

Australian Government



Ongoing Support Assessment Guideline

V1.0

Disclaimer

This document is not a stand-alone document and does not contain the entirety of Providers' obligations. It should be read in conjunction with the Disability Employment National Panel of Assessors Program Grant Agreement and any relevant Guidelines or reference material issued under or in connection with the Disability Employment National Panel of Assessors Program Grant Agreement.

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Ongoing Support Assessment Guidelines

Document Change History

Ve	rsion	Effective Date	End Date	Change & Location
	1	1 July 2018		Original version of document

Background

This Guideline outline the responsibilities and required actions of a Disability Employment National Panel of Assessors provider or (Ongoing Support Assessor)' to complete an Ongoing Support Assessment (OSA) for a Disability Management Service or Employment Support Service Participant.

This Guideline also outlines an Ongoing Support Assessor's responsibilities and required actions in regards to claiming OSA Fees.

Once a Participant has achieved a 26 week employment outcome, the DES provider determines if the Participant requires Ongoing Support. Ongoing Support Assessors will be required to complete an OSA and independently assess the Ongoing Support needs of Participants where a Disability Employment Services Program provider '(DES provider)' determines that a Participant is likely to need Ongoing Support in the workplace to retain their job and where:

- A Participant has achieved a 26 Week Employment Outcome;
- A Participant has achieved a 52 Week Employment Outcome;
- a Participant has reached 52 weeks since the Anchor Date of a 26 week Employment Outcome (and was receiving Ongoing Support on 1 July 2018);
- a Work Assist) Participant has achieved a 26-week Outcome and requires Ongoing Support;
- it has been 52 or 78 weeks since the Participant's last OSA, or
- a change in circumstances means that a Participant may need a higher level of Ongoing Support than identified in the Participant's last OSA.

Referral for an OSA is completed in the Department's IT Systems.

Disability Employment National Panel of Assessors Program Grant Agreement Clauses:

- Clause 4 Formation of Grant Agreements
- Clause 7 Conduct of Assessments
- Clause 11 Provider's responsibility
- Clause 17 General
- Clause 18 Evidence to support claims for payment
- Clause 57 Conflict of Interest
- Clause 74 OSA Services
- Clause 75 OSA Assessments
- Clause 76 OSA Assessment Reports
- Clause 77 OSA Payments

Disability Employment Services Grant Agreement Clauses:

Clause 86 – Disputed Assessments Clause 114 – Work Assist Participants Clause 117 – Entry to Ongoing Support Clause – 118 - Participant Eligibility to receive Ongoing Support Clause 119 – Obligation to provide Ongoing Support Clause 120 – Limitations on Disability Employment Services – Disability Management Service Ongoing Support Clause 121 – Provider Exit from Ongoing Support Clause 122 – Reviews of Ongoing Support Clause 123 – Changing the level of Ongoing Support for Disability Employment Services – Employment Support Service Participants Clause 125 – Change of Circumstances Reassessment during Ongoing Support

Clause 135 – Exits

Reference documents relevant to this Guideline:

Ongoing Support Guidelines Ongoing Support Assessment Allocation Guidelines

Explanatory Note:

All capitalised terms have the same meaning as in Disability Employment Services Grant Agreement. In this document, "must" means that compliance is mandatory and "should" means that compliance represents best practice.

Ongoing Support Assessment Guidelines

Who is Responsible:	What is Required:	
1. Ongoing Support Assessor The Ongoing Support Assessor is notified of an OSA Work Order and chooses to accept or reject the Work Order	 Once a Work Order for an OSA has been allocated in the Departments' IT Systems, the Work Order will appear on a list for the Ongoing Support Assessor to determine whether or not to accept. Things to consider include: The assessor's capacity – i.e. whether or not the assessor is available to do the assessment Conflict of interest issues. 	
Disability Employment National Panel of Assessors Program Grant Agreement Clause References: Clause 4.4 Clause 57 Clause 74.1	 If the Ongoing Support Assessor accepts the Work Order for an OSA Proceed to Step 2. If the Ongoing Support Assessor rejects the Work Order for an OSA Proceed to Step 3 	
 2. Ongoing Support Assessor The Ongoing Support Assessor accepts the Work Order for an OSA Disability Employment National Panel of Assessors Program Grant Agreement Clause References: Clause 4.1 Clause 4.4 (a) Clause 76.1 	 The Ongoing Support Assessor accepts the Work Order for an OSA on the Department's IT Systems within one day of receiving it. An OSA is allocated via a Work Order on the Department's IT System. The Contact Person, who has the authority to accept a Work Order, selects from a list of Work Orders for OSAs allocated in the Department's IT Systems and accepts the Work Order. Once the Contact Person accepts the Work Order, a Contract to perform the Services is formed between the Department and the provider. The due date for undertaking an OSA is specified in the Work Order. The due date is normally set at : Four weeks from when the Participant first enters Ongoing Support, 52 weeks since the Anchor date of a 26-week Employment Outcome (for Participants that were receiving Ongoing Support on 1 July 2018); or 52 weeks from last OSA in some cases; or Two weeks from approval of a Work Assist 26 Week Outcome; or Two weeks from allocation of an OSA due to Change in Circumstances. 	

