at least three children between the ages of 5 to 12 years to develop in a range of areas * describe developmental theories for children from 5 to 12 years of age * create at least three safe learning environments for children aged 5 to 12 years that:   + support children’s emotional and psychological development   + encourage children to be independent and immerse themselves in self-directed play   + foster children’s positive self-concept and self-esteem   + encourage children to express their thoughts, feelings and ideas.   The assessment outcomes should be recorded on this observation tool and the *Assessment Outcomes form*. | | | | | | | |
| Instructions for the assessor | | | | | | | | | | Completed or provided? | |
| Provide the candidate with *Candidate instructions for task 19: Create learning environments for children aged 5-12*, ensuring you give reasonable notice of the assessment and that details are recorded in the *RPL Assessment Plan*. | | | | | | | | | | Yes | No |
| Ensure access to at least three children from 5 to 12 years of age in a regulated early childhood education and care service, and current relevant organisational standards, policies and procedures. | | | | | | | | | | Yes | No |
| Ensure candidate has oral communication skills in order to facilitate collaborative discussions with children, families and other educators.  Ensure candidate has reading skills in order to access and apply relevant pedagogical principles from an approved learning framework. | | | | | | | | | | Yes | No |
| Ensure access to the *National Quality Framework for Early Childhood Education and Care*, and relevant approved learning framework under the *National Quality Framework*. | | | | | | | | | | Yes | No |
| Observe the candidate’s learning environments and self-directed play opportunities for children from 5 to 12 years, asking questions (during or after). Complete the observation checklist for task 19. | | | | | | | | | | Yes | No |
| Observation checklist | | | | | | | | | | | |
| Did the candidate demonstrate that they can… | | **Regulations, workplace or industry standards** | **Is behaviour observed?**  **Yes No** | | | | Assessor notes, including examples of candidate responses or application | | | | |
| Plan and provide opportunities for children between the ages of 5 to 12 years | | Core principles of child development and associated developmental tasks  Range of developmental theories for children between 5 and 12 years of age |  | | |  |  | | | | |
| Create positive and safe learning environments where children from 5 to 12 years of age can immerse themselves in self-directed play including:   * supporting children’s emotional and psychological development * encouraging children to be independent * fostering children’s positive self-concept and self-esteem * encouraging children to express their thoughts, feelings and ideas. | | Core principles of child development and associated developmental tasks  Range of developmental theories for children between 5 and 12 years of age |  | | |  |  | | | | |
| Access and apply relevant pedagogical principles from an approved learning framework, including describing developmental theories for children between 5 and 12 years of age | | Relevant approved learning framework under the *National Quality Framework for Early Childhood Education and Care* |  | | |  |  | | | | |
| Follow workplace requirements | | Organisational standards, policies and procedures (including policies and procedures for WHS) |  | | |  |  | | | | |
| The candidate completed the assessment task to workplace standards | | | | | | | | Yes  No | | | |
| Additional assessor comments on candidate performance *(Assessor to sign and date)* | | | | | | | | | | | |
| NB: Candidates must have performed the activities outlined in the performance criteria of *CHCSAC005 Foster the holistic development and wellbeing of the child in school age care* during a period of at least 240 hours of work in at least one regulated early childhood education and care service. | | | | | | | | | | | |

| Observation tool for workplace assessment task 20: Design learning environments that foster creativity | | | | | | | | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Unit of competency:   * *CHCECE018 Nurture creativity in children* (core unit)   The full text of the unit can be viewed at [www.training.gov.au](http://www.training.gov.au) | | | | | | | | | | | |
| Candidate’s name |  | | | | **Date completed** | | | |  | | |
| Assessor’s name |  | | | | **Times (duration)** | | | |  | | |
| Workplace |  | | | | **Location** | | | |  | | |
| Description of the workplace assessment task | | | | | | | | | | | |