Who is Responsible:	What is Required:	
	Guideline for more information about how the system	
	determines the OSA due date.	
	Proceed to Step 4.	
3. Ongoing Support Assessor The Ongoing Support Assessor rejects the Work Order for an OSA	The Ongoing Support Assessor rejects the Work Order for an OSA on the Department's IT Systems within one day of receiving it. Note: Where a Work Order is rejected, the Ongoing Support Assessor will be required to give a reason – for example,	
Disability Employment National Panel of Assessors Program Grant	"conflict of interest". The Department will monitor the number and reasons for rejections, and may take this information into account in decisions to provide future OSA Allocations.	
Agreement Clause References: • Clause 4.3 • Clause 4.4 (b)	The Ongoing Support Assessors update the Department's IT Systems to indicate their availability to undertake OSAs. Refer to the Ongoing Support Assessment Allocation Guideline and the OSA IT Demonstration on the Learning Centre.	
	Ongoing Support Assessor rejects the OSA Allocation. Refer to Ongoing Support Assessment Allocation Guideline. End of Process.	
4. Ongoing Support Assessor	The Ongoing Support Assessor should contact the DES provider currently supporting the Participant to arrange an OSA interview. The Ongoing Support Assessor should:	
Contacts the DES provider to arrange an interview Disability Employment National Panel of Assessors Program Grant Agreement Clause References: • Clause 75.1(a) • Clause 75.1 (d)	 advise the DES provider of the need to see any documentation that relates to the frequency, amount in hours and specific details of the type of 	
	support, including assistance purchased internally and externally from third party Providers (refer to Ongoing Support Guideline), by the Provider that has been provided to the Participant since the	
	 Employment Placement (or since the last OSA); and arrange an interview time and place with the DES provider. This would normally be at the DES provider site to allow easy access to the relevant files and records. 	
	 coordinate with the Provider to arrange an interview time and place with the Participant and to facilitate introductions to the Employer if permission is given by the Participant to contact the Employer. determine if the Participant needs assistance with the interview – for example requires an interpreter. advise the Department if there is a conflict of 	
5. Ongoing Support	interest. Note: DES providers can also notify the Department of a conflict of interest at any time. The outcome of the discussion in Step 4 will determine the	
J. Ongoing Support		

Who is Responsible:	What is Required:	
Assessor and/or DES	most appropriate approach for contacting the Participant to	
provider	arrange an OSA Interview. It is important that both the	
Contacts the Participant	Ongoing Support Assessor and DES provider work together to ensure the Participant is comfortable with the OSA process.	
to arrange an interview		
Disability Employment National Panel of	Ideally, both the Ongoing Support Assessor and the Provider will arrange a conference call to contact the Participant together.	
Assessors Program Grant Agreement Clause Reference: • Clause 75.1 (b)	 Alternatively, the Ongoing Support Assessor or DES provider should contact the Participant to arrange an OSA interview. The discussion with the Participant should include the following: explain the purpose and benefits of participating in the OSA 	
	 find out if the Participant: wants anyone else to be present at the interview – for example, an advocate or family member gives permission for the Ongoing Support Assessor to undertake the workplace component of the assessment, including interviewing the Participant's employer arrange the time, date and location of the interview. 	
	Note: It is expected that the vast majority of OSAs will include all elements of the assessment.	
	If it is apparent that to interview the Participant would be detrimental to their well-being, a nominee or advocate can be interviewed. In cases where no advocate or nominee is possible, other documentary evidence to substantiate the Participant's valid refusal to be interviewed can be documented. The nominee or advocate interview, and/or the assessment of documentary evidence on file, can be used to complete the Participant interview section of the Assessment Report.	
	The Participant interview should normally occur in the Participant's workplace. However, if this is not possible, the interview may be in conjunction with the provider interview at the DES provider site, or at another mutually agreed location where the Participant's privacy is maintained.	
	Every effort must be made to contact the Participant to arrange an interview by the Assessment due date. If the Participant is unable to be contacted, the DES provider should advise the Ongoing Support Assessor.	
	If it is clear that the Participant is not contactable for an interview then the Ongoing Support Assessor should record	

Who is Responsible:	What is Required:
	details of failure to contact the Participant, in the Participant Report section of the OSA. The Provider should also contact their Account Manager to request that the Assessment be cancelled. After verifying that appropriate steps have been taken to attempt contact with the Participant, the Account Manager may cancel the Assessment.
	 If Participant is not contactable and assessment is cancelled. End of Process. If Participant has given permission to contact their Employer, Proceed to Step 6. If the Participant has not given permission to contact their Employer, Proceed to Step 7.
6.Ongoing Support Assessor or the Provider Contacts the Employer (only if permission is given) to arrange a workplace visit interview Disability Employment National Panel of Assessors Program Grant Agreement Clause Reference: • Clause 75.1 (c)	If the Participant has given permission to undertake the workplace component of the OSA, either the DES provider or the Ongoing Support Assessor should contact the Employer to arrange an interview. The employer contact should be the most appropriate person, for example, the Participant's manager or the DES provider's main contact. The Ongoing Support Assessor should: • explain the purpose of the OSA • seek the employer's agreement to the workplace component of the OSA • arrange the time, date and location of the workplace visit and interview. Where possible, this should be done at the same time as the Participant interview. It is expected that the vast majority of OSAs will include all elements of the assessment. However the Employer interview and/or workplace assessment can be excluded from the assessment if: • The Employer or Participant do not agree to an employer interview and/or workplace assessment • The Participant is self employed
	If an element is to be excluded for any other reason then the Ongoing Support Assessor must seek permission from their Account Manager/ or the Account Manager's delegate, and document the details in the OSA report.
7. Ongoing Support Assessor Conducts the DES provider interview. Disability Employment National Panel of	At the interview with the DES provider, the Ongoing Support Assessor should refer to <i>Appendix A</i> and discuss the checklist at <i>Appendix B</i> so that it may be documented in the OSA report. The Participant's working hours must be completed in the working hours field in the OSA Report in the Department's IT Systems.