| This workplace assessment task requires the candidate to design at least three active learning environments (both indoor and outdoor) that foster creativity in children of varying ages.  The assessor should:   * ask questions during or after the process, such as asking the candidate to describe how they use music, movement, construction, visual art and dramatic play to engage children and assist them to implement their ideas * consider the encouragement, interaction and communication used by the candidate to facilitate each child’s active participation * record observations on the observation checklist * consider the candidate’s active learning environments, answers to questions and any workplace demonstration in determining the assessment outcomes. | | | | The assessor is required to verify that the candidate can:   * plan and provide at least three active learning environments that foster creativity in children of varying ages, and include:   + a range of experiences to nurture creativity, including music, movement, construction, visual art and dramatic play   + creative experiences initiated by children   + creative approaches to routines   + opportunities for each child to develop self-expression skills * describe how educators can use music, movement, construction, visual art and dramatic play to engage children and assist them to implement their ideas * facilitate the active participation of at least three children of varying ages through encouragement, appropriate interactions and communications.   The assessment outcomes should be recorded on this observation tool and the *Assessment Outcomes form*. | | | | | | | |
| Instructions for the assessor | | | | | | | | | | Completed or provided? | |
| Provide the candidate with *Candidate instructions for task 20: Design learning environments that foster creativity*, ensuring you give reasonable notice of the assessment and that details are recorded in the *RPL Assessment Plan*. | | | | | | | | | | Yes | No |
| * Ensure access to at least three children of varying ages in a regulated early childhood education and care service, and current relevant organisational standards, policies and procedures. | | | | | | | | | | Yes | No |
| Ensure candidate has oral communication skills in order to facilitate creative discussions and play with children aged birth to 5 years.  Ensure candidate has reading skills in order to access and apply relevant pedagogical principles from an approved learning framework. | | | | | | | | | | Yes | No |
| Ensure access to the *National Quality Framework for Early Childhood Education and Care*, and relevant approved learning framework under the *National Quality Framework*. | | | | | | | | | | Yes | No |
| Observe the candidate’s interaction with children and consider their active learning environments, asking questions (during or after). Complete the observation checklist for task 20. | | | | | | | | | | Yes | No |
| Observation checklist | | | | | | | | | | | |
| Did the candidate demonstrate that they can… | | **Regulations, workplace or industry standards** | **Is behaviour observed?**  **Yes No** | | | | Assessor notes, including examples of candidate responses or application | | | | |
| Plan and provide active learning environments that foster creativity in children of varying ages including:   * experiences to nurture creativity, such as music, movement, construction, visual art and dramatic play * creative experiences initiated by children * creative approaches to routines * opportunities for each child to develop self-expression skills. | | Stages of children’s development (in relation to planning a range of appropriate activities to stimulate an interest and love of learning in children) |  | | |  |  | | | | |
| Facilitate the active participation of children through encouragement, appropriate interactions and communications | | Stages of children’s development (in relation to planning a range of appropriate activities to stimulate an interest and love of learning in children) |  | | |  |  | | | | |
| Access and apply relevant pedagogical principles from an approved learning framework, including describing how music, movement, construction, visual art and dramatic play can engage children and assist them to implement their ideas | | Relevant approved learning framework under the *National Quality Framework for Early Childhood Education and Care* |  | | |  |  | | | | |
| Follow workplace requirements | | Organisational standards, policies and procedures (including policies and procedures for WHS) |  | | |  |  | | | | |
| The candidate completed the assessment task to workplace standards | | | | | | | | Yes  No | | | |
| Additional assessor comments on candidate performance *(Assessor to sign and date)* | | | | | | | | | | | |
|  | | | | | | | | | | | |

| Observation tool for workplace assessment task 21: Design and evaluate learning experiences | | | | | | | | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Units of competency:   * *CHCECE022 Promote children’s agency* **(core unit)** * *CHCECE024 Design and implement the curriculum to foster children's learning and development* **(core unit)**   **The full text of the unit can be viewed at** [**www.training.gov.au**](http://www.training.gov.au) | | | | | | | | | | | |
| **Candidate’s name** |  | | | | **Date completed** | | | |  | | |
| **Assessor’s name** |  | | | | **Times (duration)** | | | |  | | |
| **Workplace** |  | | | | **Location** | | | |  | | |
| **Description of the workplace assessment task** | | | | | | | | | | | |
| This workplace assessment task requires the candidate to design and evaluate at least two learning experiences in consultation with other educators that reflect children’s interests and needs.  The assessor should:   * ask questions during or after the process, such as asking the candidate to describe the techniques they use to encourage and support children to participate * consider the information gathered by the candidate on each child’s interests and needs and the plans and evaluations they develop * consider the candidate’s learning experiences, answers to questions and any workplace demonstration in determining the assessment outcomes * record observations on the observation checklist. | | | | The assessor is required to verify that the candidate can:   * use information on children to plan to meet their individual needs and interests * plan, implement and evaluate at least two programs that promote and encourage children’s agency * encourage and support children to participate in the programs * design and implement developmentally appropriate curriculum in consultation with other educators.   The assessment outcomes should be recorded on this observation tool and the *Assessment Outcomes form*. | | | | | | | |