Who is Responsible:	What is Required:
Assessors Program	Participants that commence in Ongoing Support after 1 July
Grant Agreement	2018 must work on average, at least eight hours work a week
Clause Reference:	to maintain entitlement to receive Ongoing Support.
• Clause 75.1 (a)	Participants that were receiving Ongoing Support prior to 1 July
	2018 do not need to meet this requirement.
	The Ongoing Support Assessor should also review any relevant documentary evidence provided by the DES provider that outlines the frequency, amount in hours and specific details of the type of support including support purchased internally and externally from third party providers that has been provided to the Participant.
	File Assessment Summary Please refer to <i>Appendix A - Documentary Evidence for Ongoing</i> <i>Support File</i> Assessments for examples of documentary evidence that should be considered.
	Please refer to Appendix C - where the assistance has been purchased by the DES provider the Ongoing Support Assessor should refer to Appendix C Ongoing Support Assessor advice – Assessing evidence of assistance purchased translating to hours of support.
	If the above information is absent from the file assessors should note this in the OSA report.
8. Ongoing Support Assessor Conducts the Participant interview Disability Employment	At the interview with the Participant and advocate (if present), the Ongoing Support Assessor should discuss the checklist at <i>Appendix B</i> so that it may be documented in the OSA report: The Participant's working hours must be completed in the working hours field in the OSA Report in the Department's IT Systems.
National Panel of Assessors Program Grant Agreement Clause References: Clause 75.1 (b) Clause 75.1 (d)	If the Participant does not attend the interview, every effort must be made to contact the Participant to arrange another interview. If the Participant is unable to be contacted, the Ongoing Support Assessor should contact the DES provider for assistance.
	If it is clear that the Participant will not or cannot attend an interview then the Ongoing Support Assessor should use the Department's IT Systems to record this against the Participant interview details, and request the Department cancel the Assessment.
9. Ongoing Support	At the workplace visit and interview with the Employer, the
Assessor	Ongoing Support Assessor should discuss the checklist at
Conducts the Employer	<i>Appendix B</i> so that it may be documented in the OSA report. The Participant's working hours must be completed in the

Who is Responsible:	What is Required:
interview Disability Employment National Panel of Assessors Program Grant Agreement Clause References: • Clause 75.1 (c) • Clause 75.2	 working hours field in the OSA Report in the Department's IT Systems, where the Participant has given permission to contact the employer and the employer has agreed to the interview. In conducting the workplace assessment, the Ongoing Support Assessor should observe the following; Tasks the Participant undertakes Support, if any, the Participant requires to complete those tasks Support, if any, provided by co-workers Training received/required, including any training or education of co-workers Workplace modifications applied or required to
	make the tasks easierThe Participant's confidence in the workplace.
	Note: The Ongoing Support Assessor should observe the Participant in the workplace to ascertain the level of current support required.
10. Ongoing Support Assessor	Once the OSA interviews are completed, the Ongoing Support Assessor will compile all relevant information into a detailed report.
Compiles and completes OSA Report and submits through the Department's IT	This information is used to determine a recommendation of the Ongoing Support needs of the Participant.
Systems	The recommendation will be for one of the following:
Disability Employment National Panel of Assessors Program Grant	 Post-Placement Support (no Ongoing Support required, and the Participant is working toward a 52-week Outcome)
Agreement Clause References: • Clause 75.3	 Exit (no Ongoing Support required, and the Participant has achieved a 52-week outcome)
Clause 76.1	Flexible Ongoing Support
	 Moderate Ongoing Support – (for Employment Support Service Participants only)
	 High Ongoing Support – (for Employment Support Service Participants only)
	Note : Participants with an Anchor Date before 1 July 2018 cannot return to Post Placement Support after entering Ongoing Support. For these Participants, the OSA will recommend the Participant to stay in Ongoing Support (at the current level or a different level) or Exit.
	In undertaking an OSA, Assessors are required to consider all relevant evidence and documentation to make an independent and balanced recommendation of each Participant's future ongoing support requirements. The following can be used as a guide to assist providers to assess the appropriate level of

Who is Responsible:	What is Required:
	Ongoing Support.
	The OSA may recommend the Participant to Exit the program if they are found ineligible for Ongoing Support (for example, the Participant is not working on average, at least eight hours a week).
	No Ongoing Support required
	The Participant's requirements for support while in Post Placement Support, or since their last OSA were:
	Little or no support required
	Flexible Ongoing Support (Disability Management Service or Employment Support Service Participants)
	 Sporadic support in short bursts Episodic instances Irregular in nature At least four but less than a total of 25 hours of Support in the last six months.
	Moderate Ongoing Support (Employment Support Service Participants only)
	The Participant's requirements for support while in Post Placement Support, or during the period since their previous OSA was conducted, whichever is later, were:
	 Regular and ongoing, and At least six contacts in the last three months, or Between 25 and 42 hours of Support in the last six months.
	High Ongoing Support (Employment Support Service Participants only)
	The Participant's requirements for support while in Post Placement Support, or during the period since their previous OSA was conducted, whichever is later, were:
	 Regular and ongoing Significant support either in hours or intensity, and At least twelve contacts in the last three months, or More than 42 hours of Support in the last six months.
	Where the Assessor recommends Moderate or High Ongoing Support for a Disability Management Service (DMS) Participant, the Provider must move the Participant to Employment Support Service (ESS) and deliver the recommended Ongoing Support level at the next regular contact. If the Provider does not deliver ESS, they must transfer the Participant to an ESS Provider.

Who is Responsible:	What is Required:
	Purchased assistance and hours of Ongoing Support
	Personalised and appropriate assistance purchased internally and externally by DES Providers can be considered towards the Participant's hours of Ongoing Support. The purchased assistance must directly relate to maintaining the Participant's employment. Refer to Appendix C – Ongoing Support Assessor advice – Assessing evidence of assistance purchased translating to hours of support.
	Please refer to the <i>Ongoing Support Guideline</i> for more information about each of the recommendations.
	The Ongoing Support Assessor must complete and submit the OSA Report to the Department using the Department's IT Systems, within seven days of completion of the Assessment, or by the Assessment due date specified in the Work Order, whichever is the earlier.
	End of Process.
11. The Provider Views the full report	When the OSA Report is submitted, the DES provider is notified of the recommendation via the Noticeboard in the Department's IT Systems.
available through the Department's IT Systems	The completed OSA Report will be available to the DES provider to view in the Department's IT Systems.
Disability Employment National Panel of Assessors Program Grant Agreement Clause References: • Clause 76	 If the OSA Report is considered by the Department not to be of sufficient standard, Proceed to Step 12. If the OSA Report is disputed by the Participant or DES provider, Proceed to Step 13 If the OSA Report is to be actioned, Proceed to Step
12. The Department Determines the OSA Report is not of sufficient standard and	14 As part of the evaluation and management of Ongoing Support Assessor performance, the Department will review samples of OSA Reports. Reports may also be reviewed by the Department in response to feedback.
requests revised report Disability Employment National Panel of Assessors Program Grant	If the Department considers an OSA Report is not of sufficient standard, the Ongoing Support Assessor may be required to resubmit a revised report within five Business Days of the request from the Department.
Agreement Clause References: Clause 7.2 Clause 76.2	 Examples of an inadequate OSA Report may include: Information that is factually incorrect Inadequate detail to indicate that appropriate interviews were attempted/undertaken Details that do not substantiate the recommendation
	If the Department requests an OSA Report to be resubmitted, the report status will be updated to "In Review" and record in

Who is Responsible:	What is Required:	
	the report the reason why the report is not of sufficient standard.	
	The Ongoing Support Assessor must amend and resubmit the OSA Report. The Recommendation Summary section of the report should be updated to indicate that the report was reviewed, and to summarise the changes made as a result of the review. • Proceed to Step 7	
13. The Participant or Provider	Disputing an OSA Report	
Disputes the OSA Report	Where a Participant or DES provider considers that the content or recommendation of an OSA Report is not appropriate, the	
Disability Employment National Panel of Assessors Program Grant	matter should be raised with the Ongoing Support Assessor as soon as possible to give the assessor the opportunity to amend their report.	
Agreement Clause References: • Clause 7.3	Straightforward matters such as correction of inaccuracies in spelling, names and descriptions of type of assistance etc., should be communicated and corrected at report completion stage. For more complex issues, for example, if new or further information becomes available, details of the reason for review of the OSA Report should be provided in writing to the Ongoing Support Assessor within 28 days of report completion.	
	If within 28 days of report completion, additional information becomes available that is likely to change the OSA report recommendation, this information should be provided to the Ongoing Support Assessor who completed the OSA report.	
	A 'Change of Circumstances' OSA should only be initiated if new information becomes available beyond this 28 day period, that the DES provider believes will require an increase to the level of Ongoing Support currently recommended for the Participant.	
	The process for disputing an OSA Report is as follows:	
	 In the first instance, the Participant or DES provider should contact the Ongoing Support Assessor (the contact details of the Ongoing Support Assessor are included in the OSA report) and explain their view, presenting the reasons and evidence for questioning the report. The Ongoing Support Assessor should consider the information provided by the Participant or DES provider, and what amendments to the OSA report may be required. 	
	If the Ongoing Support Assessor considers that changes to the OSA Report are required, the	

Who is Responsible:	What is Required:	
	 Ongoing Support Assessor should contact their Account Manager to request that the OSA Report status is set to "In Review". The Ongoing Support Assessor should then update the Recommendation Summary section of the OSA Report to include: why the review was requested by the Participant or DES provider. the review outcome, including a summary of the changes required to the report as a result of the review. The Ongoing Support Assessor should make any other necessary amendments to the OSA Report, including a change to the report within five 	
	Business Days of the dispute being raised. Where the dispute outcome is not accepted by the DES provider and there is new evidence available, which the Ongoing Support Assessor did not consider, a written request can be referred to the Account Manager to review and determine an outcome. The written request for review must include documentation of the DES provider's and the Ongoing Support Assessor's efforts to resolve the disputed issues and the reasons given by each party to support their recommendation. The Department will endeavour to review all disputes received within 28 business days and will notify each party of the outcome of the request for review of a disputed OSA. • Proceed to Step 10	
13. The Provider	The OSA Report recommendation is actioned, in accordance	
Actions OSA Report	 with the Ongoing Support Guidelines. End of Process 	