| **Instructions for the assessor** | | | | | | | | | | **Completed or provided?** | |
| Provide the candidate with *Candidate instructions for task 21: Design and evaluate learning experiences*, ensuring you give reasonable notice of the assessment and that details are recorded in the *RPL Assessment Plan*. | | | | | | | | | | Yes | No |
| Ensure access to children and other educators in a regulated early childhood education and care service, and current relevant organisational standards, policies and procedures.. | | | | | | | | | | Yes | No |
| Ensure access to the *National Quality Framework for Early Childhood Education and Care*, and relevant approved learning framework under the *National Quality Framework*. | | | | | | | | | | Yes | No |
| Observe the candidate’s encouragement and support for children and consider their learning experiences, asking questions (during or after). Complete the observation checklist for task 21. | | | | | | | | | | Yes | No |
| **Observation checklist** | | | | | | | | | | | |
| **Did the candidate demonstrate that they can…** | | **Regulations, workplace or industry standards** | **Is behaviour observed?**  **Yes No** | | | | **Assessor notes, including examples of candidate responses or application** | | | | |
| Plan and implement programs that promote and encourage children’s agency | | Relevant theories about childhood learning  Relevant approved learning framework under the *National Quality Framework for Early Childhood Education and Care* |  | | |  |  | | | | |
| Use effective techniques to encourage and support children to participate | | As above |  | | |  |  | | | | |
| Design and implement curriculum in consultation with other educators | | As above |  | | |  |  | | | | |
| Assess and evaluate curriculum and learning experiences in accordance with guidelines | | Evaluation strategies |  | | |  |  | | | | |
| Follow legislative and regulatory requirements | | Relevant approved learning framework under the *National Quality Framework for Early Childhood Education and Care* |  | | |  |  | | | | |
| Follow workplace requirements | | Organisational standards, policies and procedures (including policies and procedures for WHS) |  | | |  |  | | | | |
| **The candidate completed the assessment task to workplace standards** | | | | | | | | **Yes  No** | | | |
| **Additional assessor comments on candidate performance** *(Assessor to sign and date)* | | | | | | | | | | | |
| NB: Candidates must have performed the activities outlined in the performance criteria of *CHCECE024 Design and implement the curriculum to foster children's learning and development* during a period of at least 240 hours of work in at least one regulated early childhood education and care service. | | | | | | | | | | | |

| Observation tool for workplace assessment task 22: Work collaboratively to support a child’s learning | | | | | | | | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Units of competency:   * *CHCECE023 Analyse information to inform learning* **(core unit)** * *CHCECE026 Work in partnership with families to provide appropriate education and care for children* **(core unit)**   **The full text of the unit can be viewed at** [**www.training.gov.au**](http://www.training.gov.au) | | | | | | | | | | | |
| **Candidate’s name** |  | | | | **Date completed** | | | |  | | |
| **Assessor’s name** |  | | | | **Times (duration)** | | | |  | | |
| **Workplace** |  | | | | **Location** | | | |  | | |
| **Description of the workplace assessment task** | | | | | | | | | | | |
| This workplace assessment task requires the candidate to work collaboratively with at least three different families and other educators to support a child’s learning.  The assessor should:   * ask questions during or after the process, such as asking the candidate to describe the relevant theories that underpin the value of family/educator relationships * consider the observations of children documented by the candidate * consider the candidate’s care strategies, answers to questions and any workplace demonstration in determining the assessment outcomes * record observations on the observation checklist. | | | | The assessor is required to verify that the candidate can:   * work collaboratively with at least three different families to support the education and care of their child * collect and document observations of at least three different children and apply this information to educational practice * develop effective strategies for individual children’s participation, and support and encourage families to participate and to give feedback.   The assessment outcomes should be recorded on this observation tool and the *Assessment Outcomes form*. | | | | | | | |
| **Instructions for the assessor** | | | | | | | | | | **Completed or provided?** | |