Claiming Ongoing Support Assessment Fees

Who is Responsible:	What is Required:
1. The Department's IT Systems The IT Systems generate an Ongoing Support Assessment Fee Claim after the Ongoing Support Assessor submits an Ongoing Support Assessment Report on the Department's Systems.	Upon lodgement of a completed Ongoing Support Assessment Report, the Department's IT Systems will display the associated Ongoing Support Assessment Fee claim on the <i>Job Seeker</i> <i>Payments</i> screen or the <i>Site Payments</i> Screen.
Disability Employment National Panel of Assessors Program Grant Agreement Clause References: Clause 76 Clause 77	
2. Ongoing Support Assessor Ongoing Support Assessor checks available Ongoing Support Assessment claims on the Department's IT Systems.	The Ongoing Support Assessor checks the relevant Ongoing Support Assessment Fee claims, available on the <i>Job Seeker</i> <i>Payments</i> screen or the <i>Site Payments</i> screen to determine which claim can be lodged.
Disability Employment National Panel of Assessors Program Grant Agreement Clause References:	On the Site Payments screen, each Ongoing Support Assessment Fee claim will display the Ongoing Support Assessment Fee payable for each relevant Assessment ID.
Clause 17Clause 18	The Ongoing Support Assessor will be entitled to be paid the Ongoing Support Assessment Fee of \$420.00 (GST inclusive).
• Clause 77.1	The Ongoing Support Assessor must retain sufficient documentary evidence of entitlement to payment of Ongoing Support Assessment Fees.
3. Ongoing Support Assessor Ongoing Support Assessor submits claim for Ongoing Support Assessment Fee	Once satisfied that a claim can be submitted, the Ongoing Support Assessor submits the claim on the Department's IT Systems. The IT Systems will display a Tax Invoice for the available Ongoing Support Assessment Fee. The Ongoing Support Assessor can then submit the Tax Invoice.
4. The Department's IT Systems The IT Systems process the payment	The Department's IT Systems will process the Tax Invoice and make the payment(s).
when the Tax Invoice is submitted by the Ongoing Support Assessor	The Department will pay the Ongoing Support Assessment Fee to the Ongoing Support Assessor on the next scheduled fortnightly payment date. Each Ongoing Support Assessor will have a fortnightly payment cycle in place with the Department, the start and end of which is determined by the Department.
	Note: The cut-off point each fortnight for submitting the Tax Invoice is the Friday before the Ongoing Support Assessor's payment day. Submitting by this day will ensure payment is made to the Ongoing Support Assessor in that fortnightly payment.
	End of process

Appendix A - Documentary Evidence for Ongoing Support File Assessments

DES providers need to retain documents that support the level of Ongoing Support provided to the Participant and that could be considered relevant to an Ongoing Support Assessment.

All relevant evidence provided should be considered by the Ongoing Support Assessor to determine the Ongoing Support level recommendation. There are no pre-determined weightings.

At a minimum the evidence that assessors should cite from the DES Participant's file includes:

- details of the frequency of support
- number of contacts
- amount in hours of support, and
- specific support strategies organised, delivered or purchased internally or externally from third party providers, by the DES provider (excluding items claimed under the Employment Assistance Fund and Work Based Personal Assistance) in the last 12 months to address identified vocational and non-vocational barriers that directly related to maintaining their employment.

Information from the Department's IT Systems could include (but is not limited to):

- Details of instances of Flexible Ongoing Support
- Details of contacts recorded, including the date, time and method of contact
- Support provided by the DES provider in response to issues raised during these contacts
- Details of the Participant's Employment Pathway Plan/Job Plan.

Other evidence supplied could include (but is not limited to):

- Reports and assessments from doctors or other professionals
- Invoices and receipts
- File assessments completed by the DES provider
- Observation records
- Information from interviews with the Participant, and/or other stakeholders including parents, advocates, employers and supervisors
- File notes that detail the date and time of each interview or phone conversation, including the reason for the contact
- File notes that detail the progress made by the Participant to overcome past barriers to working independently since job placement or the last OSA
- Templates developed by DES providers to collect and record documentary evidence to support Ongoing Support Assessments (the use of such templates contributes to the consistency of evidence collection)

Appendix B - Checklist for Ongoing Support Assessors

This checklist is designed to assist National Panel of Assessors to complete their Ongoing Support Assessment Grant Agreement requirements. This checklist should not be considered exhaustive. Your Account Manager may request that you undertake other functions. This checklist should be read in conjunction with the Ongoing Support Assessment Guideline.

Participant name:_____DES provider: __

Employer:

Please note: A separate checklist should be completed for each Ongoing Support Assessment to enhance quality of assessments.

Section	a 5a Conducting the DES provider interview:	Yes	No	N/A
The As	sessor should discuss and document the following:			
<u>Worki</u>	ng hours			
•	The details of the Participant's current work hours and or average hours worked over the previous consecutive 13 weeks must be completed in the working hours field in the OSA Report in the Department's IT Systems.			
Past ba	arriers			
•	Specific details of the Participant's past barriers to working independently since job placement or since the last OSA has been discussed and documented in the OSA report. When made available from the DES provider and where relevant, identify barriers in previous OSA and or JCA/ESAt report(s) and reference in the OSA report as appropriate.			
Impact •	<u>t of barriers</u> Information from the DES provider about the perceived impact of the Participant's barriers to the Participant's work duties since the Employment Placement or since the last OSA.			
Curren •	t level of Ongoing Support Current level of Ongoing Support provided to the Participant since job placement or since the last OSA.			
Past ar	nd current Ongoing Support The nature, frequency and amount in hours of support provided since job placement or since the last OSA to address the past barriers. Specific details of the Ongoing Support strategies organised, delivered, or purchased internally and externally from third party providers, which directly relate to maintaining employment, by the DES provider from its own funds to address the Participant's past barriers and their impact on work duties since job placement or since the last OSA.			
<u>Progre</u>	<u>ss</u>			
•	Information (from the DES provider perspective) in relation to the progress made by the Participant to overcome the past barriers since job placement or since the last OSA. Progress made by the Participant when exercising professional judgement in relation to the level of Ongoing Support required in the future?			