| Provide the candidate with *Candidate instructions for task 22: Work collaboratively to support a child’s learning*, ensuring you give reasonable notice of the assessment and that details are recorded in the *RPL Assessment Plan*. | | | | | | | | | | Yes | No |
| Ensure access to at least three families and their children in a regulated early childhood education and care service, and current relevant organisational standards, policies and procedures. | | | | | | | | | | Yes | No |
| Ensure candidate has oral communication skills in order to facilitate collaborative discussions with parents and caregivers.  Ensure candidate has writing skills in order to document observations in line with workplace procedures and policies. | | | | | | | | | | Yes | No |
| Ensure access to the *National Quality Framework for Early Childhood Education and Care*, and relevant approved learning framework under the *National Quality Framework*. | | | | | | | | | | Yes | No |
| Observe the candidate collaborating with families (or consider other evidence of that interaction) and consider the care strategies they develop together, asking questions (during or after). Complete the observation checklist for task 22. | | | | | | | | | | Yes | No |
| **Observation checklist** | | | | | | | | | | | |
| **Did the candidate demonstrate that they can…** | | **Regulations, workplace or industry standards** | **Is behaviour observed?**  **Yes No** | | | | **Assessor notes, including examples of candidate responses or application** | | | | |
| Work collaboratively with at least three different families to support education and care  Encourage and support the families to participate and to give feedback | | Collaborative planning techniques  Relevant theories that underpin the value of family / educator relationships  Strategies for involving family members in the service |  | | |  |  | | | | |
| Collect and document observations of at least three different childrenand apply this information to educational practice | | Code of ethics  Confidentiality requirements  Observation and inclusion principles |  | | |  |  | | | | |
| Follow legislative and regulatory requirements | | Relevant approved learning framework under the *National Quality Framework for Early Childhood Education and Care* |  | | |  |  | | | | |
| Follow workplace requirements | | Organisational standards, policies and procedures (including policies and procedures for WHS) |  | | |  |  | | | | |
| **The candidate completed the assessment task to workplace standards** | | | | | | | | **Yes  No** | | | |
| **Additional assessor comments on candidate performance** *(Assessor to sign and date)* | | | | | | | | | | | |
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| Observation tool for workplace assessment task 23: Develop a behaviour guidance plan | | | | | | | | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Unit of competency:   * *CHCECE020 Establish and implement plans for developing cooperative behaviour* (core unit)   The full text of the unit can be viewed at [www.training.gov.au](http://www.training.gov.au) | | | | | | | | | | | |
| Candidate’s name |  | | | | **Date completed** | | | |  | | |
| Assessor’s name |  | | | | **Times (duration)** | | | |  | | |
| Workplace |  | | | | **Location** | | | |  | | |
| Description of the workplace assessment task | | | | | | | | | | | |
| This workplace assessment task requires the candidate to work with family members and colleagues to create and implement a realistic plan to guide the behaviour of a child.  The assessor should:   * consider the candidate’s analysis of the child’s behaviour * consider the candidate’s behaviour guidance plan, answers to questions and any workplace demonstration in determining the assessment outcomes * ask questions during or after the process, such as asking the candidate to describe the relationship-based strategies they used to help the child learn about behaviour * record observations on the observation checklist. | | | | The assessor is required to verify that the candidate can:   * observe and analyse a child’s behaviour, on at least three occasions, in a range of situations and contexts * create, implement and measure the effectiveness of a behaviour guidance plan in accordance with the philosophy and policies of the service * develop positive relationships with children and involve them in decision-making and planning * respect family expectations and their cultural values.   The assessment outcomes should be recorded on this observation tool and the *Assessment Outcomes form*. | | | | | | | |
| Instructions for the assessor | | | | | | | | | | Completed or provided? | |
| Provide the candidate with *Candidate instructions for task 23: Develop a behaviour guidance plan*, ensuring you give reasonable notice of the assessment and that details are recorded in the *RPL Assessment Plan*. | | | | | | | | | | Yes | No |
| Provide or ensure access to a family, child and colleagues in a regulated early childhood education and care service, and current relevant organisational standards, policies and procedures. | | | | | | | | | | Yes | No |
| Ensure candidate has oral communication skills in order to facilitate a range of collaborative discussions with children, families and other educators. | | | | | | | | | | Yes | No |
| Ensure access to the *National Quality Framework for Early Childhood Education and Care*, and relevant approved learning framework under the *National Quality Framework*. | | | | | | | | | | Yes | No |