Section 5a Conducting the DES provider interview:	Yes	No	N/A
Current and future barriers			
 Information about the DES Participant's current and future barriers to employment that the DES provider perceives as requiring Ongoing Support. 			
 Future Ongoing Support The nature, frequency and amount in hours of support likely to be needed in the future to address the identified ongoing barriers to employment. Document specific details of the Ongoing Support strategies/assistance required to be organised, delivered or purchased, internally and externally from third party providers that directly relates to maintaining employment, by the DES provider from their own funds, to address the Participant's identified ongoing barriers to employment such as skills training to up-skill the Participant's qualifications and or skills in their current employment or new employment. Any other relevant information provided by the DES provider, in relation to support requirements to maintain the Participant's employment 			
 File Assessment Summary To justify the recommended level of Ongoing Support, at a minimum, has the assessor cited evidence from the DES Participant's file in relation to: the details of the frequency of support number of contacts amount in hours of support and specific support strategies organised, delivered, or purchased internally and externally from third party provider, by the DES provider from their own funds, since job placement or since the last OSA to address identified barriers that directly relate to maintaining employment. The evidence cited is put into the File Assessment Summary component of the OSA report If the above information is absent from the DES Participant file the assessor notes this in the OSA report 			
 <u>Duty of care</u> Information disclosed to the assessor that could pose a risk of harm to the Participant or others to ensure appropriate supports are in place to reduce the risk of harm to self or others If information was disclosed the assessor discusses this information with the DES provider to ensure that appropriate supports are in place to reduce the risk of harm to self (the Participant) or others. 			

Section 5b Conducting the Participant interview	Yes	No	N/A
The Assessor should discuss and document the following:			
Working hours			
 The details of the Participant's current work hours and or average hours worked over the previous consecutive 13 weeks must be completed in the working hours field in the OSA Report in the Department's IT Systems. 			
 Impact of past barriers The Participant's perspective on the perceived impact of the Participant's past barriers in relation to their work duties since job placement or since the last OSA. 			
 Past and current Ongoing Support The nature, frequency and amount in hours of support received since job placement or since the last OSA to address the past barriers. Specific details of the Ongoing Support strategies organised, delivered or purchased internally or externally from third party providers, by the DES provider from their own funds, to address the Participant's past barriers and their impact on the work duties since job placement or since the last OSA that directly relates to maintaining employment. Progress Information (from the Participant's perspective) in relation to the progress made by the Participant to overcome the past barriers to working independently since job placement or since the last OSA. Has the assessor considered the progress made by the Participant when exercising professional judgment in relation to what level of Ongoing Support is required in the future? 			
 <u>Current barriers</u> Information about the current barriers to existing employment that the Participant perceives as requiring Ongoing Support. 			
 Future Ongoing Support The nature, frequency and amount in hours of support likely to be needed in the future to address the identified ongoing barriers to working independently in their job Specific details (from the Participant's perspective) of the Ongoing Support strategies required to be organised, delivered or purchased internally and externally from third party providers, by the DES provider from their own funds, to address the identified ongoing barriers to working independently in their current employment or new employment that requires skills training to up-skill the Participant's qualifications and or skills? Any other relevant information the Participant provided in relation to DES support required to maintain their employment. 			

Section 5c Conducting the Employer interview	Yes	No	N/A
Has the assessor discussed and documented the following:			
Working hours			
• The details of the Participant's current work hours and or average hours worked over the previous consecutive 13 weeks. This information must be completed in the working hours field in the OSA Report in the Department's IT Systems, where the Participant has given permission to contact the employer and the employer has agreed to the interview.			
 Work performance Participant's work performance since job placement or since the last OSA. 			
 Impact of barriers Perceived impact of the Participant's barriers in relation to their past and current work duties. 			
 Past and current Ongoing Support Specific details of the nature, frequency and amount in hours of support provided by the employer since job placement or since the last OSA to address the Participant's past barriers and their impact on work duties since job placement or since the last OSA. 			
 Progress The employer's perspective on the progress made by the Participant to overcome the past barriers to working independently in their existing job, since the Employment Placement or since the last OSA. The progress made by the Participant should be considered by the assessor when exercising professional judgment in relation to what level of Ongoing Support is required in the future. 			
Current and future barriers			
• Current and future barriers to existing employment that the employer perceives as requiring Ongoing Support.			
 Future Ongoing Support The nature, frequency and amount in hours of support likely to be provided in the future to address the identified ongoing barriers to employment including skills training to up-skill the Participant's qualifications and or skills in their current employment or new employment. Level of Ongoing Support the Employer indicates is required from the DES provider for the Participant to maintain their employment. Where appropriate, the level of support that may be provided by the DES provider to assist the Participant to become an Independent Worker Any other relevant information the Employer provided. 			
The Ongoing Support Assessor could also review any relevant documentation provided by the Employer.			

Section 5c	Conducting the Workplace Assessment:	Yes	No	N/A
The Asses	sor should observe and document the following; Tasks the Participant undertakes Support, if any, the Participant requires to complete those			
•	tasks			
•	Support, if any, provided by co-workers Training received/required, including any training or education of co-workers			
•	Workplace modifications applied or required to make the tasks easier			
•	The Participant's confidence in the workplace.			
	Ongoing Support Assessor should observe the Participant in the to ascertain the level of current support required.			
Work perfo	ormance			
•	Information about the Participant's work performance since job placement or since the last OSA.			
Impact of L	<u>parriers</u>			
•	Information about the perceived impact of the Participant's barriers in relation to their past and current work duties			
Past and cu	urrent Ongoing Support			
•	The specific details of the nature, frequency and amount in hours of support provided by the employer since job placement or since the last OSA to address the Participant's past barriers and their impact on work duties since job placement or since the last OSA.			
Progress				
<u>Progress</u>	Information (from the employer perspective) in relation to the progress made by the Participant to overcome the past barriers to working independently in their job, since job placement or since the last OSA. The progress made by the Participant should be considered by the assessor when exercising professional judgment in relation to what level of Ongoing Support is required in the future.			
<u>Current an</u>	d future barriers			
•	Information about the current and future barriers to existing employment that the employer perceives as requiring Ongoing Support			
Future Ong •	going Support The nature, frequency and amount in hours of support likely to be provided in the future to address the identified ongoing barriers that directly relates to maintaining employment.			
•	The level of Ongoing Support the Employer indicates is required from the DES provider for the Participant to maintain their employment.			