| Observe the candidate’s interaction with children and consider their behaviour guidance plan, asking questions (during or after). Complete the observation checklist for task 23. | | | | | | | | | | Yes | No |
| Observation checklist | | | | | | | | | | | |
| Did the candidate demonstrate that they can… | | **Regulations, workplace or industry standards** | **Is behaviour observed?**  **Yes No** | | | | Assessor notes, including examples of candidate responses or application | | | | |
| Create, implement and measure the effectiveness of a behaviour guidance plan. This is to include:   * making observations * identifying long-term and short-term goals/objectives that are consistent with child’s abilities, age and developmental stage *(continued over…)* * establishing a baseline for children’s behaviour * clearly identifying more acceptable alternative behaviours * developing the plan in accordance with service policy * supporting colleagues to implement the plan * communicating expectations with the child * plan monitoring. | | Code of ethics  Relationship-based strategies to help children learn about behaviour  Stage of development / age-appropriate expectations of children’s behaviour  United Nations Conventions on the Rights of the Child  Relevant approved learning framework under the *National Quality Framework for Early Childhood Education and Care* |  | | |  |  | | | | |
| Observe and analyse a child’s behaviour in a range of situations and contexts (on at least three occasions and in different situations and contexts) | | As above |  | | |  |  | | | | |
| Develop positive relationships with children and involve them in decision-making and planning | | As above |  | | |  |  | | | | |
| Respect family expectations and their cultural values | | Different family styles of discipline and beliefs about behaviour in different cultures and social groups |  | | |  |  | | | | |
| Act within service policy | | Organisational standards, policies and procedures (including service philosophy) |  | | |  |  | | | | |
| Follow legislative and regulatory requirements | | Relevant approved learning framework under the *National Quality Framework for Early Childhood Education and Care* |  | | |  |  | | | | |
| The candidate completed the assessment task to workplace standards | | | | | | | | Yes  No | | | |
| Additional assessor comments on candidate performance *(Assessor to sign and date)* | | | | | | | | | | | |
| NB: This workplace task focuses on the development of a behaviour guidance plan. Evidence must also confirm that the candidate has supported and communicated with their colleagues to implement the plan, and that they have revisited the plan and reflected on its effectiveness. | | | | | | | | | | | |

| Observation tool for workplace assessment task 24: Develop a plan for support and inclusion | | | | | | | | | | | |
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| Unit of competency:   * *CHCECE021 Implement strategies for the inclusion of all children* (core unit)   The full text of the unit can be viewed at [www.training.gov.au](http://www.training.gov.au) | | | | | | | | | | | |
| Candidate’s name |  | | | | **Date completed** | | | |  | | |
| Assessor’s name |  | | | | **Times (duration)** | | | |  | | |
| Workplace |  | | | | **Location** | | | |  | | |
| Description of the workplace assessment task | | | | | | | | | | | |
| This workplace assessment task requires the candidate to collaborate with family members and colleagues to develop and implement a plan for support and inclusion for a child with additional or special needs.  The assessor should:   * ask questions during or after the process, such as asking the candidate to describe their approach to individualised, child-centred planning and service delivery * consider the candidate’s assessment of the child’s additional needs * consider the candidate’s inclusion plan, answers to questions and any workplace demonstration in determining the assessment outcomes * record observations on the observation checklist. | | | | The assessor is required to verify that the candidate can:   * develop, implement and evaluate a plan for support and inclusion for at least one child who has additional or special needs. This is to involve:   + identifying/assessing the child’s additional needs (considering abilities, goals, interests, expectations and health status)   + investigating and trialling strategies to address the child’s needs   + reviewing and suggesting adaptations to service delivery to meet the child’s special needs (including developing and adapting curriculum)   + collaborating with family members and colleagues to develop an inclusion plan   + reflecting on effectiveness of the plan and its impact on the child.   The assessment outcomes should be recorded on this observation tool and the *Assessment Outcomes form*. | | | | | | | |
| Instructions for the assessor | | | | | | | | | | Completed or provided? | |
| Provide the candidate with *Candidate instructions for task 24: Develop a plan for support and inclusion*, ensuring you give reasonable notice of the assessment and that details are recorded in the *RPL Assessment Plan*. | | | | | | | | | | Yes | No |
| Provide or ensure access to a family, child and other educators in a regulated early childhood education and care service, and current relevant organisational standards, policies and procedures. | | | | | | | | | | Yes | No |