Section 5c Conducting the Workpla	Section 5c Conducting the Workplace Assessment:			N/A
 Where appropriate, the level of support that may be provided by the DES provider to assist the Participant to become an Independent Worker. 				
 Any other relevant information the Employer wishes to provide. 				
The Ongoing Support Assessor could also review any relevant documentation provided by the Employer.				
Note: The Ongoing Support Assessor should observe the Participant in the workplace to ascertain the level of current support required.				
Name of Ongoing Support Assessor				
Name of NPA organisation				
Position in organisation				
Signature	ignature			
Date				

Appendix C - Assessing evidence of assistance purchased translating to hours of support

The following advice provides guidance to assist Ongoing Support (OS) Assessors when assessing evidence of assistance purchased by the DES provider to determine what may reasonably translate to hours of support. Some Participants may require assistance to be purchased to help maintain their employment and other Participants may not require it. The use of this form is voluntary. However where assistance was purchased by the DES provider, relevant information in relation to how the assistance purchased was considered by the OS Assessor, when determining the level of Ongoing Support required by the Participant, should be included in the Ongoing Support Assessment (OSA) report in the Department's IT Systems.

Minimum Ongoing Support Contacts must be delivered to the DES Participant as outlined in the DES Grant Agreement clause 93, which defines a Contact as being between the DES Provider and the Participant. Once the amount of Contacts delivered to the Participant has been determined then the evidence of assistance purchased can be considered in terms of what reasonably may translate to hours of support. Once the assessor has determined both these requirements, and conducted the interviews with the Provider, Participant and Employer (where relevant) then the assessor makes the recommendation of the level of Ongoing Support that the Participant is likely to require to retain their employment. This advice should be read in conjunction with the Ongoing Support Assessment and the Ongoing Support Guidelines.

Participant name: DES provider:	
Evidence to consider by the OS Assessor	Comments
Contacts What amount of Contacts have been delivered (by the DES Provider) to the DES Participant to retain their employment? Note: A Contact must be delivered by the DES Provider and cannot be purchased.	
Assistance purchased As per current DES provider Documentary Evidence Guideline is there an invoice(s) and receipt(s) of assistance purchased by the DES provider in relation to the relevant OS Participant?	
If no invoice and receipt is there other appropriate evidence on file to demonstrate the assistance was purchased or delivered internally by the DES provider?	
Does the OS Assessor consider the assistance purchased was required to maintain the Participant's employment?	
If no invoice and receipt and OS Assessor does not consider the assistance purchased was required to maintain the Participant's employment then the assistance purchased cannot be considered towards hours of support. OS Assessor must note this in the OSA report in the Department's IT Systems.	
Does the assistance purchased directly relate to maintaining the Participant's employment and meet the principles outlined in the Ongoing Support Guideline and copied below?	

Evidence to consider by the OS Assessor	Comments
The assistance purchased internally and externally must be recorded in the Employment Pathway Plan/Job Plan, and must meet all the following principles:	
 Must be reasonable, necessary and directly relate to maintaining the participant's employment while in OS; and 	
 Must address the gap between what the participant is not able to do/or has difficulty doing in their job due to their injury, disability or health condition and what they are required to do to make participation possible and enhance functioning in their job; and 	
 Must for professional services such as psychological interventions, be evidence based and delivered by health professionals that meet the required registration requirements in Australia and; 	
 Must be value for money and; Must not duplicate or subsidise employer obligations and; 	
 Must not duplicate assistance that is available to the participant under any other Australian or State Government services; and 	
 Must not duplicate services that are required to be delivered to the participant by the DES provider under the Grant Agreement. 	
Examples of assistance purchased consistent with OS Guidelines Examples of assistance purchased that is consistent with the Ongoing Support Guideline and can be considered towards translating to hours of support include but are not limited	
 to: Health and Allied services to address the Participant's medical barriers as identified in their ESAt or identified by their DES provider (and supported by evidence on file) post the ESAt. Evidence based psychological assistance purchased such as Cognitive Behavioural Therapy to address the Participant's psychological/mental health issues as identified in their ESAt or identified by their DES provider (and supported by evidence on file) post the ESAt. Vocational skills training to up-skill a Participant's qualification and or skills in the same, related or different industry to the Participant's employment. If up-skilling a Participant's qualifications and or skills in a related or new industry to the Participant's current employment, then there must be a reasonable likelihood of the 	

Comments

Evidence to consider by the OS Assessor	Comments
 assistance that may reasonably translate to Ongoing Support assistance may be based on a proportional amount taking into consideration the cost of the assistance. For example for a one day group training course that costs \$115 and takes approximately 8 hours to complete, it may be reasonable for this to translate to one hour of Ongoing Support , taking into consideration the value for money principle and OS Fees. Note: Based on the relevant information/evidence sighted and interviews conducted with the Participant, Provider and Employer (where relevant), the OS Assessor should use their professional judgement to determine the recommended level of OS required by the Participant to maintain their employment. 	
Online delivery Online delivery is not eligible to be considered in terms of translating to hours of support, as this mode of delivery generally requires the student to study independently and with little direction.	
Total Contacts delivered in hours	
Total assistance purchased translated to hours of OS	
Total hours of OS	
OSA level recommendation	

Appendix D – Informing the Participant of the Ongoing Support Assessment Interview

Background

When any referral for an OSA is made, the Provider should provide the Participant with certain information. This is done to:

- Ensure that the Participant attends the OSA interview
- Maximise the effectiveness of the OSA for the Participant, and
- In the case of activity tested Participants, to comply with the *Social Security Act*.