| Ensure access to the *National Quality Framework for Early Childhood Education and Care*, and relevant approved learning framework under the *National Quality Framework*. | | | | | | | | | | Yes | No |
| Consider the inclusion plan and information used to develop it, observe the candidate’s approach to child-centred planning asking questions (during or after). Complete the observation checklist for task 24. | | | | | | | | | | Yes | No |
| Observation checklist | | | | | | | | | | | |
| Did the candidate demonstrate that they can… | | **Regulations, workplace or industry standards** | **Is behaviour observed?**  **Yes No** | | | | Assessor notes, including examples of candidate responses or application | | | | |
| Develop and implement a plan for support and inclusion for at least one child with special or additional needs, including:   * identifying and assessing the child’s needs * investigating and trialling strategies to address these * collaborating with family members and colleagues to develop an inclusion plan *(continued over…)* * reviewing and suggesting adaptations to service delivery to meet the child’s special needs (including developing and adapting curriculum) * reflecting on the effectiveness of the plan and its impact on the child. | | Strategies that encourage participation  Understanding of different backgrounds, experiences and needs of children and families in exceptional circumstances or with additional needs  Relevant approved learning framework under the *National Quality Framework for Early Childhood Education and Care*  Organisational procedures for inclusion/child-centred planning |  | | |  |  | | | | |
| Follow legislative and regulatory requirements | | Relevant approved learning framework under the *National Quality Framework for Early Childhood Education and Care*  Relevant legislation, regulations and workplace practices |  | | |  |  | | | | |
| Follow workplace procedures | | Organisational standards, policies and procedures (including policies and procedures for WHS) |  | | |  |  | | | | |
| The candidate completed the assessment task to workplace standards | | | | | | | | Yes  No | | | |
| Additional assessor comments on candidate performance *(Assessor to sign and date)* | | | | | | | | | | | |
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| Observation tool for workplace assessment task: *[to be determined by the assessor]* | | | | | | | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Units of competency:   * *[insert code and title of unit/s]*   The full text of the units can be viewed at [www.training.gov.au](http://www.training.gov.au) | | | | | | | | | | |
| Candidate’s name |  | | | | **Date completed** | | |  | | |
| Assessor’s name |  | | | | **Times (duration)** | | |  | | |
| Workplace |  | | | | **Location** | | |  | | |
| Description of the workplace assessment task | | | | | | | | | | |
| *[Sum up the assessment task and points the assessor needs to cover.]* | | | | [*Summarise the criteria for assessment.]* | | | | | | |
| Instructions for the assessor | | | | | | | | | Completed or provided? | |
| Ensure access to *[add any requirements to be provided or accessed such as resources, equipment, documentation]*. | | | | | | | | | Yes | No |
| Ensure candidate has *[add any foundation skills specified in the unit]* | | | | | | | | | Yes | No |
| Ensure access to the *National Quality Framework for Early Childhood Education and Care*, and relevant approved learning framework under the National Quality Framework. *[If specified in the unit.]* | | | | | | | | | Yes | No |
| Consider the candidates’ performance, asking questions during the process. Complete the observation checklist for this task. | | | | | | | | | Yes | No |
| Observation checklist | | | | | | | | | | |
| Did the candidate demonstrate that they can… | | **Regulations, workplace or industry standards** | **Is behaviour observed?**  **Yes No** | | | | Assessor notes, including examples of candidate responses or application | | | |
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|  | |  |  | | |  |  | | | |
|  | |  |  | | |  |  | | | |
| The candidate completed the assessment task to workplace standards | | | | | | | Yes  No | | | |
| Additional assessor comments on candidate performance *(Assessor to sign and date)* | | | | | | | | | | |
|  | | | | | | | | | | |

1. The core unit *HLTAID004 Provide an emergency first aid response in an education and care setting* is not in *RPL Toolkit for CHC50113 Diploma of Early Childhood Education and Care* apart from the capacity to record its attainment in the *RPL Assessment Outcomes* *Form*. [↑](#footnote-ref-1)
2. The core unit *HLTAID004 Provide an emergency first aid response in an education and care setting* is not in *RPL Toolkit for CHC50113 Diploma of Early Childhood Education and Care* apart from the capacity to record its attainment in the *RPL Assessment Outcomes* *Form*. [↑](#footnote-ref-2)
3. The core unit *HLTAID004 Provide an emergency first aid response in an education and care setting* is not in this *RPL Toolkit* (apart from the capacity to record its attainment). [↑](#footnote-ref-3)
4. The core unit *HLTAID004 Provide an emergency first aid response in an education and care setting* is not in this *RPL Toolkit* (apart from the capacity to record its attainment). [↑](#footnote-ref-4)