What information must be provided to the Participant?

The Provider should clearly inform the Participant of:

- the nature of the assessment itself—an interview to discuss previous support provided to help them maintain their employment so an independent, informed decision can be made about future Ongoing Support needs.
- the reason for the assessment—for example: the Participant may have disclosed an issue that is affecting their ability to participate in employment and a higher level of Support is warranted. In this case, the OSA is needed to determine if the Participant's Ongoing Support level needs to be adjusted to meet their current personal circumstances. In other cases the purpose of the OSA may be a milestone has been reached necessitating the Assessment e.g. a Work Assist Outcome or 52 weeks from the previous assessment.
- the importance of taking any relevant evidence to the OSA interview. This could include medical information, or anything to show the level of support required while in their employment.
- a possible change in level of support provided, or referral to a different Disability Employment Service, as a result of the OSA.
- the interview date, time and venue of the assessment if it has been booked, and the Ongoing Support Assessors contact details.
- the need to contact the Ongoing Support Assessor at least 24 hours before the interview time if they cannot attend the OSA interview.

The DES provider should record the Participant's name and contact details on the *Referral details* tab if the contact details are different than in the Department's IT Systems, so the Ongoing Support Assessor has current contact information.

The consequences of not attending the interview

If an Activity Tested Participant does not attend an OSA interview they must attend the subsequent scheduled interview. Failure to attend a second scheduled interview without notifying the OSA provider with a valid excuse will result in a follow-up contact from Centrelink. Non-attendance at scheduled interviews may result in suspension or cancellation of the Activity Tested Participant's income support payment.

Volunteer (Activity Tested) Participants are not required to attend an OSA. Volunteers (Activity Tested) who decide not to attend an OSA interview should notify the OS Assessor as soon as possible, or at least 24 hours before the interview to let them know. Where the Participant decides not to undertake an OSA:

- and they are working toward a 52-week Outcome, the Provider will move the Participant to Post-Placement Support; or
- where the Participant has been in Employment for 52-weeks from the Anchor date, they are not eligible to participate in DES and the Provider must end the volunteering period, therefore re-instating the suspension period. The OSA due date will be reset to after the end of the suspension period.

Volunteer (non Activity Tested) Participants are not required to attend the OSA. Volunteers (non Activity Tested) who decide not to attend the OSA interview should notify the OSA provider as soon as possible or at least 24 hours before the interview to let them know. If they do decide not to undertake the OSA, and working toward a 52-week Outcome, the Provider must move the Participant to Post-Placement Support. If the Participant has been in Employment for 52-weeks from the Anchor Date, they are not eligible to participate in DES and the DES provider must Exit the Participant. Volunteers (non Activity Tested) who miss an OSA interview will not be contacted by Centrelink.

Appendix E – Informing the Employer of the Ongoing Support Assessment Interview

Background

When a Participant having an Ongoing Support Assessment (OSA) has given permission for their employer to be contacted, the Disability Employment Services (DES) provider and the Ongoing Support Assessor should ensure the employer is appropriately informed about the assessment. This is to:

- maximise the effectiveness of the OSA for the Participant
- encourage the employer to attend the OSA interview
- assist the OSA process to be a cooperative arrangement

What information should be provided to the employer?

The Purpose of the Assessment

The aim of the OSA is for the assessor to gain an understanding of the support required by the Participant to maintain their employment. This is so that an independent, informed decision can be made about the level of support the Participant requires from their DES provider.

Assessor Qualifications

The OSA will be completed by an approved assessor, who meets mandatory qualifications and industry experience requirements.

The Assessment Procedure

The Ongoing Support Assessment consists of:

- an interview, and review of relevant documents, with the DES provider who is supporting the Participant
- an interview with the Participant
- a visit to the Participant's workplace, including an interview with the Participant's employer representative, if consented by the Participant and employer

Employer Participation

It is important for the employer to be informed that participation in the assessment process is in the best interests of the Participant and employer, but there is no obligation for the employer to participate. The employer should also be given as much notice as possible, to maximise the opportunity for their participation.

If the employer participates then the assessor will interview an employer representative. This should be the most appropriate person, such as the Participant's supervisor or the DES provider's main employer contact. The employer representative can expect to be asked about:

- support required from the DES provider for the Participant to maintain their employment
- support that the employer has provided, and expects to provide in future
- support provided by the DES provider that could eventually assist the Participant to work independently

The OSA assessor may also want to spend some time observing the Participant in the workplace, to understand support issues this may raise.

What are the benefits for the Employer?

By participating in the OSA, the Employer may improve their understanding of:

- the barriers faced by the Participant in the workplace
- support required by the Participant
- support that the DES provider plans to provide to the Participant

Service Guarantee

Organisations contracted to deliver OSAs are committed to observe a Service Guarantee that sets out the principles and standards that underpin the delivery of assessment services. Refer to the Disability Employment National Panel of Assessors Program Grant Agreement.